

PART A – GENERAL INFORMATION (Cont.)			(FOR OREGON ONLY)	
1. NAME OF BORROWER:			D. BORROWER IDENTIFICATION NO.	E. SERVICE CENTER MAIL CODE
A. LAST NAME	B. FIRST NAME	C. MIDDLE INITIAL		
2. FSA CREDIT OFFICER:			3. LOAN SERVICING OFFICIAL:	

PART B – REVIEWER'S INSTRUCTIONS (Cont.)	(NOT FOR NIR DATA ENTRY)		
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All "NO" answers (and N/A when judged necessary by the reviewer) require a detailed explanation of the weaknesses identified. General statements aren't acceptable. (Furnish attachments & statements to support findings in Part C, Remarks, below and on Page 1.) Insert this page behind Page 1 of Form FSA 2105.	YES	NO	N/A
6. If the borrower has chattel security, did FSA send the borrower a letter similar to Exhibit A and Attachment 1 of FmHA Instruction 1924-B along with a blank Farm and Home Plan and Form FSA 1962-1, at least 60 days prior to the end of the borrower's business year? (Answer N/A if the borrower does not have chattel security.)			
7. If a YEA was required and the borrower has real estate security only, did FSA send a letter to the borrower along with a blank Farm and Home Plan at least 60 days prior to the end of the borrower's business year notifying the borrower of the need for the YEA? (Answer N/A if a YEA was not required.)			
8. If the borrower has chattel security or a YEA was required, did FSA follow-up on the YEA or other notification letter by setting an appointment, and follow up repeatedly again if the borrower failed to keep the appointment or provide required information? (Answer N/A if the borrower has only real estate security and a YEA was not required.)			
9. If a YEA was required, were actual columns of the previous year's Farm and Home Plan fully completed, was the information in the borrower's 5 year historical spreadsheet (Form FHA-OR 1924-21, "Historical Income, Expenses, and Production," or equivalent) updated, & was a thorough written running record entry made detailing items discussed, and results & agreements reached, including underscoring those items requiring MAC posing follow-up action. (Answer N/A if a YEA was not required.)			
10. If the borrower has Limited Resource (LR) interest rates, was a LR interest rate review completed, well documented to the case file reflecting that the borrower meets the requirements for LR interest rates as stated in Sections 1951.25 (a) and (b) of FmHA Instruction 1951-A, an 8 N transaction promptly processed in the ADPS system, and an appropriate entry made in the MAC system at the time the YEA or Farm and Home Plan was completed? (Answer N/A if LR interest rates are not involved.)			
11. Does the file contain a current Farm Assessment, and were all components of assessment addressed by the Agency loan official with direct input from the borrower? (Answer N/A if assessment is not required.)			
12. Did the Agency loan official contact the borrower on at least an annual basis to review and follow-up on the borrower's assessment progress? (Note: A completed YEA fulfills this requirement.) - (Answer N/A if an assessment is not required.)			
13. Has the borrower provided or been requested to provide a balance sheet and a Farm and Home Plan for classification purposes within the past two years?			
14. Was the Farm Loan Program account classified or reclassified in accordance with FmHA Instruction 2006-W? (Answer N/A if a Farm & Home Plan was requested but the borrower refused or failed to submit it after repeated follow-up.)			
15. If the borrower has a classification code of 1 or 2, does documentation in the borrower's case file reflect that it was properly considered for graduation? (Answer N/A if the borrower does not have a classification code of 1 or 2.)			
16. If the borrower has chattel security, are FSA's Financing Statement filings (and EFS filings, if appropriate), properly prepared naming all parties with an ownership interest, listing all the names the borrower uses in connection with the farming operation, and showing the correct collateral description language for the collateral (including general intangibles); and are these filings being properly maintained? (Answer N/A if the borrower does not have a loan secured by chattels.)			
17. If the borrower has chattel security, does the file contain a Form CCC-10 or Form FSA 1941-1 OR completed and signed by every party executing the Promissory Notes and all parties having an ownership interest in the chattel security that have not signed a Form FSA 410-1 "Request for Direct Loan Assistance," bearing a revision date of 09-14-01 or later or a Form FSA 440-4A, "Security Agreement," bearing a revision date of 06-29-01 or later? (Answer N/A if not a chattel secured loan, or if all liable parties and chattel security property owners have signed Form FSA 410-1 bearing a revision date of 09-14-01 or later, or a Form FSA 440-4A bearing a revision date of 06-29-01 or later.)			
18. If the borrower has chattel security, has a new Form FSA 440-4A, "Security Agreement," bearing a revision date of 06-29-01 or later been taken within the past 3 years and executed by all parties having an ownership interest in the collateral described? If so, was it updated to reflected changes in leased land and chattel security, and if taken after 10-08-03, does it bear a revision date of 03-19-03 or have a new clause IV L inserted before being executed by all parties having an ownership interest in the collateral described? (Answer N/A if the borrower does not have a loan secured by chattels.)			
19. Has the FSA Servicing Official determined and documented that the borrower has selected, established, and is maintaining the required record keeping system?			
20. Are office and field visits documented in the running record sufficient to reflect that adequate supervision is being given?			
COLUMN TOTALS (Add total number of responses in each column)			
COMPLIANCE SCORE [(No. of YES responses) / (20 – No. of N/A responses) x 100]			

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PART C – COMMENTS

REMARKS: All "NO" answers (and "N/A" when judged necessary by the reviewer) require a detailed explanation of the weaknesses identified in Part B, Items 1 through 20. (Please include the item No. with each comment.)