

# 2008 Aquaculture Grant Program

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Amy Mitchell

# Overview

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- Program Status
- FSA Reporting Requirements
- Recovery Act Reporting Requirements
- Questions and Answers

# Program Status – Completed

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- 38 Participating States
- 37 Grant Agreements
- \$48.5 million obligated
- 16 approved work plans
- \$44.6 million disbursed

# Program Status – Remaining Items

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- 1 grant agreement to be executed
- 22 work plans to be submitted/approved
- \$4.5 million to be disbursed
- Reporting requirements
- Internal Reviews
- Audits

# Reporting Requirements –

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- 2 FSA Reports
  - Amount of assistance by aquaculture species
  - Amount of assistance by aquaculture species and producer.
- Recovery Act Quarterly Report

# Reporting Requirements – Grant Agreement, Section E

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2. As required by Section 102(d)(2)(D)(iii) of the Recovery Act, the State agrees to, not later than 30 days after the date on which the State provides assistance to eligible aquaculture producers, submit a report to CCC that describes the:
  - a. Manner in which the State provided assistance
  - b. Amount of assistance provided **per aquaculture species**
  - c. Process by which the State determined the levels of assistance to eligible aquaculture producers.

# Reporting Requirements – Grant Agreement, Section E

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3. The State agrees to submit a report to CCC not later than 30 days after the State provides assistance to eligible aquaculture producers that provides the amount of assistance provided **per producer and aquaculture species**, in a format determined by CCC, to ensure compliance with Section 102(d)(3) of the Recovery Act. An update will be provided by the States every 30 days until all funds have been disbursed or all receivables have been collected.

# FSA AGP Report

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- Due 15<sup>th</sup> of every month (Starting 8/15)
- Excel File containing 3 worksheets:
  - Assistance to Producers
  - Payments to Feed Mills (Only Feed Credit States)
  - Financial Report (Summary)
- Cumulative Report

# FSA AGP Report

## Worksheet: Assistance to Producers

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- Producer Name
- Tax ID Number
- Address
- Phone number
- Type of Species
- Amount Obligated
- Amount Disbursed  
(Only Cash States)
- Date Disbursed  
(Only Cash States)

# FSA AGP Report Worksheet: Payments to Feed Mills

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Only for Feed Credit States

- Feed Mill Name
- Phone Number
- Amount Disbursed
- Date Disbursed

# FSA AGP Report Worksheet: Financial Report

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- Report Date – drop-down menu
- Name of State Agency
- State DUNS #
- Report Type – drop-down menu
  - Monthly
  - Final

# FSA AGP Report

## Worksheet: Financial Report

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- Method of Payment – Drop down menu
  - Cash
  - Vouchers
- Original Grant Amount
- Deposit Date

# FSA AGP Report Worksheet: Financial Report

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- Grant funds obligated (pre-filled)
- Grant funds disbursed (pre-filled)
- Balance of grant funds (pre-filled)
- Grant funds returned to CCC
- Interest returned to CCC
- Prepared by

# FSA AGP Report Submission

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2 ways to submit Monthly AGP Report to FSA:

- Email secured ZIP file to [amy.mitchell1@wdc.usda.gov](mailto:amy.mitchell1@wdc.usda.gov)
- Mail encrypted CD by Fed Ex

# FSA AGP Report

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Questions

# Recovery Act Reporting

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## Section 1512 of the Recovery Act – Recipient Reporting

- Who is receiving funds and in what amts.?
- What projects are being funded?
- Completion status of projects?
- What impact projects have on job creation and retention?

# Recovery Act Reporting

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## Basic principles:

- Recipients must report no later than the 10<sup>th</sup> day after the end of each calendar quarter
- Federal agency providing funds make reports publicly available no later than the 30<sup>th</sup> day after the end of each calendar quarter

# Recovery Act Reporting

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Reports will be submitted through:

[www.FederalReporting.gov](http://www.FederalReporting.gov)

All reports will be made available on

[www.Recovery.gov](http://www.Recovery.gov)

# Recovery Act Reporting

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## Registration –

- Prior to reporting, recipients must be registered as authorized parties on [www.FederalReporting.gov](http://www.FederalReporting.gov)
- Available no later than August 17, 2009

# Recovery Act Report

## Basic Terms

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- Prime Recipients –

Non-Federal entities that receive Recovery Act funding as Federal awards in the form of grants, loans, or cooperative agreements directly from the Federal government.

**State Governments are prime recipients.**

# Recovery Act Report

## Basic Terms

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2 Categories of payments made by prime recipients:

- Payments to sub-recipients; and
- Payments to vendors.

# Recovery Act Reporting Basic Terms

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- Sub-recipients –

Non-Federal entity that expends Federal awards received from another entity to carry out a Federal program but does not include an individual who is a beneficiary of such a program.

**AGP producers, that are not individuals, are sub-recipients.**

# Recovery Act Reporting Basic Terms

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- Vendors –

Dealer, distributor, merchant, or other seller providing goods or services that are required for the conduct of a Federal program.

**Feed mills are vendors.**

# Recovery Act Reporting

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Who is required to report?

- Prime Recipients – State governments
- Sub-recipients – if prime recipient delegates certain reporting responsibilities to sub-recipients. (States will not delegate to sub-recipients for this program)

# Recovery Act Report Cash States

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Who does NOT have to report?

Individuals are excluded from reporting;  
however, the prime recipients will report the  
aggregated amounts disbursed to  
individuals.

# Recovery Act Reporting Cash States

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## Separate Totals Reported

States will report an individual dollar total for each sub-award > \$25,000 (2008 AGP payment) made to an entity (producer that is not an individual).

# Recovery Act Reporting Cash States

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## Aggregate Totals

States will report an aggregate dollar total for each of the following:

- Payments made to individuals
- Payments to an entity < \$25,000

# Recovery Act Reporting Feed Credit States

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States will report individual dollar totals for payments > \$25,000 disbursed to feed mills.

# Recovery Act Reporting Feed Credit States

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## Aggregate Total

State will report an aggregate dollar total for payments to feed mills less than \$25,000.

# Recovery Act Reporting

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FSA will provide States:

- OMB Memorandum M-09-21 –  
Implementing Guidance
- Recipient Reporting Data Model – each  
data element to be reported

Posted at FSA's Recovery Act Web Site

# Recovery Act Reporting Prime Recipient - Required Data

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- Funding Agency Code
  - USDA Code = 1200
- Federal Funding Agency Name (no entry required)
- Awarding Agency Code
  - FSA Code = 12D2
- Awarding Agency Name (no entry required)

# Recovery Act Reporting Prime Recipient - Required Data

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- Agency Treasury Account Symbol (TAS)
  - 1209/103317
- Award ID (grant agreement #)
  - Example: USDA-FSA-ARRA-AGP-(2 digit #)
- Order Number (Not applicable)

# Recovery Act Reporting Prime Recipient - Required Data

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- Recipient (State's) DUNS #
- Recipient Type (No entry required)

# Recovery Act Reporting Prime Recipient - Required Data

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- CFDA #
  - 10.086 – 2008 AGP
- Gov't Contracting Office Code (Not applicable)
- Gov't Contracting Office Name and Address (Not applicable)
- Recipient Congressional District

# Recovery Act Reporting Prime Recipient - Required Data

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- Recipients Account # (optional)
- Final Report (Y/N)

# Recovery Act Reporting Prime Recipient - Required Data

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- Award Type

- Grant

- Award Date

- Date CCC signed grant agreement

- Award Description

# Recovery Act Reporting Prime Recipient - Required Data

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- Project Name
  - 2008 Aquaculture Grant Program
- Qtly. Activities/Project Description
- Project Status
  - Not started; less than 50% complete, completed 50% or more, fully completed

# Recovery Act Reporting Prime Recipient - Required Data

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- Activity Code
  - K02 – Agriculture
- Activity Description (No entry required)

# Recovery Act Reporting Prime Recipient - Required Data

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## ■ # of Jobs Created

- The number of jobs created - expressed as “full time equivalent” (FTE).
- FTE is calculated (cumulatively) by:
  - all hours worked, divided by
  - the total # of hours in a full-time schedule, as defined by the State.

# Recovery Act Reporting Prime Recipient - Required Data

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- Description of Jobs Created –
  - Description of types of jobs created and jobs retained in the US and outlying areas – rely on job titles; and

# Recovery Act Reporting Prime Recipient - Required Data

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- Amount of Award – total amount of Federal dollars on the grant agreement
- Total Federal Amount ARRA Funds Received/Invoiced – Amount of Recovery Act funds received

# Recovery Act Reporting Prime Recipient - Required Data

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- Total Federal Amount of ARRA Expenditure
  - AGP funds disbursed by State
- Total Federal ARRA Infrastructure Expenditure
  - Not applicable

# Recovery Act Reporting Prime Recipient - Required Data

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- Infrastructure Purpose and Rationale
  - Not applicable
- Infrastructure Contact Name, Address, Email, Phone
  - Not applicable

# Recovery Act Reporting

## Prime Recipient - Required Data

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- Recipients (State's) Primary Place of Performance
  - Street Address (optional field)
  - State (required field)
  - Country (required field)
  - Zip Code (required field)
  - City (required field)
  - Congressional district (required field)

# Recovery Act Reporting Prime Recipient - Required Data

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- Prime recipient indication of reporting applicability –
  - Answer is “NO” for State Governments
- Prime Recipient Highly Compensated Name(5) – Not applicable
- Prime Recipient Highly Compensated Compensation(5) – Not applicable

# Recovery Act Reporting Prime Recipient - Required Data

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- Total Number of Sub-awards (AGP payments) to individuals.
- Total Amount of Sub-awards (AGP payments) to individuals.

# Recovery Act Reporting Prime Recipient - Required Data

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- Total Number of payments to vendors less than \$25,000 – Feed States Only
- Total Amount of payments to vendors less than \$25,000 – Feed States Only
- Total Number of Sub-awards (AGP payments) less than \$25,000 – Cash States Only
- Total Amount of Sub-awards (AGP payments) less than \$25,000 – Cash States only

# Sub-Recipient Required Data Cash States

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Prime recipient reports the following data,  
unless delegated to sub-recipient.

- Sub-recipient DUNS #
- Subaward # or Other Identifying Number assigned by the Recipient (Application #)

# Sub-Recipient Required Data

## Cash States

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- Sub-recipient CCR information (pre-filled)
  - Name, address, zip
- Sub-recipient Congressional District (mandatory)
- Sub-recipient type (pre-filled)
- Amount of Sub-award
  - Total anticipated amount of AGP funds to be disbursed by State to producer/entity

# Sub-Recipient Required Data

## Cash States

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- Total Sub-award Funds Disbursed
  - Cumulative amount of cash received by sub-recipient (amount of AGP funds disbursed by State to producer/entity) as of reporting period end date
- Sub-award date
  - AGP application date

# Sub-Recipient Required Data

## Cash States

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- Sub-recipient Primary Place of Performance
  - Street (optional)
  - State (mandatory)
  - Country (mandatory)
  - Zip (Mandatory)
  - City (Mandatory)
  - Congressional District (Mandatory)

# Sub-Recipient Required Data

## Cash States

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- Sub-recipient officer names and compensation (Top 5) (Yes/No)
  - (i) In the sub-recipient's preceding fiscal year, received –
    - (i) 80% or more in annual gross revenues from Federal contracts, loans, grants, and cooperative agreements
    - (ii) AND \$25 million or more in annual gross revenues from Federal contracts, loans, grants, and cooperative agreements.
  - (ii) AND the public does not have access to information about the compensation of the senior executives through periodic reports filed under the Security Exchange Act or section 6104 of the IRS code of 1986.

# Sub-Recipient Required Data

## Cash States

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- Sub-recipient Highly Compensated Name(5) – if “Yes” to previous element
  - List each of the 5 most highly compensated officers
- Sub-recipient Highly Compensated Compensation (5)
  - List each of the 5 most highly compensated officers’ “Total Compensation”

# Vendor Required Data Feed Mill Credit States

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## Prime recipients report the following data:

- Award Number to Vendor (Feed Mill)
- Subaward Number – Not applicable
- Feed Mill DUNS # OR
- Name and zip code of Vendor Headquarters
- Description of Service Provided by Feed Mill
- Amount Paid to Feed Mill

# Recovery Act Reporting

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- No waivers to reporting will be granted
- Non-compliance will be treated as a violation of the award agreement

# Recovery Act Reporting

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After the end of the quarter:

## Report

- Days 1-10 for States to submit reports online

# Recovery Act Reporting

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## Review

- After the end of the quarter:
  - Days 11-21 for States' Reviews
  - Days 22-29 for Agency Reviews

## Release

- Day 30 Final Report Available

# FederalReporting.gov Implementation Timeline:

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- Recipient webinars the week of 7/20
- Pre-Registration webinars the week of 8/10
- 8/17 Pre-Registration Begins and System Live Helpdesk Opens
- Reporting webinars scheduled for September
- 10/1 System opens for reporting

# 2008 Aquaculture Grant Program

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Questions