(Use Agency Letterhead format with local return address.)

[Name and Address]

# INFORMATION NEEDED TO SUBMIT AN FSA DIRECT LOAN APPLICATION

Dear [Name]:

Date [MM-DD-YYYY]

Please provide the items marked with an "X" in the box so that your request for loan assistance can be considered. Any required forms are enclosed. Additional copies of forms, if needed, can be obtained at <a href="http://forms.sc.egov.usda.gov/eforms/mainservlet">http://forms.sc.egov.usda.gov/eforms/mainservlet</a>.

- 1) FSA-2001, "Request for Direct Loan Assistance".
- 2) FSA-2301, "Request for Youth Loan".
- 3) For entity applicants only:
  - a. Copies of any Organizational and Operation Documents (e.g., Charter, Articles of Incorporation, Bylaws, Partnership or Joint Operation Agreement, etc.).
  - b. Any evidence of current registration with relevant state regulatory agencies (good standing).
  - c. A duly adopted resolution to apply for and obtain financing.
  - d. A balance sheet not more than 90 days old for the entity.
  - e. A balance sheet not more than 90 days old for each individual entity member.

**Note:** If there are no individually owned assets then husband and wife joint operations may submit a consolidated balance sheet.

- 4) Notification of Exercise of Priority Consideration under Consent Decree, or similar written request, if you are exercising your right to priority consideration. If FSA does not receive your written notice, your application will be processed in the normal manner.
- 5) Evidence that you have recently applied with a commercial lender for a loan at their rates and terms for similar purposes, and have been denied.

Note: EM loans have different credit elsewhere requirements. (See Item 22)

If FSA believes you or the members of the entity can obtain commercial credit based on the financial information provided, you may be asked to apply with another lender or FSA may contact lenders interested in assisting you under FSA's Guaranteed Loan Program.

6) FSA-2002, "Three-Year Financial History", or similar form acceptable to the Agency. Also provide tax returns, including Schedule F, for the past three years, or each year you have been in business, whichever is less. You may be asked to provide supporting documentation if you provide financial summaries. If the financial history has been previously provided, complete <u>only</u> for those years not previously provided.

Note: Provide 3 years balance sheets, if available.

7) FSA-2003, "Three-Year Production History", or similar form acceptable to the Agency, for the past three years, or each year you have been in business, whichever is less. If production history has been previously provided, complete <u>only</u> for those years not previously provided.

#### 8) FSA-2004, "Authorization to Release Information".

**Note:** If you are relying on non-farm income or other assets of a non-applicant spouse to generate positive cashflow or pay family living expenses he/she must execute an FSA-2004 or provide their 2 most recent earning statements.

9) FSA-2005, "Creditor List".

- 10) FSA-2006, "Property Owned and Leased". Attach a copy of the legal descriptions of any farm property owned, or to be acquired, and if applicable, any lease, contracts, options and other agreements with regard to the property.
- 11) FSA-2007, "Statement Required by the Privacy Act", required from anyone who will sign loan or security documents, but is not the applicant or an entity member.
- 12) Projected farm operating plan which includes a balance sheet and cash flow for the next 12 months. You may use the Farm Business Plan Worksheets: the FSA-2037, "Balance Sheet" and FSA-2038, "Income and Expenses", or similar forms acceptable to the Agency.
- 13) Most recent account statement for credit cards, loans, and all other bank accounts. Any original documents you submit will be returned to you.
- 14) Credit Report Fee made payable to the Farm Service Agency for the type of applicant:

Individual \$ \_\_\_\_\_ Joint \$ \_\_\_\_\_ or Commercial \$ \_\_\_\_\_

- 15) SF-3881, "ACH Vendor/Miscellaneous Payment Enrollment Form", or Form SF-1199A, "Direct Deposit Sign-Up", for electronic funds transfer.
- 16) FSA-2302, "Description of Farm Training and Experience," For entity applicants, provide for each individual member involved in managing or operating the farm.
- 17) FSA-2370, "Request for Waiver of Borrower Training Requirements".
- 18) Verification of any other non-farm income (i.e., social security, rental income, pension).

- 19) RD-1940-20, "Request for Environmental Information".
- 20) AD-1026, "Highly Erodible Land Conservation and Wetland Conservation Certification". (Initial Application and Subsequent Application when there have been changes to the real estate farmed.)
- 21) For construction loans only:
  - a. A copy of any plans and specifications for the improvements you intend to make.
  - b. A description of any planned development, the proposed schedule and cost estimate.
- 22) For emergency loans only:
  - FSA-2309, "Certification of Disaster Losses".
  - FSA-2310, "Lender's Verification of Loan Application".
- 23) Other

# A DECISION CANNOT BE MADE ON A LOAN REQUEST WITHOUT ALL INFORMATION REQUESTED IN THIS LETTER

Please contact this office if you need help. We can help you complete the requested forms, explain what information we need, and answer any questions about the information requested in this letter. If we cannot assist you by phone, we will schedule an appointment to meet with you.

Sincerely,

Enclosures

This form i	s available	electronically
FSA-200	)1	

(04-13-10)

**U.S. DEPARTMENT OF AGRICULTURE** 

Farm Service Agency

# 

	REQUEST FOR DIRECT LOAN ASSISTANCE					
jointly, including ma qualified aliens mus requested by the Fe Applicants are not re receiving targeted fu	ried persons, are co t provide appropriate deral Government to equired to furnish this unds for which the ap	nsidered an entity documentation u monitor FSA's c s information, but plicant may be el	vidual applicants complete Parts ty. Entities must complete Parts under Federal immigration law. compliance with Federal laws pri- t are encouraged to do so. Failu- sligible. One or more boxes may ote race, ethnicity and gender o	C, D ar *Race, o chibiting ure to provide selections be selections	nd E. Non-citizen r ethnicity, and gend discrimination aga ovide this informati ected for race. This	hationals and ler information is ainst applicants. ion may result in not s information will not
PART A - APPLIC	NANIT					
1. Exact Full Legal		2. Addres	SS		•	umbers (Area Code):
					Telephone No.	
				Cell Te	lephone No.	
				Busine	ss Telephone No.	
PART B – INDIVI	DUAL APPLICAN		N			
1. Social Security N			th Date	3. C	ounty of Operation	Headquarters
4. Name and Addre	ss of Employer	5.	. Annual Income <b>\$</b>	7. V	eteran Status	
			•	_		
		6.	. Number of Household Members	YES	Dates:	
					Branch:	
Telephone Number:				NO		
8. Marital Status	9. Citizenship	*10. Ethnicity	*11. Race		*12. Gender	13. FSA Use Only
Married	Citizen	Hispanic of Latino	or American Indian/Ala Native	askan	Male	Provided
Separated	Non-citizen	Not Hispar	nic Asian		Female	Observed
	Qualified		Native Hawaiian/Othe			
	L Alien		Pacific Islander			
identified o used to de collected o entities tha identified i However, f guarantees According collection o 0560-0237 reviewing i collection o	n this form is the Conse termine eligibility and fe n this form may be disc t have been authorized at the System of Record ailure to furnish the req to the Paperwork Redu of information unless it of . The time required to of instructions, searching ef information. <b>RETUR</b>	blidated Farm and F asibility for loans a losed to other Fede access to the infor s Notice for USDA/ uested information minal and civil frauc ction act of 1995, a displays a valid OM complete this inform existing data source N THIS COMPLET	Act of 1974 (5 USC 552a – as am Rural Development Act, as amende and loan guarantees, and servicing of leral, State, and local government ag rmation by statute or regulation and /FSA-14, Applicant/Borrower. Provid may result in a denial for loans and d, privacy, and other statutes may b an agency may not conduct or spons MB control number. The valid OMB mation collection is estimated to ave es, gathering and maintaining the da <b>FD FORM TO YOUR COUNTY FS</b> .	d (7 Ú.S. of loans a gencies, T 'or as des ding the r l loan gua e applica sor, and a control m rage 33 r ata neede <b>A OFFIC</b>	C. 1921 et. seq.). The nd loan guarantees. Tribal agencies, and in scribed in the applicate requested information arantees, and servicin ble to the information a person is not require umber for this inform minutes per response ad, and completing an E.	he information will be The information nongovernmental ible Routine Uses n is voluntary. ng of loans and loan n provided. red to respond to, a tation collection is e, including the time for nd reviewing the
applicable, sex, marital st	atus, familial status, pareni	tal status, religion, sex	xual orientation, political beliefs, genetic	nformation	, reprisal, or because a	ll or part of an individual's

income is derived from any public assistance program. (Not all prohibited bases apply to all programs.) Persons with disabilities who require alternative means for communication of program information (Braille, large print, audiotape, etc.) should contact USDA's TARGET Center at (202) 720-2600 (voice and TDD).

To file a complaint of discrimination, write to USDA, Assistant Secretary for Civil Rights, Office of the Assistant Secretary for Civil Rights, 1400 Independence Avenue, S.W., Stop 9410, Washington, DC 20250-9410, or call toll-free at (866) 632-9992 (English) or (800) 877-8339 (TDD) or (866) 377-8642 (English Federal-relay) or (800) 845-6136 (Spanish Federal-relay). USDA is an equal opportunity provider and employer.

Date: Initials:

FSA-2001 (04-13-10)				Page 2 of 5
	NTITY MEMBER INFORMATI			
considered a joint operation. I	nformal entities may leave Items 2	who are applying jointly and do not hav through 4 blank, if not applicable. Co st be completed for all entity members	mplete Items 5A through	
		type. Please indicate by signing in Ite	em 50 that you have re	ead and understand the
1. Entity Type	on Pages 3 through 5 and they are	2. State of Registration	4. Tax Identification	on Number
	oration 🔲 Joint Operation		(9 Digit No.)	
Limited Liability Company D Partnership D Trust		3. Registration Number	-	
5A. Entity Member Exact Full Legal Name		5B. Soc. Sec. No. (9 Digit No.)	5C. Address	
5D. Contact Numbers		5E. Birth Date		
5F. Name and Address of	Employer	5G. Percent of Ownership	5I. Citzenship	5J. Marital Status
		%	Citizen	Married
		5H. Annual Income	Non-citizen National	Separated
Telephone Number (Area (	Code)	\$	Qualified Alie	en 🗌 Unmarried
*5K. Ethnicity	*5L. Race		*5M. Gender	*5N. FSA Use Only
Hispanic/Latino	American Indian/Alaskan I			Provided Observed
Not Hispanic/Latino	Black/African . ■Black/African .		Female	
50. Signature			5P. Date	
5A. Entity Member Exact F	Full Legal Name	5B. Soc. Sec. No. (9 Digit No.)	5C. Address	
5D. Contact Numbers		5E. Birth Date		
5F. Name and Address of	Employer	5G. Percent of Ownership	5I. Citizenship	5J. Marital Status
		%	Citizen	Married
		5H. Annual Income	Non-citizen	Separated
Telephone Number (Area (	Code)			
*5K. Ethnicity	*5L. Race	\$	Qualified Alie *5M. Gender	n Unmarried *5N. FSA Use Only
Hispanic/Latino	American Indian/Alaskan I	Native Asian	☐ Male	Provided
Not Hispanic/Latino	Black/African	American	Female	Observed
	Native Hawaiian/Other Pa	cific Islander 🗌 White		
50. Signature			5P. Date	
5A. Entity Member Exact F	Full Legal Name	5B. Soc. Sec. No. (9 digit No.)	5C. Address	
5D. Contact Numbers		5E. Birth Date	4	
SD. Contact Numbers		SE. Dirtit Date		
5F. Name and Address of	Employer	5G. Percent of Ownership	5I. Citizenship	5J. Marital Status
		%	Citizen	Married
		5H. Annual Income	Non-citizen	Separated
Telephone Number (Area (	Code)	¢		
*5K. Ethnicity	*5L. Race	\$	U Qualified Alia *5M. Gender	en Unmarried *5N. FSA Use Only
Hispanic/Latino	American Indian/Alaskan I	Native Asian		•
Not Hispanic/Latino	Black/African A	American	Male	
	Native Hawaiian/Other Pa	cific Islander White	Female	Observed
5O. Signature			5P. Date	

	-2001 (04-13-10)		Pag	e 3 of 5
	T D – GENERAL INFORMATION			
1. C	Counties Being Farmed	2. Acres Owned		
		3. Acres Rented		
4A.	Purpose of Loan	4B. Amount Requested \$		
5A.	Purpose of Loan	5B. Amount Requested		
I		\$		
6. E	Description of Operation			
DAI				
PA	RT E – NOTIFICATIONS, CERTIFICATIONS AND AC	KNOWLEDGMENT	YES	NO
1.	Are you currently or have you ever, and in the case of an e business under any other name? If "YES," list names in Ite			
2.	Have you ever, or in the case of an entity any member of the loan from FSA or Farmers Home Administration?	ne entity, obtained a direct or guaranteed farm		
3.	If Item 2 is "YES," did you receive any debt forgiveness three adjustment, reduction, charge-off, paying a loss on a guara Item 9.			
4.	Are you, or in the case of an entity any member of the enti outstanding Federal judgments? If "YES," provide details in	n Item 9.		
5.	Are you, or in the case of an entity any member of the entiprovide details in Item 9.	ty, involved in any pending litigation? If "YES,"		
6.	Have you, or in the case of an entity any member of the en bankruptcy, or filed a petition for reorganization in bankrupt			
7.	Are you, or in the case of an entity any member of the entit associated with an FSA employee? If "YES," provide detai			
8.	Are you now or have you ever, operated a farm? If "YES,"			
9.	Additional answers. Write the Item number to which each a same size as this page and write the applicant's name on e		sheets of pa	aper the
Initia	als: Date:			

# 10. SPECIAL PROGRAM INFORMATION.

Certain FSA programs are, by law, designed to reach targeted applicants. If you are interested in any of the programs described here, or have questions about these programs and whether you may qualify for a specific program, the FSA office processing your application will help you.

- A. SOCIALLY DISADVANTAGED APPLICANTS: A portion of FSA farm ownership and operating loan funds are, by law, targeted to applicants who have been subjected to racial, ethnic or gender prejudice because of their identity as a member of a group, without regard to individual qualities. Under the applicable law, groups meeting this condition are: American Indians/Alaskan Natives, Asians, Blacks or African Americans, Native Hawaiians/Other Pacific Islanders, Hispanics and women. In addition, FSA has a down payment program, which receives special funding.
- **B. BEGINNING FARMER ASSISTANCE:** FSA has the authority to assist beginning farmers through the farm ownership and operating loan programs. A portion of FSA farm ownership and operating loan funds are, by law, targeted to beginning farmers. In addition, FSA has a down payment program, which receives special funding. In some States, FSA has agreements with State beginning farmer programs to help meet the credit needs of beginning farmers.
- C. LIMITED RESOURCE LOANS: Limited resource farm ownership and operating loans are available to qualified applicants. This program provides loans at reduced interest rates to low-income farmers whose operations and resources are so limited that they cannot pay the regular rates for FSA loans. The program is also intended to provide beginning farmers the opportunity to start a successful farming operation.

# 11. RIGHTS AND POLICIES.

- A. RIGHT TO FINANCIAL PRIVACY ACT OF 1978 (Public Law 95-630): FSA has a right of access to financial records held by financial institutions in connection with providing assistance to you as well as collecting on loans made to you or guaranteed by the Government. Financial records involving your transaction will be available to FSA without further notice or authorization but will not be disclosed or released by this institution to another Government Agency or Department without your consent except as required by law.
- **B. THE FEDERAL EQUAL CREDIT OPPORTUNITY ACT:** Prohibits creditors from discriminating against applicants on the basis of race, color, religion, sex, national origin, marital status, age (provided the applicant has the capacity to enter into a binding contract), because all or a part of the applicant's income derives from any public assistance program, or because the applicant has in good faith exercised any right under the Consumer Credit Protection Act.
- C. FEDERAL COLLECTION POLICIES: Delinquencies, defaults, foreclosures and abuses of mortgage loans involving programs of the Federal Government can be costly and detrimental to your credit, now and in the future. The mortgage lender in this transaction, its agents and assigns as well as the Federal Government, its agencies, agents and assigns, are authorized to take any and all of the following actions in the event loan payments become delinquent on the mortgaged loan described in the attached application: (1) Report your name and account information to a credit bureau; (2) Assess additional interest and penalty charges for the period of time that payment is not made; (3) Assess charges to cover additional administrative costs incurred by the Government to service your account; (4) Offset amounts owed to you under other Federal programs; (5) Refer your account to a private attorney, collection agency or mortgage servicing agency to collect the amount due, foreclose the mortgage, sell the property and seek judgment against you for any deficiency; (6) Refer your account to the Department of Justice for litigation; (7) If you are a current or retired Federal employee, take action to offset your salary, or civil service retirement benefits; (8) Refer your debt to the Department of the Treasury for cross-servicing and offset against any amount owed to you by any Federal Agency such as an income tax refund; and (9) Report any resulting written-off debt to the Internal Revenue Service as taxable income. All of these actions can and will be used to recover debts owed to the Federal Government when in its best interests.

# 12. RESTRICTIONS AND DISCLOSURE OF LOBBYING ACTIVITIES:

- A. The applicant:
  - (1) Certifies that if any funds, by or on behalf of the applicant, have been or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant or Federal loan, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, or loan, the applicant shall complete and submit Standard Form LLL, "Disclosure of Lobbying Activities," in accordance with its instructions.

Initials:

Date:

# **RESTRICTIONS AND DISCLOSURE OF LOBBYING ACTIVITIES: (***CONTINUED***)**

- (2) Shall require that the language of this certification be included in the award documents for all sub-awards at all tiers (including contracts, subcontracts, and subgrants, under grants and loans) and that all subrecipients shall certify and disclose accordingly.
- **B.** This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this statement is a prerequisite for making or entering into this transaction. Any person who fails to file the required statement shall be subject to a civil penalty imposed by 31 U.S.C. 1352.

#### **13.** CONTROLLED SUBSTANCES:

The applicant certifies that as an individual, or any member of an entity applicant, has not been convicted under Federal or State law of planting, cultivating, growing, producing, harvesting, or storing a controlled substance within the previous 5 crop years. See the Food Security Act of 1985 (Public Law 99-198). The applicant also certifies that as an individual, or any member of an entity applicant, is not ineligible for Federal benefits based on a conviction for the distribution of controlled substances or any offense involving the possession of a controlled substance under 21 U.S.C. § 862.

# 14. DISQUALIFICATION DUE TO FEDERAL CROP INSURANCE FRAUD:

The applicant certifies that as an individual or any member of the entity, has not been disqualified for Federal benefits as provided in Section 515(h) of the Federal Crop Insurance Act (FCIA). Applicants who willfully and intentionally provide false or inaccurate information to the Federal Crop Insurance Corporation (FCIC) or to an approved insurance provider with respect to a policy or plan of FCIC insurance, after notice and an opportunity for a hearing on the record, will be subject to one or more of the sanctions described in section 515(h)(3) of FCIA.

#### **15. TEST FOR CREDIT:**

The applicant certifies that the needed credit, with or without a loan guarantee, cannot be obtained by (1) the individual applicant; (2) in the case of an entity, considering all assets owned by the entity and all of the individual members.

#### 16. PERMISSION TO FILE FINANCING STATEMENT:

Under the Uniform Commercial Code, you do not have to sign the financing statement which allows FSA to obtain a security interest in your property. If the loan is approved and funded, FSA will file a financing statement at the earliest possible date, before you enter into a SECURITY AGREEMENT. BY SIGNING BELOW OR ITEM 50 OF PART C, I GIVE FSA PERMISSION TO FILE A FINANCING STATEMENT PRIOR TO THE EXECUTION OF THE SECURITY AGREEMENT AS WELL AS TO FILE AMENDMENTS AND CONTINUATIONS OF THE FINANCING STATEMENT THEREAFTER.

# **17.** *CERTIFICATION:*

I certify that the information provided is true, complete, and correct to the best of my knowledge and is provided in good faith to obtain a loan. (WARNING: Section 1001 of Title 18, United States Code, provides for criminal penalties to those who provide false statements to the Government. If any information is found to be false or incomplete, such finding may be grounds for denial of the requested action).

18A. SIGNATU	RE OF INDIVIDU	AL APPLICANT OR AUTHORIZED E	NTITY REPRESENT	ATIVES 18B. DATE
PART F – FSA	A USE ONLY			
	001 Received	2. Date Application Complete	3. Amou <b>\$</b>	nt of Credit Report Fee and Date Received
4. Type of Assis	stance Requested	k:		5. Name of Agency Official Receiving Application
🗌 FO	OL			
🗆 EM	Subordina	ition 🗌 Other <i>(Specify)</i>		

(See Page 4 for Nondiscrimination, Privacy Act and Public Burden S	Statements)
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<b>FSA-2301</b> (12-31-07)		U.S. DEF	PARTMENT OF AGRICUL Farm Service Agency		i, i iwacy Act and i ubi	<u>Bardon Bland</u>	<u>sinonto</u>
		REQUES	T FOR YOUTH LO	AN			
<ul> <li>PART A - APPLICANT II</li> <li>1. EXACT FULL LEGAL N/</li> <li>3. COUNTY OF PROJECT</li> </ul>			2. ADDRESS				
4. SOCIAL SECURITY NUN	MBER	5. BIRTH DATE		6. TELEPHONE N	JMBER		
7. MARITAL STATUS:	-	IARRIED .UDING SINGLE, I	DIVORCED, AND WIDOWED)	8. AMOUNT OF LC \$	DAN REQUEST		
<ol> <li>Are you a citizen of the L a qualified alien under apprendiced</li> </ol>			nentation must be submitte	ed for a United States	s non-citizen nationa	, or YES	NO
10. Have you ever obtained	a direct or guaranteed fa	arm loan from the	e Farm Service Agency (FS	SA)?			
11. Are you delinquent on a	ny Federal debt or do yo	u have any outst	anding Federal judgments?	? If "YES", provide c	letails in Item 17.		
12. Have you ever had any charge-off, adjustment,	FSA direct or guaranteed reduction, or bankruptcy?			, debt settlement, co	mpromise, write-dow	'n,	
13. Are you currently emplo is full or part-time in Iten		mployer's name,	address, phone number, a	amount of annual inc	ome, and if employm	ent	
14. Are you an FSA employ	ree or are you related to o	or closely associa	ated with any FSA employe	ee? If "YES", explain	in Item 17.		
15. Are you an active memb	per of FFA, 4-H or other a	griculture related	d organizations? If "YES",	provide name of org	anization in Item 17.		
16. Are you a Veteran?							
18. BRIEF DESCRIPTION	OF PROJECT. (Beginning	g date of project, na	ame of organization and projec	ct plans.)			
VOLUNTARY INFORMA VOLUNTARY INFORMATION F FSA's compliance with Federa Failure to complete this inform evaluating your application or observer identification. (*Thi	FOR MONITORING PURPOS al laws prohibiting discrimi nation may result in you no to discriminate against yo s data is requested for	nation against loa ot receiving acces u in any way. If y statistical purpo	in applicants. You are not rest to targeted funds for which ou do not furnish it, FSA is r oses only. One or more b	equired to furnish this n you may have been o equired to note your r	information, but are e eligible. This informat ace, ethnicity and gen ited.)	ncouraged to ion will not be der on the ba	do so. e used in sis of
19A. *ETHNICITY	19B. *RACE (Choose as ma		_		19C. GENDER 19D.	FOR FSA US	E ONLY
Hispanic or Latino	American Indian or A		<ul> <li>Native Hawaiian or Ot</li> <li>White</li> </ul>	her Pacific Islander	Male     Female	Provided Observe	

FSA-2301 (12-31-07)			Page 2 of 4
ANNUAL INCOME AND EXPENSES			
20. INCOME:			
A. DESCRIPTION:			B. \$ Amount
		21. Total:	
22. EXPENSES:			
A. DESCRIPTION:			B. \$ Amount
		23. Total:	
		24. Annual Total Income from Item 21:	
		25. Annual Total Expenses from Item 23: (-)	
20	6. Annual Amo	ount of Payments Due (Including this loan): (-)	
27. E	Ending Cash B	alance (Subtract Item 25 and Item 26 from Item 24):	
ASSETS AND DEBTS			
28. ASSETS:		30. DEBTS:	
A. DESCRIPTION:	B. \$ Amount	A. DESCRIPTION:	B. \$ Amount
29. TOTAL ASSETS:		31. TOTAL DEBTS:	
	1	32. Total Assets from Item 28:	
		33. Total Debts from Item 30: (-)	
		34. Net Worth (Subtract Item 33 from Item 32):	
		· · · · · · · · · · · · · · · · · · ·	

#### 35. SPECIAL PROGRAM INFORMATION

Certain FSA programs are, by law, designed to reach targeted applicants. If you are interested in the program described below, or have questions about this program and whether you may qualify for this program, the FSA office processing your application will help you.

**SOCIALLY DISADVANTAGED APPLICANTS:** A portion of FSA farm ownership and operating loan funds are, by law, targeted to applicants who have been subjected to racial, ethnic or gender prejudice because of their identity as a member of a group, without regard to individual qualities. Under the applicable law, groups meeting this condition are: American Indians/Alaskan Natives, Asians, Blacks/African Americans, Native Hawaiians/Other Pacific Islanders, Hispanics, and Woman.

#### **36. GENERAL INFORMATION**

- A. RIGHT TO FINANCIAL PRIVACY ACT OF 1978 (TITLE XI, 1113(h) OF PUB. L. 95-630): FSA has a right of access to financial records held by financial institutions in connection with providing assistance to you, as well as collecting on loans made to you or guaranteed by the government. Financial records involving your transaction will be available to FSA without further notice or authorization but will not be disclosed or released by this institution to another government Agency or Department without your consent except as required by law.
- **B.** THE FEDERAL EQUAL CREDIT OPPORTUNITY ACT prohibits creditors from discriminating against borrowers on the basis of race, color, religion, sex, national origin, marital status, age (provided the borrower has the capacity to enter into a binding contract), because all or a part of the borrower's income derives from any public assistance program, or because the borrower has in good faith exercised any right under the Consumer Credit Protection Act.
- C. FEDERAL COLLECTION POLICIES: Delinquencies, defaults, foreclosures and abuses of mortgage loans involving programs of the Federal Government can be costly and detrimental to your credit, now and in the future. The mortgage lender in this transaction, its agents and assigns as well as the Federal Government, its agencies, agents and assigns, are authorized to take any and all of the following actions in the event loan payments become delinquent on the mortgaged loan described in the attached application: (1) Report your name and account information to a credit bureau, (2) Assess additional interest and penalty charges for the period of time that payment is not made, (3) Assess charges to cover additional administrative costs incurred by the Government to service your account, (4) Offset amounts owed to you under other Federal programs; (5) Refer your account to a private attorney, collection agency or mortgage servicing agency to collect the amount due, foreclose the mortgage, sell the property and seek judgment against you for any deficiency; (6) Refer your account to the Department of Justice for litigation; (7) If you are a current or retired Federal employee, take action to offset your salary, or civil service retirement benefits; (8) Refer your debt to the Department of the Treasury for cross-servicing and offset against any amount owed to you by any Federal Agency, such as an income tax refund; and (9) Report any resulting written-off debt to the Internal Revenue Service as taxable income. All of these actions can and will be used to recover debts owed to the Federal Government, when in its best interests.

#### **37. CERTIFICATIONS**

#### A. ABUSE OF CONTROLLED SUBSTANCES

I certify that as an individual, or as a member of an entity, I have not been convicted under Federal or State law of planting, cultivating, growing, producing, harvesting, or storing a controlled substance within the previous 5 crop years. See the Food Security Act of 1985 (Pub. L. 99-198). I also certify that as an individual, or as a member of an entity, I am not ineligible for Federal benefits based on a conviction for the distribution of controlled substances or any offense involving the possession of a controlled substance under 21 U.S.C. § 862.

#### **B. PERMISSION TO FILE A FINANCING STATEMENT**

Under the Uniform Commercial Code, you do not have to sign the financing statement which allows FSA to obtain a security interest in your property. If the loan is approved and funded, FSA will file a financing statement at the earliest possible date, **before you enter into a SECURITY** AGREEMENT. BY SIGNING BELOW I GIVE FSA PERMISSION TO FILE A FINANCING STATEMENT PRIOR TO THE EXECUTION OF THE SECURITY AGREEMENT AS WELL AS TO FILE AMENDMENTS AND CONTINUATIONS OF THE FINANCING STATEMENT THEREAFTER.

#### C. DISQUALIFICATION DUE TO FEDERAL CROP INSURANCE FRAUD:

The applicant certifies that as an individual or any member of the entity, has not been disqualified for Federal benefits as provided in Section 515(h) of the Federal Crop Insurance Act (FCIA). Applicants who willfully and intentionally provide false or inaccurate information to the Federal Crop Insurance Corporation (FCIC) or to an approved insurance provider with respect to a policy or plan of FCIC insurance, after notice and an opportunity for a hearing on the record, will be subject to one or more of the sanctions described in Section 515(h)(3) of FCIA.

#### D. RESTRICTIONS AND DISCLOSURE OF LOBBYING ACTIVITIES:

- The loan applicant certifies that: if any funds, by or on behalf of the loan applicant, have been or will be paid to any persons for influencing or attempting to influence an officer or employee of any agency, a Member, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant or Federal loan, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant or loan, the loan applicant shall complete and submit Standard Form - LLL, "Disclosure of Lobbying Activities," in accordance with its instructions.
- 2. The loan applicant shall require that the language of this certification be included in the award documents for all sub-awards at all tiers (including contracts, subcontracts, and subgrants, under grants and loans) and that all subrecipients shall certify and disclosure accordingly.
- 3. This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this statement is a prerequisite for making or entering into this transaction imposed by 31 U.S.C. 1352. Any person who fails to file the required statement shall be subject to a civil penalty,

#### **38. WARNING**

I CERTIFY THAT THE INFORMATION PROVIDED IS TRUE, COMPLETE, AND CORRECT TO THE BEST OF MY KNOWLEDGE AND IS PROVIDED IN GOOD FAITH TO OBTAIN A LOAN. (**WARNING**: SECTION 1001 OF TITLE 18, UNITED STATES CODE, PROVIDES FOR CRIMINAL PENALTIES TO THOSE WHO PROVIDE FALSE STATEMENTS TO THE GOVERNMENT. IF ANY INFORMATION IS FOUND TO BE FALSE OR INCOMPLETE, SUCH FINDING MAY BE GROUNDS FOR DENIAL OF THE REQUESTED ACTION.)

39A. SIGNATURE	39B. DATE

FSA	<b>-2301</b> (12-31-07)				Page 4 of 4
PAR	T B - PROJECT ADVISOR RECOMMENDA	TION			
40A.	Project Advisor - I agree to sponsor the applicant the applicant, such as monthly meetings, financia			ion of the loan. <i>(Descrit</i>	be how you plan to assist
40B.	Name		40C. Signature		
40D.	Phone Number		40E. Date		
	T C - PARENT/GUARDIAN RECOMMENDA				
41B.	Name	41C. S	ignature	41D. Dat	te
PAR	T D - FSA USE ONLY				
	DATE FORM FSA-2301 RECEIVED		42B. DATE APPLICATIC	IN COMPLETE	
42C.	CREDIT REPORT FEE \$	42D. DATE RECEIVED		42E. NAME OF AGEN	CY OFFICIAL
NOTE:	The following statements are made in accordance with the Prive amended (7 USC 1921 et seq.), or other Acts, and the regulation FSA to determine eligibility for credit or other financial assistance agencies, the Internal Revenue Service, the Department of Just Department of Labor, the United States Postal Service, or other the Freedom of Information Act (FOIA), to financial consultants, credit reporting agencies, to private attorneys under contract win Members of Congress or Congressional staff members, or to cc information requested, including your Social Security Number of According to the Paperwork Reduction Act of 1995, an agency of number. The valid OMB control number for this information coll including the time for reviewing instructions, searching existing	ns promulgated thereunder, to solic re, service your loan, and conduct s tice or other law enforcement agence Federal, State, or local agencies a advisors, lending institutions, pack th FSA or the Department of Justice ourts or adjudicative bodies. Disclos r Federal Tax Identification Number may not conduct or sponsor, and a lection is 0560-0237. The time requ	cit the information requested on its statistical analyses. Supplied infon cies, the Department of Defense, t is required or permitted by law. In agers, agents, and private or com, e, to business firms in the trade ar sure of the information requested in r, may result in a delay in the proce person is not required to respond uired to complete this information or	s application forms. The informa mation may be furnished to othe the Department of Housing and it addition, information may be re- mercial credit sources, to collect ea that buy chattel or crops or si is voluntary. However, failure to essing of an application or its rej to, a collection of information un collection is estimated to averag	ation requested is necessary for pr Department of Agriculture Urban Development, the ferred to interested parties under tion or servicing contractors, to ell them for commission, to o disclose certain items of jection. nless it displays a valid OMB control te 30 minutes per response,
	COMPLETED FORM TO YOUR COUNTY FSA OFFICE.				

The U.S. Department of Agriculture (USDA) prohibits discrimination in all its program and activities on the basis of race, color, national origin, age, disability, and where applicable, sex, marital status, familial status, parental status, religion, sexual orientation, genetic information, political beliefs, reprisal, or because all or part of an individual's income is derived from any public assistance program. (Not all prohibited bases apply to all programis.) Persons with disabilities who require alternative means for communication of program infinelik, large print, audiotape, etc.) should contact USDA's TARGET Center at (202) 720-2600 (voice and TDD). To file a complaint of Discrimination, write to USDA, Director, Office of Civil Rights, 1400 Independence Avenue, SW., Washington, DC 20250-9410, or call (800) 795-3272 (voice) or (202) 720-6382 (TDD). USDA's an equal opportunity provider and employer.

Form Approved – OMB No. 0560-0237

(See Page 2 for Privacy Act and Public Burden Statements)

This form is available electronically.

**FSA-2002** (03-31-10)

#### U.S. DEPARTMENT OF AGRICULTURE Farm Service Agency

Position 3

# THREE-YEAR FINANCIAL HISTORY

1. Name	FORM I	FORM IS NOT REQUIRED. Applicant may submit alternate documents that provide the information collected on this form.			
A. OPERATING INCOME	20	20	20		
1. Crop Sales					
2. Livestock & Poultry Sales					
3. Dairy Livestock Sales					
4. Milk Sales					
5. Livestock Product Sales					
6. Ag. Program Payments					
7. Crop Insurance Proceeds					
8. Custom Hire Income					
9. Other Income					
10. TOTAL OPERATING INCOME					
B. OPERATING EXPENSES					
1. Car and Truck					
2. Chemicals					
3. Conservation					
4. Custom Hire					
5. Depreciation					
6. Feed Supplement					
7. Feed, Grain and Roughage					
8. Fertilizers and Lime					
9. Freight and Trucking					
10. Gas/Fuel/Oil					
11. Insurance					
12. Labor Hired					
13. Rent - Machinery/Equipment/Vehicle					
14. Rent - Land/Animals					
15. Repairs and Maintenance					
16. Seeds and Plants					
17. Supplies					
18. Taxes - Real Estate					
19. Utilities					
20. Veterinary/Breeding/Medicine					
21. Other Expenses					
22. Other - Irrigation					
23. Interest					
24. TOTAL OPERATING EXPENSES					

The U.S. Department of Agriculture (USDA) prohibits discrimination in all of its programs and activities on the basis of race, color, national origin, age, disability, and where applicable, sex, marital status, familial status, parental status, religion, sexual orientation, political beliefs, genetic information, reprisal, or because all or part of an individual's income is derived from any public assistance program. (Not all prohibited bases apply to all programs.) Persons with disabilities who require alternative means for communication of program information (Braille, large print, audiotape, etc.) should contact USDA's TARGET Center at (202) 720-2600 (voice and TDD). To file a complaint of discrimination, write to USDA,

Assistant Secretary for Civil Rights, Office of the Assistant Secretary for Civil Rights, 1400 Independence Avenue, S.W., Stop 9410, Washington, DC 20250-9410, or call toll-free at (866) 632-9992 (English) or (800) 877-8339 (TDD) or (866) 377-8642 (English Federal-relay) or (800) 845-6136 (Spanish Federal-relay). USDA is an equal opportunity provider and employer.

FSA-2002 (03-31-10)			Page 2 of 2
C. NON-OPERATING			
	20	20	20
1. Owner Withdrawal			
2. Income Taxes			
3. Non-Farm Income			
4. Non-Farm Expense			
D. FINANCING			
1. Term Principal Payment			
2. Operating Loan Advance			
3. Term Loan Advance			
4. Operating Loan Payment			
E. CAPITAL			
1. Capital Sales			
2. Capital Contributions			
3. Capital Expenditures			
4. Capital Withdrawals			
F. SIGNATURE			

I certify that the information is true, complete, and correct to the best of my knowledge and is provided in good faith.

*Warning:* Section 1001 of Title 18, United States Code, provides for criminal penalties to those who provide false statements. If any information is found to be false or incomplete, such finding may be grounds for denial of the requested action.)

J J J	3	J	1 /	3	0 /	0	3	J 1	/
1. Signature									2. Date

NOTE: The following statement is made in accordance with the Privacy Act of 1974 (5 U.S.C. 552a - as amended). The authority for requesting the information identified on this form is the Consolidated Farm and Rural Development Act, as amended (7 U.S.C. 1921 <u>et</u>. <u>seq</u>.). The information will be used to determine eligibility and feasibility for loans and loan guarantees, and servicing of loans and loan guarantees. The information collected on this form may be disclosed to other Federal, State, and local government agencies, Tribal agencies, and nongovernmental entities that have been authorized access to the information by statute or regulation and/or as described in the applicable Routine Uses identified in the System of Records Notice for USDA/FSA-14, Applicant/Borrower. Providing the requested information is voluntary. However, failure to furnish the requested information may result in a denial for loans and loan guarantees, and servicing of loans and loan guarantees. The provisions of criminal and civil fraud, privacy, and other statutes may be applicable to the information provided.

According to the Paperwork Reduction Act of 1995, an agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a valid OMB control number. The valid OMB control number for this information collection is 0560-0327. The time required to complete this information collection is estimated to average 45 minutes per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information.

RETURN THIS COMPLETED FORM TO YOUR COUNTY FSA OFFICE.

This form is available electronically.

**FSA-2003** (03-31-10)

U.S. DEPARTMENT OF AGRICULTURE

Farm Service Agency

#### Form Approved – OMB No. 0560-0237

Position 3

## THREE-YEAR PRODUCTION HISTORY

1. Name	that provide the information collected on this form.					
A. DAIRY PRODUCTION						
1. DAIRY COWS	20	20	20			
a. Herd Number						
b. Lbs. of Milk Sold						
c. Average Production Per Cow						
d. Calves Sold						
e. Calves Average Sale Weight						
f. Number of Cows Culled						
B. LIVESTOCK AND POULTRY PRODUCTION						
1. Livestock Type:						
a. Units Raised						
b. Units Purchased						
c. Total Units						
d. Units Sold						
e. Death Loss						
f. Purchase Weight						
g. Sales Weight						
2. Livestock Type:						
a. Units Raised						
b. Units Purchased						
c. Total Units						
d. Units Sold						
e. Death Loss						
f. Purchase Weight						
g. Sales Weight						
3. Livestock Type:						
a. Units Raised						
b. Units Purchased						
c. Total Units						
d. Units Sold						
e. Death Loss						
f. Purchase Weight						
g. Sales Weight						
NOTE: The following statement is made in accordance with the form is the Consolidated Farm and Rural Development loans and loan guarantees, and servicing of loans and government agencies, Tribal agencies, and nongovern the applicable Routine Uses identified in the System of However, failure to furnish the requested information r criminal and civil fraud, privacy, and other statutes ma	nt Act, as amended (7 Ú. d loan guarantees. The i nmental entities that hav of Records Notice for US may result in a denial for	S.C. 1921 <u>et. seq.</u> ). The information will be used to information collected on this form may be disclosed the been authorized access to the information by statu DA/FSA-14, Applicant/Borrower. Providing the requ- loans and loan guarantees, and servicing of loans a	determine eligibility and feasibility for to other Federal, State, and local ute or regulation and/or as described in lested information is voluntary.			

According to the Paperwork Reduction Act of 1995, an agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a valid OMB control number. The valid OMB control number for this information collection is 0560-0237. The time required to complete this information collection is estimated to average 45 minutes per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. **RETURN THIS COMPLETED FORM TO YOUR COUNTY FSA OFFICE.** 

The U.S. Department of Agriculture (USDA) prohibits discrimination in all of its programs and activities on the basis of race, color, national origin, age, disability, and where applicable, sex, marital status, familial status, parental status, religion, sexual orientation, political beliefs, genetic information, reprisal, or because all or part of an individual's income is derived

from any public assistance program. (Not all prohibited bases apply to all programs.) Persons with disabilities who require alternative means for communication of program information (Braille, large print, audiotape, etc.) should contact USDA's TARGET Center at (202) 720-2600 (voice and TDD). To file a complaint of discrimination, write to USDA, Assistant Secretary for Civil Rights, Office of the Assistant Secretary for Civil Rights, Office of the Assistant Secretary for Civil Rights, 1400 Independence Avenue, S.W., Stop 9410, Washington, DC 20250-9410, or call toll-free at (866) 632-9992 (English) or (800) 877-8339 (TDD) or (866) 377-8642 (English Federal-relay) or (800) 845-6136 (Spanish Federal-relay). USDA is an equal opportunity provider and employer.

C. CROP PRODUCTIO	N .			
		20	20 _	20
. Crop	Unit			
a. Total Yield				
b. Acres				
c. Average Yield				
2. Crop	Unit			
a. Total Yield				
b. Acres				
c. Average Yield				
3. Crop	Unit			
a. Total Yield				
b. Acres				
c. Average Yield				
1. Crop	Unit			
a. Total Yield				
b. Acres				
c. Average Yield				
5. Crop	Unit			
a. Total Yield				
b. Acres				
c. Average Yield				
6. Crop	Unit			
a. Total Yield				
b. Acres				
c. Average Yield				
7. Crop	Unit			
a. Total Yield				
b. Acres				
c. Average Yield				
3. Crop	Unit			
a. Total Yield				
b. Acres				
c. Average Yield				
). Crop	Unit			
a. Total Yield				
b. Acres				
c. Average Yield				
D. SIGNATURE				

*requested action.)* 1. Signature  $r_{P}$ np ng r *i* J 8

2. Date

FSA-2004

(03-23-10)

Position 3

# AUTHORIZATION TO RELEASE INFORMATION

As part of considering a loan or servicing request, the Farm Service Agency (FSA), USDA, may verify information contained in the application and other documents required in connection with the request.

I authorize you to provide to FSA for verification purposes the following applicable information.

- (1) Employment or income records.
- (2) Bank accounts, stock holdings, and any other assets.
- (3) Other credit references.
- (4) Debt and collateral information.

I further authorize FSA to order a credit report and verify any other credit information.

I understand that under the Right to Financial Privacy Act of 1978, 12 U.S.C. 3401, <u>et seq</u>., FSA is authorized to access my financial records held by financial institutions in connection with the consideration or administration of the loan. I also understand that financial records involving the loan and loan application will be available to FSA without further notice or authorization, but will not be disclosed or released by FSA to another Government agency or department or used for another purpose without my consent except as required or permitted by law.

The information FSA obtains is only to be used to process the request for a loan or servicing assistance. A copy or facsimile of this authorization may be accepted as an original.

Your prompt reply is appreciated.

5A. Nam	e	5B. Signature	5C. Date (MM-DD-YYYY)
Note:	requesting the information identii U.S.C. 1921 et. seq.). The inform servicing of loans and loan guara and local government agencies, information by statute or regulati Notice for USDA/FSA-14, Applic the requested information may re The provisions of criminal and ci According to the Paperwork Rea respond to, a collection of inform this information collection is 0560 10 minutes per response, includi	ince with the Privacy Act of 1974 (5 USC 552a fied on this form is the Consolidated Farm and mation will be used to determine eligibility and antees. The information collected on this form Tribal agencies, and nongovernmental entities on and/or as described in the applicable Routii ant/Borrower. Providing the requested informa esult in a denial for loans and loan guarantees, vil fraud, privacy, and other statutes may be ap fuction Act of 1995, an agency may not conduct pation unless it displays a valid OMB control no 0-0237. The time required to complete this info ing the time for reviewing instructions, searchir d completing and reviewing the collection of in <b>NOFFICE.</b>	Rural Development Act, as amended (7 feasibility for loans and loan guarantees, and may be disclosed to other Federal, State, a that have been authorized access to the ne Uses identified in the System of Records ation is voluntary. However, failure to furnish and servicing of loans and loan guarantees. oplicable to the information provided. Act or sponsor, and a person is not required to tumber. The valid OMB control number for formation collection is estimated to average and existing data sources, gathering and

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To file a complaint of discrimination, write to USDA, Assistant Secretary for Civil Rights, Office of the Assistant Secretary for Civil Rights, 1400 Independence Avenue, S.W., Stop 9410, Washington, DC 20250-9410, or call toll-free at (866) 632-9992 (English) or (800) 877-8339 (TDD) or (866) 377-8642 (English Federal-relay) or (800) 845-6136 (Spanish Federal-relay). USDA is an equal opportunity provider and employer.

FSA-2005

(03-22-10)

U.S. DEPARTMENT OF AGRICULTURE Farm Service Agency Position 3

# **CREDITOR LIST**

A. INSTRUCTIONS: List all creditors to whom you are presently indebted, or prov	ide alternate documents that provide the same
1. Name:	
B. CREDITORS (Complete a separate entry for each creditor)	
1A. Name and Address	1B. Telephone Number
	1C. Account Number
	1D. Contact Person
2A. Name and Address	2B. Telephone Number
	2C. Account Number
	2D. Contact Person
3A. Name and Address	3B. Telephone Number
	3C. Account Number
	3D. Contact Person
4A. Name and Address	4B. Telephone Number
	4C. Account Number
	4D. Contact Person
5A. Name and Address	5B. Telephone Number
	5C. Account Number
	5D. Contact Person

The U.S. Department of Agriculture (USDA) prohibits discrimination in all of its programs and activities on the basis of race, color, national origin, age, disability, and where applicable, sex, marital status, familial status, parental status, religion, sexual orientation, political beliefs, genetic information, reprisal, or because all or part of an individual's income is derived from any public assistance program. (Not all prohibited bases apply to all programs.) Persons with disabilities who require alternative means for communication of program information (Braille, large print, audiotape, etc.) should contact USDA's TARGET Center at (202) 720-2600 (voice and TDD). To file a complaint of discrimination, write to USDA, Assistant Secretary for Civil Rights, Office of the Assistant Secretary for Civil Rights, 1400 Independence Avenue, S.W., Stop 9410, Washington, DC 20250-9410, or call toll-free at (866) 632-9992 (English) or (800) 877-8339 (TDD) or (866) 377-8642 (English Federal-relay) or (800) 845-6136 (Spanish Federal-relay). USDA is an equal opportunity provider and employer.

FSA-2005 (03-22-10)	Page 2
6A. Name and Address	6B. Telephone Number
	6C. Account Number
	6D. Contact Person
7A. Name and Address	7B. Telephone Number
	7C. Account Number
	7D. Contact Person
8A. Name and Address	8B. Telephone Number
	8C. Account Number
	8D. Contact Person
9A. Name and Address	9B. Telephone Number
	9C. Account Number
	9D. Contact Person
<b>C. SIGNATURE</b> I certify that the information is true, complete, and correct to the best of my knowled 1001 of Title 18, United States Code, provides for criminal penalties to those who penalties or incomplete, such finding may be grounds for denial of the requested action	provide false statements. If any information is found to be
1. Signature	2. Date

NOTE: The following statement is made in accordance with the Privacy Act of 1974 (5 U.S.C. 552a - as amended). The authority for requesting the information identified on this form is the Consolidated Farm and Rural Development Act, as amended (7 U.S.C. 1921 <u>et. seq.</u>). The information will be used to determine eligibility and feasibility for loans and loan guarantees, and servicing of loans and loan guarantees. The information collected on this form may be disclosed to other Federal, State, and local government agencies, Tribal agencies, and nongovernmental entities that have been authorized access to the information by statute or regulation and/or as described in the applicable Routine Uses identified in the System of Records Notice for USDA/FSA-14, Applicant/Borrower. Providing the requested information is voluntary. However, failure to furnish the requested information may result in a denial for loans and loan guarantees, and servicing of loans and loan guarantees. The provisions of criminal and civil fraud, privacy, and other statutes may be applicable to the information provided.

According to the Paperwork Reduction Act of 1995, an agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a valid OMB control number. The valid OMB control number for this information collection is 0560-0237. The time required to complete this information collection is estimated to average 20 minutes per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. **RETURN THIS COMPLETED FORM TO YOUR COUNTY FSA OFFICE.** 

Form Approved - OMB No. 0560-0237 (See Page 2 for the Privacy Act and the Public Burden Statements.)

Position 3

FSA-2006

U.S. DEPARTMENT OF AGRICULTURE Farm Service Agency

(03-23-10)

# PROPERTY OWNED AND LEASED

1. Name of Applicant

A. LAND. Include all land owned, to be owned, or leased.										
	Conter of R		whed, to b							10 Countri
1A.	Owner of R	ecord		1B. De	scription					1C. County
1D.	Farm No.	1E. Total	1F. Crop	Acres	1G. Oral/	1H. Crop		11.	Cash Rent	1J. Expiration Date
		Acres			Written Lease	Share				
					Lease			*		
24	Owner of R	Pecord		28 Do	scription		%	\$		2C. County
27.				2D. DC	Scription					
2D.	Farm No.	2E. Total	2F. Crop	Acres	2G. Oral/	2H. Crop		21.	Cash Rent	2J. Expiration Date
		Acres			Written	Share				
					Lease					
							%	\$		
3A.	Owner of R	lecord		3B. De	scription	•				3C. County
20	Farm No.	3E. Total	25 0100	A				21	Cash Rent	21 Evaluation Data
3D.	Farm No.	Acres	3F. Crop	Acres	3G. Oral/ Written	3H. Crop Share		31.	Cash Rent	3J. Expiration Date
		710100			Lease	Charo				
							%	\$		
4A.	Owner of R	lecord		4B. De	scription					4C. County
4D	Farm No.	4E. Total	4F. Crop	Acres	4G. Oral/	4H. Crop		41	Cash Rent	4J. Expiration Date
4D.	r ann No.	Acres	41. Olop /	AU 65	Written	Share		41.	Casiri Kent	
					Lease					
							%	\$		
5A.	Owner of R	lecord		5B. De	scription	1				5C. County
	<b>F</b>			A				<b>-</b> '		
5D.	Farm No.	5E. Total Acres	5F. Crop	Acres	5G. Oral/ Written	5H. Crop Share		51.	Cash Rent	5J. Expiration Date
		70 62			Lease	Share				
							%	\$		
Tho I	IS Dopartmor	t of Agriculturo (USDA)	prohibite disor	imination ir	l all of its programs a	nd activities on the		Ψ	color national or	igin age disability and where

The U.S. Department of Agriculture (USDA) prohibits discrimination in all of its programs and activities on the basis of race, color, national origin, age, disability, and where applicable, sex, marital status, familial status, parental status, religion, sexual orientation, political beliefs, genetic information, reprisal, or because all or part of an individual's income is derived from any public assistance program. (Not all prohibited bases apply to all programs.) Persons with disabilities who require alternative means for communication of program information (Braille, large print, audiotape, etc.) should contact USDA's TARGET Center at (202) 720-2600 (voice and TDD).

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FSA-2006 (03-23-1 B. EQUIPMENT	)) LIVESTOCK. Include (	only equipment/	livestock to be purch	ased, currently	leased.	or to be lea	Page 2 of 2
1.	2.	3.	4.	5.		6.	7.
Owner of Record	I Description	Number of Units	Rent \$	Share %	Туре	of Lease	Expiration Date
		Onits	Ψ	70			
C. CERTIFICAT	ON						
	information provided is						
, 0	on 1001 of title 18, Unit is found to be false or it	· •			-		•
1. Signature	is jound to be juise of th	<i>complete</i> , such	jinaing may be groun	us for actual of	inc requ	2. Date	
	ollowing is made in acco						
	formation identified on t . The information will be						
loans	and loan guarantees.	The information o	collected on this form m	ay be disclosed	to other l	Federal, Sta	ate, and local
	rnment agencies, Tribal atute or regulation and/c						
	A/FSA-14, Applicant/Boi						
	ested information may re						
ine	provisions of criminal and	u uvii irauu, priva	acy, and other statutes	may be applicat		nnonnauon	i provided.
	rding to the Paperwork I						
	ond to, a collection of inf nation collection is 0560						
minu	tes per response, includ	ing the time for re	eviewing instructions, s	earching existing	g data so	urces, gath	ering and
	taining the data needed, <b>M TO YOUR COUNTY I</b>		and reviewing the colle	Clion of Informa	uon. <b>RE</b> I	UKN I HIS	COMPLETED

Form Approved - OMB No. 0560-0237 (See Page 2 for Public Burden Statement.)

This form is available electronically.

FSA-2007 (03-06-08) U.S. DEPARTMENT OF AGRICULTURE Farm Service Agency Position 3

# STATEMENT REQUIRED BY THE PRIVACY ACT FOR NON-APPLICANTS

The Farm Service Agency (FSA) is authorized by the Consolidated Farm and Rural Development Act (7 U.S.C. 1921 <u>et. seq.</u>) or other Acts administered by FSA to solicit the information it deems necessary to support an FSA application from a party other than the applicant.

The information is being requested to support an applicant by the name of (a)\_\_\_\_\_\_

Disclosure of the information requested is voluntary. However, failure to disclose certain items of information requested, including Social Security Number or Tax Identification Number, may delay processing of the application or its rejection.

The principal purposes for collecting the requested information are to determine eligibility for FSA credit or other financial assistance, the need for other servicing actions, and statistical analysis. In accordance with the Privacy Act of 1974 (5 U.S.C. 552a), information provided may be used outside of the Department of Agriculture for the following purposes:

1. Disclosure to interested parties who submit requests under the Freedom of Information Act (FOIA), unless disclosure is prohibited by a FOIA exemption.

2. Referral to a Federal Records Center for storage.

3. When a record on its face, or in conjunction with other records, indicates a violation or potential violation of law, whether civil, criminal or regulatory in nature, and whether arising by general statute or particular program statute, or by regulation, rule, or order issued pursuant thereto, disclosure may be made to the appropriate agency, whether Federal, foreign, State, local, or tribal, or other public authority responsible for enforcing, investigating or prosecuting such violation or charged with enforcing or implementing the statute, or rule, regulation, or order issued pursuant thereto, if the information disclosed is relevant to any enforcement, regulatory, investigative or prosecutive responsibility of the receiving entity.

4. Disclosure to a Member of Congress or to a Congressional staff member in response to an inquiry of the Congressional office made at the written request of the constituent about whom the record is maintained.

5. Disclosure of names, home addresses, Social Security Numbers, Tax Identification Numbers, and financial information to business firms in a trade area that buy chattel or crops or sell them for commission, so that FSA may benefit from the purchaser notification provisions of Section 1324 of the Food Security Act of 1985 [7 U.S.C. 163(e)], which requires that potential purchasers of farm products be advised that a lien exists in order for the creditor to perfect its lien against such purchases.

6. Referral of names, home addresses, Social Security Number, and financial information to:

- a. a collection or servicing contractor, financial institution, or a local, State, or Federal agency, when FSA determines such referral is appropriate for servicing or collecting the borrower's account or as provided for in contracts with servicing or collection agencies.
- b. the Department of Housing and Urban Development as a record of location utilized by Federal agencies for an automatic credit prescreening system.
- c. the Department of Labor, State Wage Information Collection Agencies, and other Federal, State, and local agencies, as well as those responsible for verifying information furnished to qualify for Federal benefits, to conduct wage and benefit matching through manual and/or automated means, for determining compliance with Federal regulations and appropriate servicing actions against those not entitled to program benefits, including possible recovery of improper benefits.

7. Referral of names, home addresses, and financial information to lending institutions when FSA determines the individual may be financially capable of qualifying for credit with or without a guarantee.

8. Referral of names, home addresses, and financial information to financial consultants, advisors, or underwriters, when FSA determines such referral is appropriate for developing packages and marketing strategies involving the sale of FSA loan assets.

#### FSA-2007 (03-06-08) Page 2

9. Disclosure of names, home addresses, Social Security Number, and financial information to lending institutions that have a lien against the same property as FSA for the collection of the debt. These loans can be under the direct and guaranteed loan programs.

10. Disclosure in a proceeding before a court or adjudicative body, when: (a) FSA or any component thereof; or (b) any FSA employee in an official capacity; or (c) any FSA employee in an individual capacity where FSA has agreed to represent the employee; or (d) the United States is a party to litigation or has an interest in such litigation, and by careful review, FSA determines that the records are both relevant and necessary to the litigation, provided, however, that in each case, FSA determines that disclosure of the information contained in the records is a use that is compatible with the purpose for which FSA collected the records.

11. Disclosure to the Department of Justice when: (a) FSA or any component thereof; or (b) any FSA employee in an official capacity where the Department of Justice has agreed to represent the employee; or (c) the United States Government, is a party to litigation or has an interest in such litigation, and by careful review, FSA determines that the records are both relevant and necessary to the litigation and the use of such records by the Department of Justice is, therefore deemed by FSA to be compatible with the purpose for which FSA collected by records.

12. Referral of legally enforceable debts to the Department of the Treasury, Internal Revenue Service (IRS), to be offset against any tax refund that may become due the debtor for the tax year in which the referral is made, according to IRS regulations at 26 CFR 01.6402-6T, and the authority in 31 U.S.C. 3720A.

13. Referral of information regarding indebtedness to the Defense Manpower Data Center, Department of Defense, and the United States Postal Service for conducting computer matching programs to identify and locate individuals receiving Federal salary or benefit payments and who are delinquent in their repayment of debts owed to the Government under certain programs administered by FSA to collect debts under the provisions of the Debt Collection Act of 1982 [5 U.S.C. 5514] by voluntary repayment, administrative or salary offset procedures, or by collection agencies.

14. Referral to private attorneys under contract with either FSA or the Department of Justice for foreclosure and possession actions and collection of past due FSA accounts.

15. To provide the basis for borrower success stories in Department of Agriculture news releases.

16. Referral to a credit reporting agency.

Every effort will be made to protect the privacy of applicants and borrowers.

17. Disclosure of the name, home address, and information concerning default on loan repayment when the default involves a security interest in tribal allotted or trust land. Pursuant to the Cranston-Gonzales National Affordable Housing Act of 1990 (42 U.S.C. 12701 <u>et seq.</u>), liquidation may be pursued only after offering to transfer the account to an eligible tribal member, the tribe, or the Indian Housing Authority serving the tribe(s).

18. Disclosure of certain information to state-certified or state-licensed appraisers and employees of other Federal agencies qualified to perform real estate appraisals.

#### This acknowledges receipt of the above. The undersigned has read this form, and accepts the conditions stated therein.

**NOTE:** According to the Paperwork Reduction Act of 1995, an agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a valid OMB control number. The valid OMB control number for this information collection is 0560-0237. The time required to complete this information collection is estimated to average 15 minutes per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. **RETURN THIS COMPLETED FORM TO YOUR COUNTY FSA OFFICE.** 

1A. Name of Non-Applicant	1B. Signature	1C. Date

The U.S. Department of Agriculture (USDA) prohibits discrimination in all its programs and activities on the basis of race, color, national origin, age, disability, and where applicable, sex, marital status, familial status, parental status, religion, sexual orientation, genetic information, political beliefs, reprisal, or because all or part of an individual's income is derived from any public assistance program. (Not all prohibited bases apply to all programs.) Persons with disabilities who require alternative means for communication of program information (Braille, large print, audiotape, etc.) should contact USDA's TARGET Center at (202) 720-2600 (voice and TDD). To file a complaint of discrimination, write to USDA, Director, Office of civil Rights, 1400 Independence Avenue, S.W., Washington, D.C. 20250-9410, or call (800) 795-3272 (voice) or (202) 720-6382 (TDD). USDA is an equal opportunity provider and employer.

This form is available electronically.

Form Approved – OMB No. 0560-0238 (See Page 3 for Privacy Act and Public Burden Statements.)

Position 3

**FSA-2037** (03-24-10)

U.S. DEPARTMENT OF AGRICULTURE

Farm Service Agency

FARM BUSINESS PLAN WORKSHEET Balance Sheet										
1. NAME				Dalanc	e oneet	2. Da	te of Balance S	Sheet		
A – CURRENT ASSETS	6				B – CUR					
1A. Cash and Equivalents				\$ Value		unts Payable			\$ Amount	
1B. Marketable Bonds and	Securities									
1C. Accounts Receivable					2B. Incor	ne Taxes Payab	le			
					2C. Real	Estate Taxes Pa	avable			
1D. Crop Inventory	1E.	1F.	1G.	\$ Value			.)			
	Measure	# Units	\$/Unit	••••••		Notes	Pavable Due V	Vithin 12 Months		
					2D. Creditor			2E. Purpose		
					2F.	2G. Accured	2H. Payment	2I. Next Payment	2J. Principal	
					Interes Rate	5L Interest	Amount	Date	Balance	
		11.	1J.		(1)					
1H. Growing Crops		# Acres	Cost/Acre	\$ Value						
					(2)		[		[	
					(3)					
	1L.	1M.	1N.	<b>•</b> • • • •						
1K. Market Livestock-Poultry	# Head	Weight	\$/Unit	\$ Value	(4)					
						ed Interest On:			¢ Amount	
									\$ Amount	
					-	rrent Liabilities ermediate Liabilitie				
							5			
10. Livestock Products	1P.	1Q.	1R.	\$ Value	-	ng Term Liabilities	al Due On:			
	Measure	# Units	\$/Unit	ψναιαε	-	rrent Liabilities				
					-	ermediate Liabilitie	s			
1S. Prepaid Expenses and Su	oplies				_	Current Liabilities	J			
	~~~~~									
					1					
1T. Other Current Assets										

1U. TOTAL CURRENT ASSETS (Items 1A through 1T)	2N. TOTAL CURRENT LIABILITIES (Items 2A through 2M)	
------------------------------------------------	-----------------------------------------------------	--

	<u>(03-24-10)</u>										Page 2 of 4	
C – INTER	MEDIATE	ASSETS				E-	- INTERN	IEDIATE LI	ABILITIES			
		nt/Farm Vehicles	s (Entered on F	Page 4)			5A. Creditor			5B. Purpose		
3B. Breeding	Stock	3C. Raised/Purch	3D. # Head	3E. \$/Head	\$ Value		5C. Interest Rate	5D. Accrued Interest	5E. Payment Amount	5F. Next Payment Date	5G. Principal Balance	
						(1)						
						(2)						
						(3)						
3F. Notes Receivable						(4)						
						(5)						
3G. Not Readily Marketable Bonds and Securities												
						(6)						
3H. Other Inte	ermediate As	sets				(7)		•				
3I. TOTAL IN	TERMEDIAT	E ASSETS (Iter	ns 3A throug	h 3H)		5H.	TOTAL IN	TERMEDIATE	LIABILITIES (Ite	em 5G (1 through 7))		
D – LONG	TERM AS	SETS				F-	F – LONG TERM LIABILITIES					
4A. Building a	and Improvem	nents			\$ Value	6A.	Creditor					
							6C.	6D. Accrued	6E. Payment	6F. Next Payment	6G. Principal	
							Interest	Interest	Amount	Date	Balance	
						(1)			Amount			
						(1)	Interest		Amount			
						(1)	Interest		Amount			
4B. Real Estate-Land	4C. Total Acres	4D. Crop Acres	4E. %Owned	4F. \$/Acre			Interest		Amount			
4B. Real Estate-Land	4C. Total Acres	4D. Crop Acres	4E. %Owned	4F. \$/Acre			Interest		Amount			
				4F. \$/Acre		(2)	Interest		Amount			
				4F. \$/Acre		(2)	Interest		Amount			
				4F. \$/Acre		(2) (3)	Interest		Amount			
				4F. \$/Acre		(2) (3)	Interest		Amount			
				4F. \$/Acre		(2) (3) (4)	Interest		Amount			
				4F. \$/Acre		(2) (3) (4)	Interest		Amount			
				4F. \$/Acre		(2) (3) (4) (5)	Interest		Amount			
	Acres	Acres		4F. \$/Acre	\$ Value	(2) (3) (4) (5)	Interest		Amount			
Estate-Land	Acres	Acres		4F. \$/Acre	\$ Value	(2) (3) (4) (5) (6)	Interest		Amount			
Estate-Land	Acres	Acres		4F. \$/Acre	\$ Value	(2) (3) (4) (5) (6)	Interest		Amount			
Estate-Land	Acres	Acres	%Owned		Value	(2) (3) (3) (4) (4) (5) (6) (6) (7)	Interest Rate					

6J. TOTAL FARM EQUITY (Item 4I minus Item 6I)
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<b>FSA-2037</b> (03-24-10)							Page 3 of 4	
G – PERSONAL ASSETS		Η-	- PERSO	NAL LIABI	LITIES			
	\$ Value	8A.	Creditor			8B. Purpose		
7A. Cash and Equivalents			8C. Interest Rate	8D. Accrued Interest	8E. Payment Amount	8F. Next Payment Date	8G. Principal Balance	
7B. Stocks, Bonds		(1)						
7C. Cash Value Life Insurance								
7D. Other Current Assets		(2)						
7E. Household Goods								
7F. Car, Recreational Vehicle, Etc.		(3)						
7G. Other Intermediate Assets								
7H. Retirement Accounts		(4)						
7I. Non-Farm Business								
7J. Nom-Farm Real Estate		8H.	Other Liabi	ilities				
7K. Other Long Term Assets								
7L. TOTAL PERSONAL ASSETS (Items 7A through 7K)		8I. T	TOTAL PER	SONAL LIABI	LITIES			
7M. TOTAL ASSETS (Item 4I and Item 7L)		8J.	TOTAL LIA	BILITIES (Item	61 and Item 81)			
I - WARNING		8K.	TOTAL EQ	UITY (Item 7M	minus Item 8J)			
finding may be grounds for denial of the requested action.) 9A. SIGNATURE 10. COMMENTS					9B. DATE			
<ul> <li>NOTE: The following statement is made in accordance with the Privacy Act of 1974 (5 USC 552a - as amended). The authority for requesting the information identified on this form is the Consolidated Farm and Rural Development Act, as amended (7 U.S.C. 1921 et. seq.). The information will be used to determine eligibility and feasibility for loans and loan guarantees, and servicing of loans and loan guarantees. The information collected on this form may be disclosed to other Federal, State, and local government agencies, Tribal agencies, and nongovernmental entities that have been authorized access to the information by statute or regulation and/or as described in the applicable Routine Uses identified in the System of Records Notice for USDA/FSA-14, Applicant/Borrower. Providing the requested information is voluntary. However, failure to furnish the requested information may result in a denial for loans and loan guarantees, and servicing of loans and loan guarantees. The provisions of criminal and civil fraud, privacy, and other statutes may be applicable to the information provided.</li> <li>According to the Paperwork Reduction Act of 1995, an agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a valid OMB control number. The valid OMB control number for this information collection is 0560-0238. The time required to complete this information collection is estimated to average 1.25 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. RETURN THIS COMPLETED FORM TO YOUR COUNTY FSA OFFICE.</li> <li>The U.S. Department of Agriculture (USDA) prohibits discrimination in all of its programs and activities on the basis of race, color, national origin, age, disability, and where applicable, sex, marital status, familial status, parental status, religion, sexual orientation, politica</li></ul>								

2600 (voice and TDD). To file a complaint of discrimination, write to USDA, Assistant Secretary for Civil Rights, Office of the Assistant Secretary for Civil Rights, 1400 Independence Avenue, S.W., Stop 9410, Washington, DC 20250-9410, or call toll-free at (866) 632-9992 (English) or (800) 877-8339 (TDD) or (866) 377-8642 (English Federal-relay) or (800) 845-6136 (Spanish Federal-relay). USDA is an equal opportunity provider and employer.

FSA-2037 J – MACH	(03-24-10) INEY AND EQUIPEME	NT					Page 4 of 4
11A. Qty.	11B. Description	11C. Manufacturer	11D. Size/Type	11E. Condition	11F. Year	11G. Serial Number	11H. \$ Value
Giy.	Description	Manufacturer	Size/Type	Condition	rear	Genarivumber	φναίαε
					11I TOTAL \$ \	VALUE OF (ITEM 1H)	
K – FARM	VEHICLES						
12A. Qty.	12B. Description	12C. Manufacturer	12D. Size/Type	12E. Condition	12F. Year	12G. Serial Number/VIN	12H. \$ Value
—							
<del> </del>			1				
		1	1				

12I. TOTAL \$ VALUE OF (12H)								
12J. TOTAL \$ VALUE OF (ITEMS 11I AND 12I) TRANSFER TO ITEM 3A)								

This form is available electronically.

Form Approved – OMB No. 0560-0238 (See Page 2 for Privacy Act and Public Burden Statements.)

<b>FSA-2038</b> (03-24-10)					U.S. DEPARTMENT OF AGRICULTURE Position 3 Farm Service Agency										
				FAR		INESS F				ΞT					
1. NAME				2. Fo		n Cycle Be								Projected	
						2	20	Thr	u:			_ 20		Actual	
A - INCOME															
1. Crop Sales:	1		Drog	luction		11	- 1		Purchas				Sal		
1A. Description	1E Acr		1C. Yield	luction 1D. % Share	1E. # Unit	Farm	Use	1G. # Units	1H. \$/Unit	11. Total \$	1J # Ur		1K. \$/Unit	es 1L. Total \$	
2. Livestock and Poult	v Sale	s:													
	2B. 2C.			Purchases			2G.				Sales				
2A. Description	Purch	/Raised R	# Uni		2D. Veight	2E. \$/Unit	2F. Total		Death Los	s 2H. # Unit	s Wei	l. ght	2J. \$/Unit	2K. Total \$	
3. Dairy Livestock Sale	s:														
3A. Description	3 Purch	3B. /Raised	3C. # Hea			Purchases				3G. Death Loss		Sales			
	Р	R			3D. Veight	3E. \$/Unit	3F. Total			3H. # Unit	3I s Wei		3J. \$/Unit	3K. Total \$	
4. Milk Sales:															
4A. Description					4B. # Head	Pre	4C. oduction/H	ead/Yea	r T	4D. otal Product	ion	4E Pric		4F. Sales \$	
E. Linesteck Desider ( )	-l														
5. Livestock Product S	ales:				5E	3.		5C.				Sale	es		
5A. Description					Produ		M	easure		5D. Units		5E. \$/Unit		5F. Total \$	
							1		1		1				

<b>FSA-2038</b> (03-24-10) P							
A - INCOME (Continued)							
6. Ag Program Payments	\$ Amount	8. Custom Hire Income	\$ Amount				
7. Crop Insurance Proceeds	\$ Amount	9. Other Income	\$ Amount				
		10. Total Income (Items 1 through 9)					
B - EXPENSES							
11. Car and Truck	\$ Amount	23. Rent – Land/Animals	\$ Amount				
12. Chemicals		24. Repairs and Maintenance					
13. Conservation		25. Seeds and Plants					
14. Custom Hire		26. Supplies					
15. Feed Supplement		27. Taxes – Real Estate					
16. Feed, Grain and Roughage		28. Utilities					
17. Fertilizers and Lime		29. Veterinary/Breeding/Medicine					
18. Freight and Trucking		30. Other Expenses					
19. Gas/Fuel/Oil		31. Other - Irrigation					
20. Insurance							
21. Labor Hired							
22. Rent – Machinery/Equipment/Vehicles		32. Interest					
		33. Total Expenses (Items 11 through 32)					
C – NON-OPERATING 34. Owner Withdrawal (Total Family Living Expenses							
and Non-Farm Debt Payments)		36. Non-Farm Income					
35. Income Taxes		37. Non-Farm Expense					
D - CAPITAL							
38. Capital Sales		40. Capital Expenditures					
39. Capital Contributions		41. Capital Withdrawals					
E - WARNING							
Section 1001 of Title 18, United States Code, provid	les for criminal p	to the best of my knowledge and is provided in good fai enalties to those who provide false statements. If any is					
found to be false or incomplete, such finding may be	e grounds for den						
42A. SIGNATURE		42B. DATE					
<b>NOTE:</b> The following statement is made in accordance with the Privacy Act of 1974 (5 USC 552a - as amended). The authority for requesting the information identified on this form is the Consolidated Farm and Rural Development Act, as amended (7 U.S.C. 1921 et. seq.). The information will be used to determine eligibility and feasibility for loans and loan guarantees, and servicing of loans and loan guarantees. The information collected on this form may be disclosed to other Federal, State, and local government agencies, Tribal agencies, and nongovernmental entities that have been authorized access to the information by statute or regulation and/or as described in the applicable Routine Uses identified in the System of Records Notice for USDA/FSA-14, Applicant/Borrower. Providing the requested information is voluntary. However, failure to furnish the requested information may result in a denial for loans and loan guarantees, and servicing of loans and loan guarantees. The provisions of criminal and civil fraud, privacy, and other statutes may be applicable to the information provided.							
information unless it displays a valid OMB control n complete this information collection is estimated to	number. The valid ON average 1.25 hours p	conduct or sponsor, and a person is not required to respond to, a c AB control number for this information collection is 0560-0238. The per response, including the time for reviewing instructions, searchir d reviewing the collection of information. <b>RETURN THIS COMPLE</b>	time required to ng existing data				

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#### ACH VENDOR/MISCELLANEOUS PAYMENT ENROLLMENT FORM

This form is used for Automated Clearing House (ACH) payments with an addendum record that contains payment-related information processed through the Vendor Express Program. Recipients of these payments should bring this information to the attention of their financial institution when presenting this form for completion. See Page 2 for additional instructions.

#### **PRIVACY ACT STATEMENT**

The following information is provided to comply with the Privacy Act of 1974 (P.L. 93-579). All information collected on this form is required under the provisions of 31 U.S.C. 3322 and 31 CFR 210. This information will be used by the Treasury Department to transmit payment data, by electronic means to vendor's financial institution. Failure to provide the requested information may delay or prevent the receipt of payments through the Automated Clearing House Payment System.

AGENCY INFORMATION										
FEDERAL PROGRAM AGENCY:										
AGENCY IDENTIFIER:	AGENCY LOCATION CODE (ALC):	ACH FORMAT:								
		CCD+								
ADDRESS:										
CONTACT PERSON NAME:			TELEPHONE NUMBER (Include Area Code):							
ADDITIONAL INFORMATION:										

PAYEE / COMPAN	IY INFORMATION
NAME	SSN NO. OR TAXPAYER ID NO.:
ADDRESS:	
CONTACT PERSON NAME:	
CONTACT PERSON NAME:	TELEPHONE NUMBER (Include Area code):
FINANCIAL INSTITU	
NAME:	
ADDRESS:	
ACH COORDINATOR NAME:	TELEPHONE NUMBER (Include Area code):
DEPOSITOR ACCOUNT TITLE:	
DEPOSITOR ACCOUNT NUMBER:	LOCKBOX NUMBER:
TYPE OF ACCOUNT: CHECKING SAVING	
SIGNATURE AND TITLE OF AUTHORIZED OFFICIAL: (Could be the same as ACH Coordinator):	TELEPHONE NUMBER (Include Area code):
AUTHORIZED FOR LOCAL REPRODUCTION	SF 3881 (Rev 2/2003) Prescribed by Department of Treasury

31 US C 3322; 31 CFR 21 0

# Instructions for Completing SF 3881 Form

Make three copies of form after completing. Copy 1 is the Agency Copy; copy 2 is the Payee/Company Copy; and copy 3 is the Financial Institution Copy.

- 1. Agency Information Section Federal agency prints or types the name and address of the Federal program agency originating the vendor / miscellaneous payment, agency identifier, agency location code, contact person name and telephone number of the agency. Also, the appropriate box for ACH format is checked.
- Payee / Company Information Section Payee prints or types the name of the payee / company and address
  that will receive ACH vendor / miscellaneous payments, social security or taxpayer ID number, and contact
  person name and telephone number of the payee / company. Payee also verifies depositor account number,
  account title, and type of account entered by your financial institution in the Financial Institution Information
  Section.
- 3. Financial Institution Information Section Financial institution prints or types the name and address of the payee / company's financial institution who will receive the ACH payment, ACH coordinator name and telephone number, nine-digit routing transit number, depositor (payee / company) account title and account number. Also, the box for type of account is checked, and the signature, title, and telephone number of the appropriate financial institution official are included.

#### **BURDEN ESTIMATE STATEMENT**

The estimated average burden associated with this collection of information is 15 minutes per respondent or recordkeeper, depending on individual circumstances. Comments concerning the accuracy of this burden estimate and suggestions for reducing this burden should be directed to the Financial Management Service, Facilities Management Division, Property and Supply Branch, Room B-101, 3700 East-West Highway, Hyattsville, MD 20782 and the Office of Management and Budget, Paperwork Reduction Project (1510-0056), Washington, DC 20503.

# DIRECT DEPOSIT SIGN-UP FORM

#### DIRECTIONS

- To sign up for Direct Deposit, the payee is to read the back of ٠ this form and fill in the information requested in Sections 1 and 2. Then take or mail this form to the financial institution. The financial institution will verify the information in Sections 1 and 2, and will complete Section 3. The completed form will be returned to the Government agency identified below.
- A separate form must be completed for each type of payment to ٠ be sent by Direct Deposit.
- The claim number and type of payment are printed on Government checks. (See the sample check on the back of this form). This information is also stated on beneficiary/annuitant award letters and other documents from the Government agency.
- · Payees must keep the Government agency informed of an address changes in order to receive important information about benefits and to remain qualified for payments.

	SECT	UN 1 (TO BE CO	IVIP	LEII		3 Y I	PAY		E)													
A NAME OF PAYEE (last, first		D TYPE OF DEPOSITOR ACCOUNT CHECKING SAVINGS																				
			ED	EPOS	SITOR	R AC	cou	JN.	T NU	ΙМВ	ER											
ADDRESS (street, route, F	P.O. Box, APO/FPO)																					]
CITY	STATE	ZIP CODE	F TYPE OF PAYMENT (Check only one)     Social Security     Supplemental Security Income     Mil. Active:													Divilian Pay						
TELEPHONE NUMBER				Sup	pleme	ental	l Sec	urit	ty Inc	com	е			N	/il. /	Active	»: _					
AREA CODE			Railroad Retirement         Mil. Retire.:																			
B NAME OF PERSON(S) ENT	TITLED TO PAYMENT			Civil	Servi	ice I	Retire	em	ent (0	OPI	Л)			N	Ail. S	Surviv	vor:					
				VA C	Compe	ensa	ation	or	Pens	sion				C	Othe	r:	_					
																			(spe	cify	1)	
C CLAIM OR PAYROLL ID NU	JMBER		G THIS BOX FOR ALLOTMENT OF PAYMENT ONLY (if applicable)																			
Prefix	TYPE AMOUNT Suffix																					
	JOINT PAYEE CERTIFICATION				JOII	NT	ACC	ou		HOL	DEF	25' 0	ER	TIFIC	AT'	ON (	optic	onal)				
read and understood the ba	<ul> <li>the payment identified above, a ack of this form. In signing this for he financial institution named be d account.</li> </ul>	orm, I authorize	JOINT ACCOUNT HOLDERS' CERTIFICATION (optional) I certify that I have read and understood the back of this form, including the SPECIAL NOTICE TO JOINT ACCOUNT HOLDERS.																			
SIGNATURE		DATE	SIG	SNATU	JRE													DAT	ΓE			
SIGNATURE		DATE	SIG	BNATL	JRE													DAT	DATE			
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	above-named payee(s) and the agrees to receive and deposit the																		n, I ce	ertif	y	
PRINT OR TYPE REPRESEN	TATIVE'S NAME	SIGNATURE OF REF	RES	SENTA	TIVE					ſ	ELE	PHC	DNE	NUM	1BE	R		DAT	ΓE			
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#### SECTION 1 /TO BE COMPLETED BY DAVEE

#### **BURDEN ESTIMATE STATEMENT**

The estimated average burden associated with this collection of information is 10 minutes per respondent or record-keeper, depending on individual circumstances. Comments concerning the accuracy of this burden estimate and suggestions for reducing this burden should be directed to the Financial Management Service, Facilities Management Division, Property & Supply Section, Room B-101, 3700 East-West Highway, Hyattsville, MD 20782 or the Office of Management and Budget, Paperwork Reduction project (1510-0007), Washington, D.C. 20503.

## PLEASE READ THIS CAREFULLY

All information on this form, including the individual claim number, is required under 31 USC 3322, 31 CFR 209 and/or 210. The information is confidential and is needed to prove entitlement to payments. The information will be used to process payment data from the Federal agency to the financial institution and/or its agent. Failure to provide the requested information may affect the processing of this form and may delay or prevent the receipt of payments through the Direct Deposit/Electronic Funds Transfer Program.

## **INFORMATION FOUND ON CHECKS**

Most of the information needec complete boxes A, C, and F in Section 1 is printed on your government check:

A) Be sure that payee's name is written exactly as it appears on the check. Be sure current address is shown.

C) Claim numbers and suffixes are printed here on checks beneath the date for the type of payment shown here. Check the Green Book for the location of prefixes and suffixes for other types of payments.



F) Type of payment is printed to the left of the amount.

## SPECIAL NOTICE TO JOINT ACCOUNT HOLDERS

Joint account holders should immediately advise both the Government agency and the financial institution of the death of a beneficiary. Funds deposited after the date of death or ineligibility, except for salary payments, are to be returned to the Government agency. The Government agency will then make a determination regarding survivor rights, calculate survivor benefit payments, if any, and begin payments.

## CANCELLATION

The agreement represented by this authorization remains in effect until cancelled by the recipient by notice to the Federal agency or by the death or legal incapacity of the recipient. Upon cancellation by the recipient, the recipient should notify the receiving financial institution that he/she is doing so.

The agreement represented by this authorization may be cancelled by the financial institution by providing the recipient a written notice 30 days in advance of the cancellation date. The recipient must immediately advise the Federal agency if the authorization is cancelled by the financial institution. The financial institution cannot cancel the authorization by advice to the Government agency.

## CHANGING RECEIVING FINANCIAL INSTITUTIONS

The payee's Direct Deposit will continue to be received by the selected financial institution until the Government agency is notified by the payee that the payee wishes to change the financial institution receiving the Direct Deposit. To effect this change, the payee will complete a new SF 1199A at the newly selected financial institution. It is recommended that the payee maintain accounts at both financial institutions until the transition is complete, i.e., after the new financial institution receives the payee's Direct Deposit payment.

## FALSE STATEMENTS OR FRAUDULENT CLAIMS

Federal law provides a fine of not more than \$10,000 or imprisonment for not more than five (5) years or both for presenting a false statement or making a fraudulent claim.

This form is available electronically.

#### U.S. DEPARTMENT OF AGRICULTURE Farm Service Agency

Position 3

FSA-2302 (03-22-10)

#### DESCRIPTION OF FARM TRAINING AND EXPERIENCE

INSTRUCTIONS: For new applicants or applicants adding new enterprise only.

1. NAME:

2. TRAINING: Describe completed farm training. Include any courses or training in production or financial management.

3. EXPERIENCE: Describe farm experience. Include the type of operation where experience was gained and the duties and responsibilities of the position held.

4A. SIGNATURE

4B. DATE

**NOTE:** The following statement is made in accordance with the Privacy Act of 1974 (5 U.S.C. 552a - as amended). The authority for requesting the information identified on this form is the Consolidated Farm and Rural Development Act, as amended (7 U.S.C. 1921 <u>et. seq.</u>). The information will be used to determine eligibility and feasibility for loans and loan guarantees, and servicing of loans and loan guarantees. The information collected on this form may be disclosed to other Federal, State, and local government agencies, Tribal agencies, and nongovernmental entities that have been authorized access to the information by statute or regulation and/or as described in the applicable Routine Uses identified in the System of Records Notice for USDA/FSA-14, Applicant/Borrower. Providing the requested information is voluntary. However, failure to furnish the requested information may result in a denial for loans and loan guarantees, and servicing of loans and loan guarantees. The provisions of criminal and civil fraud, privacy, and other statutes may be applicable to the information provided.

According to the Paperwork Reduction Act of 1995, an agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a valid OMB control number. The valid OMB control number for this information collection is 0560-0237. The time required to complete this information collection is estimated to average 20 minutes per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. **RETURN THIS COMPLETED FORM TO YOUR COUNTY FSA OFFICE.** 

The U.S. Department of Agriculture (USDA) prohibits discrimination in all of its programs and activities on the basis of race, color, national origin, age, disability, and where applicable, sex, marital status, familial status, parental status, religion, sexual orientation, political beliefs, genetic information, reprisal, or because all or part of an individual's income is derived from any public assistance program. (Not all prohibited bases apply to all programs.) Persons with disabilities who require alternative means for communication of program information (Braille, large print, audiotape, etc.) should contact USDA's TARGET Center at (202) 720-2600 (voice and TDD). To file a complaint of discrimination, write to USDA, Assistant Secretary for Civil Rights, 0ffice of the Assistant Secretary for Civil Rights, 1400 Independence Avenue, S.W., Stop 9410, Washington, DC 20250-9410, or call toll-free at (866) 632-9992 (English) or (800) 877-8339 (TDD) or (866) 377-8642 (English Federal-relay) or (800) 845-6136 (Spanish Federal-relay). USDA is an equal opportunity provider and employer.

This form is available electronically.	Form Approved – OMB No. 0560-0237									
FSA-2370U.S. DEPARTMENT ( Farm Service)(03-23-10)Farm Service)										
REQUEST FOR WAIVER OF BORRO	OWER TRAINING REQUIREMENTS									
PART A – WAIVER REQUEST										
FSA may waive the financial and/or production training requirements	if the applicant has:									
(1) successfully completed a financial management training program. Applicant must submit evidence of having completed a similar course as those approved by FSA, including description of content and subjects covered in the course, grade received, or certificate of completion.										
(2) experience and/or training which demonstrates the abilities submit, at a minimum, production records for the past 3 ye production ability.	s necessary for successful and efficient production. Applicant must ars and explain how the production records demonstrate									
1. I (a)	request FSA grant a waiver									
	from (b) financial management and/or (c) production borrower training requirements, contained in 7 CFR 764,									
2A. Signature	2B. Date (MM-DD-YYYY)									
PART B – FSA USE ONLY										
3A. FSA's Decision:	3B. If Denied, Reason for Denial									
APPROVED:  Financial Management  Production										
DENIED: Financial Management Production										
4A. Name	4B. Title									
4C. Signature	4D. Date (MM-DD-YYYY)									
identified on this form is the Consolidated Farm and Rural Develop used to determine eligibility and feasibility for loans and loan guara collected on this form may be disclosed to other Federal, State, and entities that have been authorized access to the information by stat identified in the System of Records Notice for USDA/FSA-14, Appli failure to furnish the requested information may result in a denial fo The provisions of criminal and civil fraud, privacy, and other statute According to the Paperwork Reduction Act of 1995, an agency may collection of information unless it displays a valid OMB control num this information collection is 0560-0237. The time required to comp	not conduct or sponsor, and a person is not required to respond to, a ber. The valid OMB control number. The valid OMB control number for collect this information collection is estimated to average 30 minutes per xisting data sources, gathering and maintaining the data needed , and <b>ED FORM TO YOUR COUNTY FSA OFFICE.</b>									
applicable, sex, marital status, familial status, parental status, religion, sexual orientation income is derived from any public assistance program. (Not all prohibited bases apply to communication of program information (Braille, large print, audiotape, etc.) should contact	, political beliefs, genetic information, reprisal, or because all or part of an individual's o all programs.) Persons with disabilities who require alternative means for									

To file a complaint of discrimination, write to USDA, Assistant Secretary for Civil Rights, Office of the Assistant Secretary for Civil Rights, 1400 Independence Avenue, S.W., Stop 9410, Washington, DC 20250-9410, or call toll-free at (866) 632-9992 (English) or (800) 877-8339 (TDD) or (866) 377-8642 (English Federal-relay) or (800) 845-6136 (Spanish Federal-relay). USDA is an equal opportunity provider and employer.

USDA Form RD 1940-20	FOUFST	FOR	Po FNVIRO			FORM APPROVED OMB No. 0575-0094			
Rev. 4-06)	LULOI	TOR		T ATAT	ENTAL INFORMATION	Name o	of Proj	ect	
					-	Locatio	on		
Image: Second state of the second s	py attached tion reques on Officer Rural Deve d uses or er	d as EX ted in I (SHPO elopme	HIBIT I-A. nstructions as ) has been pro nt Office. [ nental resourc	EXH ovideo	a detailed project description and h s  D No Date description sub her to be affected by the proposal or	nas been mitted	to SHI	PO	
project site(s)? (Check appro	Yes	No	Unknown	jouov	ving checklist).		Yes	No	Unknown
1. Industrial	🗆			19.	Dunes				
2. Commercial.	🗆			20.	Estuary				
3. Residential.	🗆			21.	Wetlands				
4. Agricultural	🗆			22.	Floodplain				
5. Grazing	🗆			23.	Wilderness				
6. Mining, Quarrying	🗆				Wilderness Act)				
7. Forests	🗆			24.	Wild or Scenic River (proposed or designated under the				
8. Recreational	🗆				and Scenic Rivers Act)		_	_	_
9. Transportation	🗆			25.	Historical, Archeological Sites (Listed on the National Register of				
0. Parks	🗆				<i>Historic Places or which may be eligible for listing)</i>				
1. Hospital	🗆			26.	Critical Habitats (endangered /threatened species)				
2. Schools	🗆			27.	Wildlife				
3. Open spaces	🗆			28.	Air Quality				
4. Aquifer Recharge Area	🗆			29.	Solid Waste Management				
5. Steep Slopes	🗆			30.	Energy Supplies				
16. Wildlife Refuge	🗆			31.	Natural Landmark				
7. Shoreline	🗆				(Listed on National Registry of Na Landmarks)	tural			
18. Beaches					Coastal Barrier Resources System				
tem 4. Are any facilities under your ov consideration for listing on the	-		-		tilized in the accomplishment of this List of Violating Facilities? $\Box$ Ye		ct, eith No	er liste	d or under
				Si	gned:				
(Date)					(A	pplicar	ıt)		
						Title)			
					(	inc)			

According to the Paperwork Reduction Act of 1995, an agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a valid OMB control number. The valid OMB control number for this information collections is 0575-0094. The time required to complete this information collection is estimated to average 6 to 10 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information.

#### **INSTRUCTIONS FOR PREPARING FORM RD 1940-20**

Federal agencies are required by law to independently assess the expected environmental impacts associated with proposed Federal actions. It is extremely important that the information provided be in sufficient detail to permit Rural Department to perform its evaluation. Failure to provide sufficient data will delay agency review and a decision on the processing of your application.

This information request is designed to obtain an understanding of the area's present environmental condition and the project's elements that will affect the environment. Should you believe that an item does not need to be addressed for your project, consult with the RD office from which you received this Form before responding. In all cases when it is believed that an item is not applicable, explain the reasons for this belief.

It is important to understand the comprehensive nature of the information requested. Information must be provided for a) the site(s) where the project facilities will be constructed and the surrounding areas to be directly and indirectly affected by its operation and b) the areas affected by any primary beneficiaries of the project. The amount of detail should be commensurate with the complexity and size of the project, and the magnitude of the expected impact. Some examples:

A small community center project may not require detailed information on air emissions, meteorological conditions and solid waste management.

A water resource, industrial development, or housing development project will require detailed information.

Item la - Compare the Environmental Impact Statement or Analysis that was previously prepared with the information requested in the instructions for Item lb below to be sure that every point in the information request is covered in the Environmental Impact Statement or Analysis. If any of the requested information is not covered, attach to the Environmental Impact Statement or Analysis a supplemental document that corrects any deficiencies or omissions.

Item lb - Provide responses to the following items in the order listed and attach as <u>EXHIBIT I</u>. In order to understand the full scope of the land uses and environmental factors that need to be considered in responding to these items, it may be helpful to complete Item 3 of the Form before completing these narrative responses. If your application is for a project that Rural Development has classified as a Class I action, complete only parts (1), (2), (13), (15), (16), and (17) of this Item. The Rural Development office from which you received this Form can tell you if your application falls within the Class I category.

## (1) Primary Beneficiaries

Identify any existing businesses or major developments that will benefit from the proposal, and those which will expand or locate in the area because of the project. These businesses or major developments hereafter will be referred to as primary beneficiaries.

#### (2) <u>Area Description</u>

- (a) Describe the size, terrain, and present land uses as well as the adjacent land uses of the areas to be affected. These areas include the site(s) of construction or project activities, adjacent areas, and areas affected by the primary beneficiaries.
- (b) For each box checked "Yes" in item 3, describe the nature of the effect on the resource. If one or more of boxes 17 through 22 is checked "Yes" or "Unknown," contact Rural Development for instructions relating to the requirements imposed by the Floodplain Management and Wetland Protection Executive Orders.
- (c) Attach as <u>Exhibit II</u> the following: 1) a U.S. Geological Survey "15 minute" ("7 1/2 minute" if available) topographic map which clearly delineates the area and the location of the project elements; 2) the Federal Emergency Management Administration's floodplain map(s) for the project area; 3) site photos; 4) if completed, a standard soil survey for the project area; and 5) if available, an aerial photograph of the site. If a floodplain map is not available, contact Rural Development for additional instructions relating to the requirements imposed by the Floodplain Management Executive Order.

### (3) <u>Air Quality</u>

- (a) Provide available air quality data from the monitoring station(s) either within the project area or, if none exist nearest the project area.
- (b) Indicate the types and quantities of air emissions to be produced by the project facilities and its primary beneficiaries. If odors will occur, indicate who will be affected.
- (c) Indicate if topographical or meteorological conditions hinder the dispersal of air emissions.
- (d) Indicate the measures to be taken to control air emissions.
- (4) <u>Water Quality</u>
  - (a) Provide available data on the water quality of surface or underground water in or near the project area.
  - (b) Indicate the source, quality, and available supply of raw water and the amount of water which the project is designed to utilize.
  - (c) Describe all of the effluents or discharges associated with the project facilities and its primary beneficiaries. Indicate the expected composition and quantities of these discharges prior to any treatment processes that they undergo and also prior to their release into the environment.

- (d) Describe any treatment systems which will be used for these effluents and indicate their capacities and their adequacy in terms of the degree and type of treatment provided. Indicate all discharges which will not be treated. Describe the receiving waters and their uses (e.g., recreational) for any sources of treated and untreated discharge.
- (e) If the treatment systems are or will be inadequate or overloaded, describe the steps being taken for necessary improvements and their completion dates.
- (f) Describe how surface runoff will be handled if not discussed in (d) above.

### (5) Solid Waste Management

- (a) Indicate the types and quantities of solid wastes to be produced by the project facilities and its primary beneficiaries.
- (b) Describe the methods for disposing of these solid wastes plus the useful life of such methods.
- (c) Indicate if recycling or resource recovery programs are or will be used.

### (6) <u>Transportation</u>

- (a) Briefly describe the available transportation facilities serving the project area.
- (b) Describe any new transportation patterns which will arise because of the project.
- (c) Indicate if any land uses, such as residential, hospitals, schools or recreational, will be affected by these new patterns.
- (d) Indicate if any existing capacities of these transportation facilities will be exceeded. If so, indicate the increased loads which the project will place upon these facilities, particularly in terms of car and truck traffic.
- (7) <u>Noise</u>
  - (a) Indicate the major sources of noise associated with the project facilities and its primary beneficiaries.
  - (b) Indicate the land uses to be affected by this noise.
- (8) <u>Historic/Archeological Properties</u>
  - (a) Identify any known historic/archeological resources within the project area that are either listed on the National Register of Historic Places or considered to be of local and state significance and perhaps eligible for listing in the National Register.
  - (b) Attach as <u>EXHIBIT III</u> any historical/archeological survey that has been conducted for the project area.

## (9) <u>Wildlife and Endangered Species</u>

- (a) Identify any known wildlife resources located in the project area or its immediate vicinity.
- (b) Indicate whether to your knowledge any endangered or threatened species or critical habitat have been identified in the project area or its immediate vicinity.

## (10) <u>Energy</u>

- (a) Describe the energy supplies available to the project facilities and the primary beneficiaries.
- (b) Indicate what portion of the remaining capacities of these supplies will be utilized.

### (11) <u>Construction</u>

Describe the methods which will be employed to reduce adverse impacts from construction, such as noise, soil erosion and siltation.

### (12) <u>Toxic Substances</u>

- (a) Describe any toxic, hazardous, or radioactive substances which will be utilized or produced by the project facilities and its primary beneficiaries.
- (b) Describe the manner in which these substances will be stored, used, and disposed.

#### (13) <u>Public Reaction</u>

- (a) Describe any objections which have been made to the project.
- (b) If a public hearing has been held, attach a copy of the transcript as <u>EXHIBIT IV</u>. If not, certify that a hearing was not held.
- (c) Indicate any other evidence of the community's awareness of the project such as through newspaper articles or public notification.

#### (14) <u>Alternatives to the Proposed Project</u>

Provide a description of any of the following types of alternatives which were considered:

- (a) Alternative locations.
- (b) Alternative designs.
- (c) Alternative projects having similar benefits.

### Page 5

## (15) <u>Mitigation Measures</u>

Describe any measures which will be taken to avoid or mitigate any adverse environmental impacts associated with the project.

## (16) Permits

- (a) Identify any permits of an environmental nature which are needed for the project.
- (b) Indicate the status of obtaining each such permit and attach as EXHIBIT V any that have been received.

### (17) Other Federal Actions

Identify other federal programs or actions which are either related to this project or located in the same geographical area and for which you are filing an application, have recently received approval, or have in the planning stages.

Item 2 - All applicants are required to provide the State Historic Preservation Officer (SHPO) with (a) a narrative description of the project's elements and its location, (b) a map of the area surrounding theproject which identifies the project site, adjacent streets and other identifiable objects, (c) line drawings or sketches of the project and (d) photographs of the affected properties if building demolition or renovation is involved. This material must be submitted to the SHPO no later than submission of this Form to Rural Development . Additionally, the SHPO must be requested to submit comments on the proposed project to the Rural Development office processing your application.

Item 3 - Self-explanatory.

Item 4 - Self-explanatory.

#### Appendix to Form AD-1026 Highly Erodible Land Conservation (HELC) and Wetland Conservation (WC) Certification

The following conditions of eligibility are required for persons to receive any USDA loans or other program benefits that are subject to highly erodible land and wetland conservation provisions, unless an exemption has been granted by USDA.

By signing Form AD-1026, Item 12, the producer certifies receipt of this form, and unless an exemption has been granted by USDA, agrees to the following on any farms in which such person has an interest:

A	<b>NOT</b> to plant or produce an agricultural commodity on highly erodible fields unless actively applying an approved conservation plan or maintaining a fully applied conservation system.
В	<b>NOT</b> to plant or produce an agricultural commodity on wetlands converted after December 23, 1985.
C	<b>NOT</b> to convert wetlands by draining, dredging, filling, leveling, landclearing or any other means that would allow the planting of any crop, pasture, agricultural commodity, or other such crops.
D	<b>NOT</b> to use proceeds from any FSA farm loan, insured or guaranteed, or any USDA cost-share program, in such a way that might result in negative impacts to wetlands, except for those projects evaluated and approved by NRCS.

**NOTE:** Signature on Form AD-1026 gives representatives of USDA authorization to enter upon and inspect all farms in which the producer has an interest for the purpose of confirming the above statements.

Any questions concerning the requirements of the Food Security Act of 1985, as amended, shall be directed to your County FSA Office personnel before signing AD-1026 in Item 12.

**NOTE:** The following statements are made in accordance with the Privacy Act of 1974 (5 USC 552a) and the Paperwork Reduction Act of 1995, as amended. The authority for requesting the following information to be supplied on this form is the Food Security Act of 1985, Pub. L. 99-198, and regulations promulgated under the Act (7 CFR Part 12). The information will be used to determine eligibility for program benefits and other financial assistance administered by USDA agencies. The information may be furnished to other USDA agencies, IRS, Department of Justice, or other State and Federal law enforcement agencies, and in response to orders of a court magistrate or administrative tribunal. Furnishing the Social Security Number is voluntary. Furnishing the other requested information is voluntary; however, failure to furnish to correct, complete information will result in a determination of ineligibility for certain program benefits and other financial and civil fraud statutes, including 18 USC 286, 287, 371, 641, 1001; 15 USC 714m; and 31 USC 3729, may be applicable to the information provided by the producer on this form.

According to the Paperwork Reduction Act of 1995, an agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a valid OMB control number. The valid OMB control number for this information collection is 0560-0185. The time required to complete this information collection is estimated to average 15 minutes per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. **RETURN THIS COMPLETED FORM AD-1026 TO YOUR COUNTY FARM SERVICE AGENCY (FSA) OFFICE (address printed in Item 6 of AD-1026A)**.

The U.S. Department of Agriculture (USDA) prohibits discrimination in all its programs and activities on the basis of race, color, national origin, age, disability, and where applicable, sex, marital status, familial status, parental status, religion, sexual orientation, genetic information, political beliefs, reprisal, or because all or part of an individual's income is derived from any public assistance program. (Not all prohibited bases apply to all programs.) Persons with disabilities who require alternative means for communication of program information (Braille, large print, audiotape, etc.) should contact USDA's TARGET Center at (202) 720-2600 (voice and TDD). To file a complaint of discrimination, write to USDA, Director, Office of Civil Rights, 1400 Independence Avenue, S.W., Washington, D.C. 20250-9410, or call (800) 795-3272 (voice) or (202) 720-6382 (TDD). USDA is an equal opportunity provider and employer.

#### U.S. DEPARTMENT OF AGRICULTURE

#### HIGHLY ERODIBLE LAND CONSERVATION (HELC) AND WETLAND CONSERVATION (WC) CERTIFICATION

(See Page 3 for Nondiscrimination, Public Burden and Privacy Act Statements).

1. Name of Producer	2. I.D. Number (Last 4 digits only)	3. Crop Yea	ar					
4. Do you have any interest in land that produces or could produce an agricultural com	modity? If "VES" or if you are a Farm I or	an Y	/ES	NO				
Applicant continue with Item 5. If "NO", and you are not a farm loan applicant								
	5. For farm loan applicants only: Will you conduct any activities for fish production, trees, vineyards, shrubs, building construction, or other non-agricultural purposes on lands for which a wetland determination has not been completed by NRCS?							
6. Are you a landlord or tenant on any farm that will not be in compliance with HELC and WC provisions? If "YES", enter the farm number or contact your County FSA Office before completing this form. Farm Number: (Contact your county FSA office if you are unsure of the HEL or wetland determinations applicable to your farming interests.)								
7. Do any of your landlords refuse to comply with HELC requirements on any farms? <i>If "YES", enter the farm number or contact your County FSA Office before completing this form. Farm Number:</i>								
8. List affiliated persons with farming interests. See Page 3 for an explanation. Enter	er "NONE", if applicable.							
			/ES	NO				
9. During the crop year entered in Item 3 above, or the term of a requested USDA loan commodity on land for which a highly erodible determination has not been made?	, did you or will you plant and produce an ag	ricultural						
<ol> <li>Since December 23, 1985, or during the current crop year, or during the term of a r anyone perform any activities to:</li> </ol>	requested USDA loan, has anyone performed	l, or will						
A. Create new drainage systems, or conduct land leveling, filling, dredging, land been evaluated by NRCS? <i>If "YES", indicate year(s):</i>	clearing, excavation, or stump removal, that h	nas NOT						
B. Improve or modify an existing drainage system that has <b>NOT</b> been evaluated by	NRCS? If "YES", indicate year(s):							
C. Maintain an existing drainage system that has <b>NOT</b> been evaluated by NRCS? Note: Maintenance is the repair, rehabilitation, or replacement of the capacity of existing d currently in agricultural production and the continued management of other areas as they v reconstruct or maintain the capacity of the original system or install a replacement system	rainage systems to allow for the continued use of w vere used before December 23, 1985. This allows a	a person to						
11. If "YES" to Items 5,10A and/or 10B or 10C enter the following for the land the answ	ver applies to:							
A. Farm and/or tract/field number:								
B. Activity:								
C. Current land use (specify crops):								
D. County:								

A "YES" answer in Items 5, 9 or 10 authorizes FSA to refer this AD-1026 to NRCS. If you check "YES" to Item 10C, NRCS does not have to conduct a certified wetland determination. (Contact your County FSA Office if you are unsure about the answers to Items 5, 9 and 10.)

#### **Continuous AD-1026 Certification:**

I have read the AD-1026 Appendix and understand and agree that my eligibility for certain USDA program benefits is contingent upon this certification of compliance with highly erodible land and wetland conservation provisions of the Food Security Act of 1985 as amended, and if a determination is made that results in a violation and ineligibility, I agree to refund all applicable payments.

- I agree to the terms and conditions stated on AD-1026 Appendix on all land in which I have or will have an interest and understand that I am responsible for any non-compliance with these provisions.
- I agree that I will file a revised AD-1026 if there are any changes in my operation or activities that may affect compliance with these provisions.
- I understand that affiliated persons are also subject to compliance with these provisions and their failure to comply or file AD-1026 will result in loss of eligibility to persons or enterprises with whom they are affiliated. (See Page 3 of this form for affiliated persons.)

12. Signature of	I hereby certify that the information on this form is true and correct to the best of my knowledge, and
Producer	I authorize NRCS to make a HEL and/or certified wetland determination on the tract or farm
	numbers listed above.

Produ	ucer's Signature	Date (MM-DD-YYYY
<ol> <li>Referral to NRCS (Completed by FSA) Sign and date if a NRCS determination is needed for any reason including a "YES" answer in Items 5, 9, 10A, 10B, or 10C.</li> </ol>	13A. Signature of FSA Representative	13B. Date (MM-DD-YYYY)
ORIGINAL - FSA COPY		PRODUCER'S COPY

#### **INSTRUCTIONS FOR ITEM 8 OF AD-1026**

# The producer requesting benefits on AD-1026 shall attach a list of the applicable affiliated persons with farming interests who are required to file AD-1026. Follow the rules in this table to determine affiliated persons.

<i>IF</i> producer, requesting benefits is a (an)	THEN affiliated persons who must file AD-1026 if they have farming interests are
individual	spouse or minor children with separate farming interests, or who receives benefits under their individual ID number.
NOTE: If the individual filing is a minor child, the	estates, trusts, partnerships, and joint ventures in which the individual filing, or the individual's spouse or minor children have an interest.
father and mother shall be listed as affiliates	corporations in which the individual filing or the individual's spouse or minor children have more than 20% interest.
general partnership	first level members of the entity.
limited partnership	
limited liability company	
joint venture	
estate	
irrevocable or revocable trust	
Indian tribal venture or group	
corporation with stockholders	first level shareholders with more than 20% interest in the corporation
State	none
Church or other charitable organization	
county	
city	]
public schools	
corporation with no stockholders	

#### KEY TO NRCS DETERMINATIONS IN ITEMS 8 THROUGH 11 LISTED ON AD-1026A

8. HEL "Y" "N" " "	=	Highly Erodible Land: NRCS determined highly erodible land. NRCS determined no highly erodible land. NRCS has not made a determination.		14	=	Approved Conservation Plan (CPA-027): Tract has an approved conservation plan. Tract <b>does not have</b> an approved conservation plan. HEL flag is "Y". Producer has a 2-year grace period after soil survey is available to obtain an approved conservation plan.
10. A027 ''Y''	= =	Applying Conservation Plan: Producer is actively applying an approved conservation plan or system.	11.	W ''Y''		Wetlands: NRCS determined wetlands on this tract. (* See footnote.)
''N''	=	conservation plan or system. Producer is <b>NOT</b> actively applying an approved conservation plan or system.		''N''		NRCS determined no wetlands on this tract. NRCS has not made wetland determinations on entire tract.

\* NRCS has determined a wetland does exist on this tract. Contact your local NRCS office or FSA office for details concerning the location of the wetland and restrictions applying to the land according to NRCS determination before planting an agricultural commodity or performing any drainage or manipulation on this tract.

NOTE: The following statements are made in accordance with the Privacy Act of 1974 (5 USC 552a) and the Paperwork Reduction Act of 1995, as amended. The authority for requesting the following information to be supplied on this form is the Food Security Act of 1985, Pub. L. 99-198, and regulations promulgated under the Act (7 CFR Part 12). The information will be used to determine eligibility for program benefits and other financial assistance administered by USDA agencies. The information may be furnished to other USDA agencies, IRS, Department of Justice, or other State and Federal law enforcement agencies, and in response to orders of a court magistrate or administrative tribunal. Furnishing the Social Security Number is voluntary. Furnishing the other requested information is voluntary; however, failure to furnish correct, complete information will result in a determination of ineligibility for certain program benefits and other financial assistance administered by USDA agencies. The provisions of criminal and civil fraud statues, including 18 USC 286, 287, 371, 641, 1001; 15 USC 714m; and 31 USC 3729, may be applicable to the information provided by the producer on this form.

According to the Paperwork Reduction Act of 1995, an agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a valid OMB control number. The valid OMB control number for this information collection is 0560-0185. The time required to complete this information collection is estimated to average 15 minutes per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. **RETURN THIS COMPLETED FORM AD-1026 TO YOUR COUNTY FARM SERVICE AGENCY (FSA) OFFICE (address printed in item 6 of AD-1026A)**.

The U.S. Department of Agriculture (USDA) prohibits discrimination in all its programs and activities on the basis of race, color, national origin, age, disability, and where applicable, sex, marital status, familial status, parental status, religion, sexual orientation, genetic information, political beliefs, reprisal, or because all or part of an individual's income is derived from any public assistance program. (Not all prohibited bases apply to all programs.) Persons with disabilities who require alternative means for communication of program information (Braille, large print, audiotape, etc.) should contact USDA's TARGET Center at (202) 720-2600 (voice and TDD). To file a complaint of discrimination, write to USDA, Director, Office of Civil Rights, 1400 Independence Avenue, S.W., Washington, D.C. 20250-9410, or call (800) 795-3272 (voice) or (202) 720-6382 (TDD). USDA is an equal opportunity provider and employer.

This form is availab	le electronic	allv.				(See page )	3 for	Form Ap Privacv Act a	oprov Ind P	ed – OMB No ublic Burden	o. 0560-0237 Statements.)		
FSA-2309			U.S. DEPARTMEN	NT OF AG		TURE					Position 3		
(03-31-10)			Faini Se										
CERTIFICATION OF DISASTER LOSSES													
1. NAME			2. DISASTER NUMB	ER	3. CR0	OP YEAR	4.	DATE(S) AN	D NA	TURE OF DI	SASTER		
5. CROP PRODUCTION FOR THE DISASTER YEAR AND 3 PRECEDING YEARS:													
Α.	В.	DISAS	STER YEAR	PF		VIOUS 3 YE				FOR FSA USE ONLY			
0	Linita	C.	D.	(1) Y		(2) Year		(3) Year	:	F.	G.		
Crops (List total acres and	Units (tons,	Acres	Yield per Acre	Yield pe	er Acre	Yield per A	cre	Yield per A	cre	APH	Normal		
yields per acre of all crops)	bushels, pounds)			and So Co	Source and Source		ce	e and Source Code		Insured Yield per	Year Yield		
										Acre			
(1) CASH CROPS:													
(2) FEED CROPS:													
					_								
(3) OTHER (i.e., pasture)													

\*Source Codes: "1" Owner's Records "2" FSA Program Yield "3" County/State Average

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6. APPLICANT'S IDENTIFICATION OF A SINGLE ENTERPRISE SUFFERING DISASTER LOSSES:					
The single farming enterprise which is	does normally generate				
sufficient income to be considered essential to the success of my total farming operations.					
	<b>HYSICAL LOSSES OR DAMAGES TO PROPERTY:</b> Describe below the damages and losses to property other than growing crops. Provide the stimated dollar value of losses suffered and attach actual estimate for repair or replacement of the damaged property. NOTE: Physical losses are mited to property in which the applicant has an ownership interest.				
A(1) Dwelling(s):	Estimated dollar value of losses A(2)				
	\$				
B(1) Household furnishings, equipment and personal effects (Specify Type):	Estimated dollar value of losses B(2)				
	\$				
C(1) Farming buildings (Specify Type):	Estimated dollar value of losses C(2)				
	\$				
D(1) Farm machinery and equipment (Specify make, model and year):	Estimated dollar value of losses D(2)				
	\$				
E(1) Supplies, harvested or stored crops and livestock products (Specify Type):	Estimated dollar value of losses E(2)				
	\$				
F(1) Livestock and poultry (Specify type and number):	Estimated dollar value of losses F(2)				
	\$				
G(1) Aquatic organisms (Specify type and number):	Estimated dollar value of losses G(2)				
	\$				
H(1) Perennial crops (Specify type and number):	Estimated dollar value of losses H(2)				
	\$				
I(1) Other farm property, e.g., fences, land damage, debris removal (Specify Type):	Estimated dollar value of losses I(2)				
	\$				
8. TOTAL PHYSICAL LOSSE	S: \$				

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			ze in detail all insurance claims and settler ttlements, received or to be received for lo		
A. SOURCE B. CROP OR PROPERTY					C. DOLLAR AMOUNT
					\$
					\$
					\$
					\$
					\$
					\$
					\$
					\$
		TION: List the FSA farm number, con nership you have in the crops product	ounty where farm is located, name of farm	operator as reflected b	by FSA records, and the
A.	90 01 011	B.	С.	D.	E.
FSA Farm Number		County Farm is Located	Name of Farm Operator as Reflected by FSA Records	d Operator's Share of Crops	FOR FSA USE ONLY (For Remarks)
				%	
				%	
				%	
				%	
				%	
				%	
				%	
				%	
				%	
12. I certify that the information is true, complete, and correct to the best of my knowledge and is provided in good faith. (Warning: Section 1001 of Title 18, United States Code, provides for criminal penalties to those who provide false statements. If any information is found to be false or incomplete, such finding may be grounds for denial of the requested action.					
13A. Signature				13B. Date	

9. REMARKS:

Note: The following statement is made in accordance with the Privacy Act of 1974 (5 U.S.C. 552a - as amended). The authority for requesting the information identified on this form is the Consolidated Farm and Rural Development Act, as amended (7 U.S.C. 1921 <u>et</u>. <u>seq</u>.). The information will be used to determine eligibility and feasibility for loans and loan guarantees, and servicing of loans and loan guarantees. The information collected on this form may be disclosed to other Federal, State, and local government agencies, Tribal agencies, and nongovernmental entities that have been authorized access to the information by statute or regulation and/or as described in the applicable Routine Uses identified in the System of Records Notice for USDA/FSA-14, Applicant/Borrower. Providing the requested information is voluntary. However, failure to furnish the requested information may result in a denial for loans and loan guarantees, and servicing of loans and loan guarantees. The provisions of criminal and civil fraud, privacy, and other statutes may be applicable to the information provided.

According to the Paperwork Reduction Act of 1995, an agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a valid OMB control number. The valid OMB control number for this information collection is 0560-0237. The time required to complete this information collection is estimated to average 1.5 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. **RETURN THIS COMPLETED FORM TO YOUR COUNTY FSA OFFICE.** 

**FSA-2310** 

(03-22-10)

Position 3

to be used

U.S. DEPARTMENT OF AGRICULTURE Farm Service Agency

# LENDER'S VERIFICATION OF LOAN APPLICATION

(Emergency Loan Use)

**NOTE:** The following statement is made in accordance with the Privacy Act of 1974 (5 U.S.C. 552a - as amended). The authority for requesting the information identified on this form is the Consolidated Farm and Rural Development Act, as amended (7 U.S.C. 1921 <u>et. seq</u>.). The information will be used to determine eligibility and feasibility for loans and loan guarantees, and servicing of loans and loan guarantees. The information collected on this form may be disclosed to other Federal, State, and local government agencies, Tribal agencies, and nongovernmental entities that have been authorized access to the information by statute or regulation and/or as described in the applicable Routine Uses identified in the System of Records Notice for USDA/FSA-14, Applicant/Borrower. Providing the requested information is voluntary. However, failure to furnish the requested information may result in a denial for loans and loan guarantees, and servicing of loans and loan surfaces. The provisions of criminal and civil fraud, privacy, and other statutes may be applicable to the information provided.

According to the Paperwork Reduction Act of 1995, an agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a valid OMB control number. The valid OMB control number for this information collection is 0560-0237. The time required to complete this information collection is estimated to average 20 minutes per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. **RETURN THIS COMPLETED FORM TO YOUR COUNTY FSA OFFICE.** 

#### PART A - APPLICANT'S REQUEST

1. I, (a)

hereby request the following be provided to the U.S. Department of Agriculture, Farm Service Agency (FSA) for use in processing

my application for an Emergency Loan (EM) in the amount of (b)

for (c)

2. APPLICANT'S SIGNATURE

3. DATE

#### PART B - LENDER'S VERIFICATION

1. If the applicant is presently indebted, list debts owed.

1. If the applicant is presently indebted, list debts owed.							
A. Principal Balance \$	B. Accrued Interest \$	C. As of (Date)	D. Amount Delinquent \$	E. Annual Installment \$	F. Interest Rate (Insert an "*" for variable rate)	G. Daily Interest Accrual \$	H. Maturity Date

The U.S. Department of Agriculture (USDA) prohibits discrimination in all of its programs and activities on the basis of race, color, national origin, age, disability, and where applicable, sex, marital status, familial status, parental status, religion, sexual orientation, political beliefs, genetic information, reprisal, or because all or part of an individual's income is derived from any public assistance program. (Not all prohibited bases apply to all programs.) Persons with disabilities who require alternative means for communication of program information (Braille, large print, audiotape, etc.) should contact USDA's TARGET Center at (202) 720-2600 (voice and TDD). To file a complaint of discrimination, write to USDA, Assistant Secretary for Civil Rights, 1400 Independence Avenue, S.W., Stop 9410, Washington, DC 20250-9410, or call toll-free at (866) 632-9992 (English) or (800) 877-8339 (TDD) or (866) 377-8642 (English Federal-relay) or (800) 845-6136 (Spanish Federal-relay). USDA is an equal opportunity provider and employer.

2. Are you willing to continue	e your loans with the applicant?	YES NO	
(a) If "YES", under what c	conditions?		
(b) If "NO", why not?			
3. Describe collateral for deb	t(s) as listed in Item B1:		
	ed a loan from your lending institution to	be used as specified in Part A?	YES NO
If "YES", complete Items 4	I(a) through 4(f).		
(a) Amount	(b) Interest Rate (c) Terms		(d) Date of Last Request
\$	%		
(e) Purpose	1	(f) Collateral Offered	
E Mas the applicant's regue	st approved?		
<ol> <li>Was the applicant's requering</li> <li>(a) Amount</li> </ol>	(b) If "NO", why not?	J	
\$			
6 If the applicant cannot qua	lify for your regular loans, are you willin	g to consider a loan with an FSA guaran	itee? YES NO
7. REMARKS			
PART C - ACKNOWLED			
1. NAME AND ADDRESS OF	FLENDER	2. TITLE OF LENDER'S RE	PRESENTATIVE
3. SIGNATURE OF LENDER'	'S REPRESENTATIVE	4. DATE	

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