

For: State and County Offices

2018 National Compliance Reviews

Approved by: Acting Deputy Administrator, Farm Programs



1 Overview

A Background

County Offices are required to conduct national compliance reviews and spot checks for selected producers.

The National Office has been provided the list of producers selected for 2018 national compliance reviews through a statistical sampling conducted by NASS.

B Purpose

This notice informs State and County Offices of the following:

- the web address to view and print the list of producers selected for 2018 national compliance reviews
- additional guidance for performing 2018 compliance activities, including the following:
 - applicable programs
 - new 2018 national compliance review survey questionnaires
 - estimated availability of the 2018 national compliance review database
 - deadline for completing reviews and recording results in the national compliance review database.

Disposal Date	Distribution
April 1, 2019	State Offices; State Offices relay to County Offices

1 Overview (Continued)

C Producer Selection List (Continued)

The list of producers selected for 2018 compliance reviews and spot checks is posted on the Intranet at <https://inside.fsa.usda.gov/program-areas/dafp/common-processes/compliance/index>.

The 2018 producer list is by State and county. Under each county, the following information will be displayed:

- producer's first and last name and/or business name
- TIN type ("E" for entity, or "S" for Social Security).

Producers are listed in every State/county in which they are participating. County Offices must perform compliance reviews and spot checks on all producers listed in their county throughout the year for all applicable programs and activities.

2 Additional Guidance for Performing 2018 Compliance Activities

A Programs/Activities Included in the 2018 Compliance Review Process

The following programs/activities are included in the compliance review process for 2018:

- ARC/PLC
- CRP maintenance and practice checks
- ECP
- EFRP
- ELAP
- FSA-578's and HELC/WC compliance
- FSFL
- LDP
- LFP
- LIP
- MAL
- MPP
- NAP
- TAP
- WHIP.

B Performing 2018 Compliance Reviews and Spot Checks

County Offices will follow Exhibits 1 through 15 when performing national compliance reviews for programs listed in subparagraph A. Questions in the referenced exhibits will be included in a future amendment to 2-CP (Rev. 16).

Notice CP-747

2 Additional Guidance for Performing 2018 Compliance Activities (Continued)

B Performing 2018 Compliance Reviews and Spot Checks (Continued)

When conducting compliance reviews and spot checks, County Offices will check for:

- FSA-578 spot checks: the current year FSA-578, and any prior year FSA-578 that has been revised since the 2017 spot check list dated August 2, 2017
- all other programs: any application/contract that has been enrolled and/or approved for payment since the 2017 spot check list dated August 2, 2017.

Note: Any application/contract that is enrolled and/or approved for payment after the issuance of the annual Spot Check Producer List will be subject to the subsequent year's National Compliance Review process.

3 Guidance for Documenting Reviews in National Compliance Review Database

A Availability of 2018 National Compliance Review Database

Because of modifications to the 2018 survey questions, the National Compliance Review Database created by NASS is **not** available to load 2018 results. The database is tentatively scheduled to be opened in July 2018. Until further notice, County Offices will maintain written documentation of all 2018 compliance review and spot check results in the County Office.

The National Office will issue a subsequent notice advising when the 2018 National Compliance Review Database is available for data entry. Instructions for loading review results in the National Compliance Review Database will be provided at that time.

B Review Deadlines

County Offices must complete 2018 compliance reviews and spot checks and load the spot check results in the National Compliance Database by December 28, 2018.

4 Contact

A Contact Information

For any problems or concerns with the National Compliance Review process or this notice:

- County Offices will contact their State Office compliance specialist
- State Office specialists will contact Gwen Uecker by either of the following:
 - e-mail at gwen.uecker@wdc.usda.gov
 - telephone at 701-893-2209.

ARCPLC Compliance Review	
Enrollment CCC-861 for Farm in ARC-CO and/or PLC	Farm # _____
1	<p>Does each producer sharing in base acres meet the Division of Payment Guidelines provided in subparagraph 217 A of 1-ARCPLC to support their share of the base acres on the CCC-861? Yes or No.</p> <p>If yes, go to question 2.</p> <p>If no, enter date the producer was notified of the contract violation: ___/___/___ (mm/dd/yy).</p> <p>Current violation status:</p> <ul style="list-style-type: none"> • contract terminated • appeal filed • relief granted.
2	<p>Did the producer accurately report all FAV's planted on payment acres of the farm? Yes, No, or N/A.</p> <p>If no, enter determined FAV acres planted on farm: ___/___/___ (mm/dd/yy).</p>
3	<p>If FAV were planted on payment acres of the farm, was the acre-for-acre reduction properly assessed? Yes or No.</p> <p>If no, enter determined FAV acre-for-acre reduction acres: _____.</p>
4	<p>Were wind, water erosion and noxious weeds controlled on the farm? Yes or No.</p> <p>If no, did the producer correct the maintenance default on the farm? Yes or No.</p> <p>If no, what is the current status?</p> <ul style="list-style-type: none"> • appeal filed • relief granted • maintenance default applied, enter amount \$_____.

ARCPLC Compliance Review (Continued)	
Enrollment CCC-862 for Farm in ARC-IC	Farm # _____
1	<p>Did each producer sharing in covered commodities as reported on FSA-578, also sign the CCC-862? Yes or No.</p> <p>If yes, go to question 2.</p> <p>If no, ensure contract is not approved.</p>
2	<p>Did the producer accurately report all FAV's planted on payment acres of the farm? Yes, No, or N/A.</p> <p>If no, enter determined FAV acres planted on farm: _____.</p>
3	<p>If FAV were planted on payment acres of the farm, was the acre-for-acre reduction properly assessed? Yes or No.</p> <p>If no, enter determined FAV acre-for-acre reduction acres: _____.</p>
4	<p>Were wind, water erosion and noxious weeds controlled on the farm? Yes or No.</p> <p>If no, did the producer correct the maintenance default on the farm? Yes or No.</p> <p>If no, what is the current status?</p> <ul style="list-style-type: none"> • appeal filed • relief granted • maintenance default applied, enter amount \$ _____.
5	<p>Has total production (harvested yield) of the planted covered commodities on the farm been reported no later than July 15 in the subsequent crop year? Yes or No.</p> <p>If no, date producer(s) notified that the contract will not be paid until the production is reported? __/__/__ (mm/dd/yy)</p>

CRP Compliance Review	
CRP Contract # _____	
1	<p>Has an approved cover been maintained according to the conservation plan? Yes or No.</p> <p>If yes, go to question 2.</p> <p>If no, respond to all of the following that apply.</p> <p>A. Did COC determine that the participant made a good faith effort to comply with the terms and conditions of CRP-1? Yes or No.</p> <p>If yes, standard payment reduction \$_____.</p> <p>B. Was any portion of the standard payment reduction waived? Yes or No.</p> <p>If yes, by whom?</p> <ul style="list-style-type: none"> • County Committee • State Committee • DAFP. <p>If no, was all or a portion of the contract terminated? All or Portion.</p> <p>a. Violation reason:</p> <ul style="list-style-type: none"> • eminent domain • enrolled in WRP/GRP/HFRP/EWP • erroneous eligibility determinations • foreclosure • leaser exercises of rights (gas, oil, earth, or mineral rights) • no successor-in-interest • non-compliance (violation) • participants request for partial termination is disapproved • practice failure on all acres • voluntary request to terminate/withdraw. <p>b. Refund amount \$_____.</p> <p>c. Date acres terminated in CCMS: ___/___/___ (mm/dd/yy).</p> <p>d. Was any portion of the refund waived? Yes or No.</p> <p>If yes, by whom?</p> <ul style="list-style-type: none"> • County Committee • State Committee • DAFP. <p>C. Is adverse determination still in the process of being appealed? Yes or No.</p>

CRP Compliance Review (Continued)	
CRP Contract # _____ (Continued)	
2	<p>Has the producer(s) performed required management activities according to the conservation plan? Yes or No.</p> <p>If yes, go to question 3.</p> <p>If no, respond to all of the following that apply.</p> <p>A. Did COC determine that the participant made a good faith effort to comply with the terms and conditions of CRP-1? Yes or No.</p> <p>If yes, standard payment reduction \$ _____.</p> <p>B. Was any portion of the standard payment reduction waived? Yes or No.</p> <p>If yes, by whom?</p> <ul style="list-style-type: none"> • County Committee • State Committee • DAFP. <p>If no, was all or a portion of the contract terminated? All or Portion.</p> <p>a. Violation reason:</p> <ul style="list-style-type: none"> • eminent domain • enrolled in WRP/GRP/HFRP/EWP • erroneous eligibility determinations • foreclosure • leaser exercises of rights (gas, oil, earth, or mineral rights) • no successor-in-interest • non-compliance (violation) • participants request for partial termination is disapproved • practice failure on all acres • voluntary request to terminate/withdraw. <p>b. Refund amount \$ _____.</p> <p>c. Date acres terminated in CCMS: __/__/__ (mm/dd/yy).</p> <p>d. Was any portion of the refund waived? Yes or No.</p> <p>If yes, by whom?</p> <ul style="list-style-type: none"> • County Committee • State Committee • DAFP. <p>C. Is adverse determination still in the process of being appealed? Yes or No.</p>

CRP Compliance Review (Continued)	
CRP Contract # _____ (Continued)	
3	<p>Has the approved cover been harvested or grazed without authorization or has other commercial use has been made of the forage (exception: emergency haying or grazing)? Yes or No.</p> <p>If no, go to question 4.</p> <p>If yes, respond to all of the following that apply.</p> <p>A. Did COC determine that the participant made a good faith effort to comply with the terms and conditions of CRP-1? Yes or No.</p> <p style="padding-left: 20px;">If yes, standard payment reduction \$ _____.</p> <p>B. Was any portion of the standard payment reduction waived? Yes or No.</p> <p style="padding-left: 20px;">If yes, by whom?</p> <ul style="list-style-type: none"> • County Committee • State Committee • DAFP. <p style="padding-left: 20px;">If no, was all or a portion of the contract terminated, All or Portion.</p> <p style="padding-left: 20px;">a. Violation reason:</p> <ul style="list-style-type: none"> • eminent domain • enrolled in WRP/GRP/HFRP/EWP • erroneous eligibility determinations • foreclosure • leaser exercises of rights (gas, oil, earth, or mineral rights) • no successor-in-interest • non-compliance (violation) • participants request for partial termination is disapproved • practice failure on all acres • voluntary request to terminate/withdraw. <p style="padding-left: 20px;">b. Refund amount \$ _____.</p> <p style="padding-left: 20px;">c. Date acres terminated in CCMS: __/__/__ (mm/dd/yy).</p> <p style="padding-left: 20px;">d. Was any portion of the refund waived? Yes or No.</p> <p style="padding-left: 40px;">If yes, by whom?</p> <ul style="list-style-type: none"> • County Committee • State Committee • DAFP. <p>C. Is adverse determination still in the process of being appealed? Yes or No.</p>

CRP Compliance Review. (Continued)	
CRP Contract # _____ (Continued)	
4	<p>Has an unauthorized crop been planted on acreage under CRP-1? Yes or No.</p> <p>If no, go to question 5.</p> <p>If yes, select all of the following that apply.</p> <p>A. Did COC determine that the participant made a good faith effort to comply with the terms and conditions of CRP-1? Yes or No.</p> <p style="padding-left: 20px;">If yes, standard payment reduction \$_____.</p> <p>B. Was any portion of the standard payment reduction waived? Yes or No.</p> <p style="padding-left: 20px;">If yes, by whom?</p> <ul style="list-style-type: none"> • County Committee • State Committee • DAFP. <p style="padding-left: 20px;">If no, was all or a portion of the contract terminated? All or Portion.</p> <p style="padding-left: 20px;">a. Violation reason:</p> <ul style="list-style-type: none"> • eminent domain • enrolled in WRP/GRP/HFRP/EWP • erroneous eligibility determinations • foreclosure • leaser exercises of rights (gas, oil, earth, or mineral rights) • no successor-in-interest • non-compliance (violation) • participants request for partial termination is disapproved • practice failure on all acres • voluntary request to terminate/withdraw. <p style="padding-left: 20px;">b. Refund amount \$_____.</p> <p style="padding-left: 20px;">c. Date acres terminated in CCMS: __/__/__ (mm/dd/yy).</p> <p style="padding-left: 20px;">d. Was any portion of the refund waived? Yes or No.</p> <p style="padding-left: 40px;">If yes, by whom?</p> <ul style="list-style-type: none"> • County Committee • State Committee • DAFP. <p>C. Is adverse determination still in the process of being appealed? Yes or No.</p>

CRP Compliance Review (Continued)	
CRP Contract # _____ (Continued)	
5	<p>Has the producer(s) conducted an activity on CRP acres without authorization, including unauthorized treatment, such as mowing, spraying, or burning of CRP under the primary nesting or brood rearing season? Yes or No.</p> <p>If no, go to question 6.</p> <p>If yes, respond to all of the following that apply.</p> <p>A. Did COC determine that the participant made a good faith effort to comply with the terms and conditions of CRP-1. Yes or No.</p> <p>If yes, standard payment reduction \$_____.</p> <p>B. Was any portion of the standard payment reduction waived? Yes or No.</p> <p>If yes, by whom?</p> <ul style="list-style-type: none"> • County Committee • State Committee • DAFP. <p>If no, was all or a portion of the contract terminated? All or Portion.</p> <p>a. Violation reason:</p> <ul style="list-style-type: none"> • eminent domain • enrolled in WRP/GRP/HFRP/EWP • erroneous eligibility determinations • foreclosure • leaser exercises of rights (gas, oil, earth, or mineral rights) • no successor-in-interest • non-compliance (violation) • participants request for partial termination is disapproved • practice failure on all acres • voluntary request to terminate/withdraw. <p>b. Refund amount \$_____.</p> <p>c. Date acres terminated in CCMS: __/__/__ (mm/dd/yy)</p> <p>d. Was any portion of the refund waived? Yes or No.</p> <p>If yes, by whom?</p> <ul style="list-style-type: none"> • County Committee • State Committee • DAFP. <p>C. Is adverse determination still in the process of being appealed? Yes or No.</p>

CRP Compliance Review (Continued)	
CRP Contract # _____ (Continued)	
6	<p>Have trees been harvested or sold, or other commercial use been made of trees, including the shearing or shaping of trees for Christmas trees or removal of pine straw? Yes or No.</p> <p>If no, go to question 7.</p> <p>If yes, select all of the following that apply.</p> <p>A. Did COC determine that the participant made a good faith effort to comply with the terms and conditions of CRP-1? Yes or No.</p> <p style="padding-left: 20px;">If yes, standard payment reduction \$ _____.</p> <p>B. Was any portion of the standard payment reduction waived? Yes or No.</p> <p style="padding-left: 20px;">If yes, by whom?</p> <ul style="list-style-type: none"> • County Committee • State Committee • DAFP. <p style="padding-left: 20px;">If no, was all or a portion of the contract terminated? All or Portion.</p> <p style="padding-left: 20px;">a. Violation reason:</p> <ul style="list-style-type: none"> • eminent domain • enrolled in WRP/GRP/HFRP/EWP • erroneous eligibility determinations • foreclosure • leaser exercises of rights (gas, oil, earth, or mineral rights) • no successor-in-interest • non-compliance (violation) • participants request for partial termination is disapproved • practice failure on all acres • voluntary request to terminate/withdraw. <p style="padding-left: 20px;">b. Refund amount \$ _____.</p> <p style="padding-left: 20px;">c. Date acres terminated in CCMS: __/__/__ (mm/dd/yy).</p> <p style="padding-left: 20px;">d. Was any portion of the refund waived? Yes or No.</p> <p style="padding-left: 40px;">If yes, by whom?</p> <ul style="list-style-type: none"> • County Committee • State Committee • DAFP. <p>C. Is adverse determination still in the process of being appealed? Yes or No.</p>

CRP Compliance Review (Continued)	
CRP Contract # _____ (Continued)	
7	<p>Have undesirable weeds, plants, insects, or pests, as determined by COC, not been controlled on the designated acreage? Yes or No.</p> <p>If no, go to question 8.</p> <p>If yes, select all of the following that apply.</p> <p>A. Did COC determine that the participant made a good faith effort to comply with the terms and conditions of CRP-1? Yes or No.</p> <p style="padding-left: 20px;">If yes, standard payment reduction \$ _____.</p> <p>B. Was any portion of the standard payment reduction waived? Yes or No.</p> <p style="padding-left: 20px;">If yes, by whom?</p> <ul style="list-style-type: none"> • County Committee • State Committee • DAFP. <p style="padding-left: 20px;">If no, was all or a portion of the contract terminated? All or Portion.</p> <p style="padding-left: 20px;">a. Violation reason:</p> <ul style="list-style-type: none"> • eminent domain • enrolled in WRP/GRP/HFRP/EWP • erroneous eligibility determinations • foreclosure • leaser exercises of rights (gas, oil, earth, or mineral rights) • no successor-in-interest • non-compliance (violation) • participants request for partial termination is disapproved • practice failure on all acres • voluntary request to terminate/withdraw. <p style="padding-left: 20px;">b. Refund amount \$ _____.</p> <p style="padding-left: 20px;">c. Date acres terminated in CCMS: __/__/__ (mm/dd/yy).</p> <p style="padding-left: 20px;">d. Was any portion of the refund waived? Yes or No.</p> <p style="padding-left: 40px;">If yes, by whom?</p> <ul style="list-style-type: none"> • County Committee • State Committee • DAFP. <p>C. Is adverse determination still in the process of being appealed? Yes or No.</p>

CRP Compliance Review (Continued)	
CRP Contract # _____ (Continued)	
8	<p>Has a satisfactory cover or required practice been established or re-established within the time prescribed? Yes or No.</p> <p>If no, has an extension of time to complete the practice been authorized? Yes or No.</p> <p>If no to both of the above, respond to all of the following that apply.</p> <p>A. Did COC determine that the participant made a good faith effort to comply with the terms and conditions of CRP-1? Yes or No.</p> <p style="padding-left: 20px;">If yes, standard payment reduction \$ _____.</p> <p>B. Was any portion of the standard payment reduction waived? Yes or No.</p> <p style="padding-left: 20px;">If yes, by whom?</p> <ul style="list-style-type: none"> • County Committee • State Committee • DAFP. <p style="padding-left: 20px;">If no, was all or a portion of the contract terminated? All or Portion.</p> <p style="padding-left: 20px;">a. Violation reason:</p> <ul style="list-style-type: none"> • eminent domain • enrolled in WRP/GRP/HFRP/EWP • erroneous eligibility determinations • foreclosure • leaser exercises of rights (gas, oil, earth, or mineral rights) • no successor-in-interest • non-compliance (violation) • participants request for partial termination is disapproved • practice failure on all acres • voluntary request to terminate/withdraw. <p style="padding-left: 20px;">b. Refund amount \$ _____.</p> <p style="padding-left: 20px;">c. Date acres terminated in CCMS: __/__/__ (mm/dd/yy).</p> <p style="padding-left: 20px;">d. Was any portion of the refund waived? Yes or No.</p> <p style="padding-left: 40px;">If yes, by whom?</p> <ul style="list-style-type: none"> • County Committee • State Committee • DAFP. <p>C. Is adverse determination still in the process of being appealed? Yes or No.</p>

ECP Compliance Review	
1	<p>Has the producer(s) received ECP C/S for a practice which has an active practice maintenance lifespan? Yes or No.</p> <p>If no, no action required.</p>
2	<p>Based on a field visit is the land still in agricultural production or has an agricultural productive capacity? Yes or No.</p> <p>If yes, go to question 3.</p> <p>If no, what is the result?</p> <ul style="list-style-type: none"> • receivable was established to collect refund of C/S • CEPD determined that good farming practice does not require the maintenance and use - no refund required.
3	<p>Has the applicable ECP practice been maintained or serving its intended purpose based on a field visit? Yes or No.</p> <p>If yes, no further action is needed.</p> <p>If no, complete questions 4 and 5.</p>
4	<p>If the applicable ECP practice has not been maintained or is not serving its intended purpose, what is the reason?</p> <ul style="list-style-type: none"> • failure to preform normal repair, upkeep and maintenance • destruction or substantial damage to the practice • conversion of the practice to non-agricultural uses (recreational/ development) • practice failed by no fault of the producer • land is no longer under the producer’s control and new land owner did not agree to maintain the practice throughout the lifespan • other _____.
5	<p>If the applicable ECP practice has not been maintained or is not serving its intended purpose, has the COC made the applicable determination?</p> <ul style="list-style-type: none"> • yes, violation and receivable were established to collect refund of C/S • yes, violation and COC determined failure to maintain and use the practice was because of conditions beyond the participant’s control - no refund collected. <p>Note: There should not be a NO answer to this question.</p>

EFRP Compliance Review	
1	<p>Has the producer(s) received EFRP C/S for a practice which has an active practice maintenance lifespan? Yes or No.</p> <p>If no, no further action required.</p>
2	<p>Based on a field visit is the non-industrial private forestland on which the EFRP practice was performed in forestry production, or has a productive forestry capacity? Yes or No.</p> <p>If yes, go to question 3.</p> <p>If no, what is the result?</p> <ul style="list-style-type: none"> • receivable was established to collect refund of C/S • CEPD determined that good forestry practice does not require the maintenance and use - no refund required.
3	<p>Has the applicable EFRP practice been maintained or serving its intended purpose? Yes or No.</p> <p>If yes, no further action is needed.</p> <p>If no, complete questions 4 and 5.</p>
4	<p>If the applicable EFRP practice has not been maintained or is not serving its intended purpose, what is the reason?</p> <ul style="list-style-type: none"> • failure to perform normal repair, upkeep and maintenance • destruction or substantial damage to the practice • conversion of the practice from non-industrial forest land uses (development) • practice failed by no fault of the producer • land is no longer under the producer's control and new land owner did not agree to maintain the practice throughout the lifespan • other _____.
5	<p>If the applicable EFRP practice has not been maintained or is not serving its intended purpose, has the COC made the applicable determination?</p> <ul style="list-style-type: none"> • yes, violation and receivable were established to collect refund of C/S • yes, violation and COC determined failure to maintain and use the practice was because of conditions beyond the participant's control - no refund collected. <p>Note: There should not be a NO answer to this question.</p>

ELAP Compliance Review	
Notice of Loss - Livestock, Honeybees, and Farm-Raised Fish Losses	
1	<p>Did the participant timely file a notice of loss? Yes or No.</p> <p>If no, select one of the following:</p> <ul style="list-style-type: none"> • application for payment disapproved • appeal filed • relief granted.
2	<p>Did the participant suffer livestock, honeybees, and/or farm-raised fish losses because of an eligible adverse weather event or loss condition that occurred in the program year for which assistance is being requested? Yes or No.</p> <p>If no, select one of the following:</p> <ul style="list-style-type: none"> • application for payment disapproved • appeal filed • relief granted.
3	<p>If participant is applying for livestock losses, does participant’s current inventory of applicable livestock match the number and/or kind/type/weight range of livestock claimed on the ELAP application? Yes or No COC adjusted.</p>
4	<p>Was FSA-578 filed? Yes or No.</p> <p>If no, select one of the following:</p> <ul style="list-style-type: none"> • application for payment disapproved • appeal filed • relief granted.
Application for Payment – Livestock Losses	
5	<p>If participant is applying for livestock losses, did the participant, during the 60 calendar days before the beginning of the eligible adverse weather event or loss condition, own, lease, purchase, enter into a contract to purchase, or was a contract grower of the livestock claimed on the ELAP application? Yes or No.</p> <p>If no, select one of the following:</p> <ul style="list-style-type: none"> • application for payment disapproved • appeal filed • relief granted.
6	<p>If the participant is applying for livestock losses, did all livestock entered on the ELAP application meet all eligibility criteria, including being maintained for commercial use as part of the participant’s farming operation? Yes or No.</p> <p>If no, select one of the following:</p> <ul style="list-style-type: none"> • COC made adjustment • application for payment disapproved • appeal filed • relief granted.

ELAP Compliance Review (Continued)	
Application for Payment – Livestock Losses (Continued)	
7	<p>If the participant is applying for livestock death losses, did the participant provide verifiable documentation of livestock deaths claimed on CCC-851, including livestock that the participant claims died because of normal mortality? Yes or No.</p> <p>If no, select one of the following:</p> <ul style="list-style-type: none"> • application for payment disapproved • appeal filed • relief granted.
8	<p>If the participant is applying for livestock grazing losses, did the participant suffer a grazing loss on eligible grazing lands physically located in the county where the eligible adverse weather or loss condition occurred because of an eligible adverse weather event or loss condition? Yes or No.</p> <p>If no, select one of the following:</p> <ul style="list-style-type: none"> • application for payment disapproved • appeal filed • relief granted.
9	<p>For purchased livestock feed losses, did the participant provide original receipts for forage or feed stuffs purchased? Yes or No.</p> <p>If no, select one of the following:</p> <ul style="list-style-type: none"> • application for payment disapproved • appeal filed • relief granted.
10	<p>If the participant is applying for livestock feed losses resulting from the purchase of additional livestock feed, above normal quantities, did the participant provide original receipts or summary purchase receipts for forage or feed stuffs that was purchased by the participant for the time of the eligible adverse weather event or loss condition for the two years immediately preceding the program year for which additional feed costs are being claimed? Yes or No.</p> <p>If no, select one of the following:</p> <ul style="list-style-type: none"> • COC made adjustment • application for payment disapproved • appeal filed • relief granted.

ELAP Compliance Review (Continued)	
Application for Payment – Livestock Losses (Continued)	
11	<p>If the participant is applying for livestock feed losses resulting from the purchase of additional livestock feed, above normal quantities, did the participant provide original receipts or summary purchase receipts for forage or feed stuffs that was purchased by the participant for the timeframe of the eligible adverse weather event or loss condition for the program year for which additional costs are being claimed to feed the participant’s eligible livestock? Yes or No.</p> <p>If no, select one of the following:</p> <ul style="list-style-type: none"> • COC made adjustment • application for payment disapproved • appeal filed • relief granted.
Application for Payment – Honeybee Losses	
12	<p>If the participant is applying for honeybee losses, did the participant have a risk in the honey production, pollination, or honeybee breeding operation for producing honey, pollinating, or breeding honeybees for commercial use as part of a farming operation on the beginning date of the eligible adverse weather event or loss condition? Yes or No.</p> <p>If no, select one of the following:</p> <ul style="list-style-type: none"> • application for payment disapproved • appeal filed • relief granted.
13	<p>If the participant is applying for honeybee colony and/or hive losses, did the participant provide acceptable proof of beginning and ending inventory of honeybee colonies and/or hives? Yes or No.</p> <p>If no, select one of the following:</p> <ul style="list-style-type: none"> • COC made adjustment • application for payment disapproved • appeal filed • relief granted.
14	<p>If the participant is applying for honeybee colony and/or hive losses, did the participant provide proof of good management practices as established by COC? Yes or No.</p> <p>If no, select one of the following:</p> <ul style="list-style-type: none"> • application for payment disapproved • appeal filed • relief granted.

ELAP Compliance Review (Continued)	
Application for Payment – Honeybee Losses (Continued)	
15	<p>If the participant is applying for honeybee feed losses, did the participant provide verifiable documentation of purchased feed intended as feed for honeybees that was lost or additional feed purchased above normal quantities to sustain honeybees for a short period of time until additional feed becomes available because of an eligible adverse weather event or loss condition? Yes or No.</p> <p>If no, select one of the following:</p> <ul style="list-style-type: none"> • COC made adjustment • application for payment disapproved • appeal filed • relief granted.
Application for Payment – Farm-Raised Fish Losses	
16	<p>If the participant is applying for farm-raised fish losses, was the participant a producer of an aquatic species that is propagated and reared in a controlled environment, that is being maintained for commercial use as part of the producer’s farming operation? Yes or No.</p> <p>If no, select one of the following:</p> <ul style="list-style-type: none"> • application for payment disapproved • appeal filed • relief granted.
17	<p>If the participant is applying for farm-raised fish feed losses, did the participant provide documentation of the date feed was purchased, type and quantity of feed purchased, and the cost of feed purchased? Yes or No.</p> <p>If no, select one of the following:</p> <ul style="list-style-type: none"> • COC made adjustment • application for payment disapproved • appeal filed • relief granted.
18	<p>If the participant is applying for farm-raised fish death losses, did the participant suffer from the physical loss of game fish (stockers) or bait fish (not raised as food for food fish)? Yes or No.</p> <p>If no, select one of the following:</p> <ul style="list-style-type: none"> • application for payment disapproved • appeal filed • relief granted.
19	<p>If the participant is applying for farm-raised fish death losses, did the participant provide acceptable documentation verifying the type and amount of game fish or sport fish that was lost? Yes or No.</p> <p>If no, select one of the following:</p> <ul style="list-style-type: none"> • application for payment disapproved • appeal filed • relief granted.

FSA-578/Acreage Compliance and HELC/WC Compliance	
Number of Farms with FSA-578: _____	
Farm Number _____	
FSA-578, Acreage Compliance	
1	<p>Were any crops on the farm determined to be out of tolerance according to 2-CP (Rev. 16) subparagraph 830 B? Yes or No.</p> <p>If yes, which crop(s) _____.</p>
2	<p>Was the crop determined ineligible for any program benefits according to 2-CP (Rev.16) paragraph 834? Yes or No.</p> <p>If yes:</p> <p style="padding-left: 20px;">Which program(s) _____</p> <p style="padding-left: 20px;">How much \$ _____</p>
3	<p>Did the COC determine the producer knowingly and willfully submitted an inaccurate acreage report (no good faith) for total cropland on the farm according to 2-CP (Rev. 16) paragraph 835? Yes or No.</p> <p>Why did the COC determine no good faith?</p> <p>Date the producer notified of the determination: ___/___/___ (mm/dd/yy).</p> <p>Were payments collected? Yes or No.</p> <p>If yes:</p> <p style="padding-left: 20px;">Which program(s) _____</p> <p style="padding-left: 20px;">How much \$ _____</p> <p>If no, why?</p> <p style="padding-left: 20px;">Determination reversed upon appeal</p> <p style="padding-left: 20px;">Other _____</p>
HELC /WC Compliance	
4	<p>Was there potential HELC Noncompliance on the farm according to 2-CP (Rev.16) subparagraph 758 E? Yes or No.</p> <p>If yes, date FSA-569 referred to NRCS for determination? ___/___/___ (mm/dd/yy)</p>
5	<p>Was there potential WC Noncompliance on the farm according to 2-CP (Rev.16) subparagraph 758 G? Yes or No.</p> <p>If yes, date FSA-569 referred to NRCS for determination? ___/___/___ (mm/dd/yy)</p>

FSFL Compliance Review	
State: _____ County: _____	
Loan Number: _____ Original Loan Amount \$ _____ Outstanding Loan Amount \$ _____	
1	Was a farm-visit conducted to complete the spot check? Yes or No. If no , please select one of the following: <ul style="list-style-type: none"> • not required based on 1-FSFL policy for the term year • FSFL is currently paid in full • producer has filed bankruptcy • other reasons _____. If yes , was the FSFL collateral accessible? Yes or No. If no , enter date producer was notified the collateral must be accessible and a new farm-visit must be scheduled __/__/____.
2	Is FSFL current? Yes or No. If no , what action has the county office taken to resolve the delinquency? select one or more of the following: <ul style="list-style-type: none"> • FSFL Annual Reminder Letter mailed to the borrower within 45 calendar days of the due date • extension of 120 calendar days granted • FSFL collateral check performed • borrower reported to the Treasury Offset Program • borrower wants to have another borrower assume the outstanding balance.
3	Is FSFL collateral being used for commercial purposes? Yes or No. If yes , what action has the county office taken to call the loan? select one or more of the following: <ul style="list-style-type: none"> • borrower notified of the violation • FSFL accelerated • borrower paid the FSFL in full • other actions _____.
4	Is UCC-1 still effective? Yes or No. If no , when will UCC-3 be properly filed? __/__/____ If yes , select one or more of the following: <ul style="list-style-type: none"> • lien search performed to ensure additional liens have not been filed as of the UCC expiration date • lien placed in front of CCC's lien, county office requested a lien subordination • borrower paid the FSFL in full • DAFP waiver requested • adverse determination provided by DAFP, borrower filed an appeal.

FSFL Compliance Review (Continued)	
5	<p>Is producer current with FSFL insurance and real estate taxes, if applicable? Yes or No.</p> <p>If no, select one or more of the following:</p> <ul style="list-style-type: none"> • producer notified that insurance and/or real estate taxes must be current as soon as possible or FSFL will be accelerated • borrower paid the FSFL in full • DAFP waiver requested • adverse determination provided by DAFP, borrower filed an appeal • above actions were not taken to resolve this requirement, provide what actions were taken.
6	<p>Is FSFL collateral in good condition? Yes or No.</p> <p>If no, select one or more of the following:</p> <ul style="list-style-type: none"> • collateral abandoned • collateral missing • collateral damaged • collateral sold • FSFL accelerated • borrower paid the FSFL in full • DAFP waiver requested • adverse determination provided by DAFP, borrower filed an appeal.
7	<p>Has producer violated terms and conditions of CCC-186, Promissory Note and Security Agreement? Yes or No.</p> <p>If yes, select one or more of the following:</p> <ul style="list-style-type: none"> • FSFL accelerated • collateral abandoned • collateral missing • collateral damaged • collateral sold • borrower paid the FSFL in full • DAFP waiver requested • adverse determination provided by DAFP, borrower filed an appeal.
8	<p>Are there other concerns with FSFL? Yes or No.</p> <p>If yes, select one or more of the following:</p> <ul style="list-style-type: none"> • deceased borrower(s). • no longer have use of the FSFL collateral. • producer cannot afford future FSFL payments. • FSFL collateral has been determined to be in a flood plain and the producer cannot afford flood insurance. • controlled substances were found on the farm in which the FSFL collateral is located. • other _____.

LDP Compliance Review	
State: _____ County: _____	
LDP Commodity: _____ LDP Number: _____ LDP Quantity: _____ LDP Amount \$ _____	
1	Were all crops on the farm(s) on which this LDP was based fully reported according to statute? Yes or No.
2	Was the quantity on the LDP within reason according to the Customer's Profile in LDP? Yes or No.
3	Were all submitted pages of the CCC-633 EZ completed correctly, signed and dated by the producer (s) or individual(s) authorized to sign for the producer or entity according to 1-CM, and signed by a CCC representative? Yes or No.
4	Was the CCC-633 EZ filed prior to the loss of beneficial interest? Yes or No.
5	Was the completed 633 EZ page 1 and applicable benefit request page 2, 3, 4 received in the county office before on/or before the final LDP availability date? Yes or No.
6	Was the completed 633-EZ page 1 and applicable benefit request page 2, 3, 4 completed according to instruction and signed by applicable and required producers? Yes or No.
7	Is the LDP not subject to spot-check because (please check the appropriate box): <input type="checkbox"/> Production evidence was submitted on day of request If production evidence was submitted on day of LDP request, indicate the type(s) of production evidence provided (select one or more): <input type="checkbox"/> LS – Load Summary Sheets <input type="checkbox"/> MS – Paid Measurement Service <input type="checkbox"/> WR – Warehouse Receipt <input type="checkbox"/> Cleaning Tickets for Seed <input type="checkbox"/> Scale Tickets from 3 rd Party <input type="checkbox"/> Evidence of Sales <input type="checkbox"/> Delivery Evidence <input type="checkbox"/> Spot Check Measurements with Paid Measurement Service <input type="checkbox"/> Maximum Eligible Quantity determined according to paragraph 336 <input type="checkbox"/> LDP was paid on the amount shown on production evidence <input type="checkbox"/> Maximum eligible quantity was determined for: <input type="checkbox"/> Commodity harvested as other than grain <input type="checkbox"/> Commodity that exceed the moisture standard is stored in structure that can't be measured <input type="checkbox"/> Commodity immediately fed at harvest

LDP Compliance Review (Continued)	
8	<p>If production evidence was not submitted at the time of the LDP request, and the producer has been selected for spot-check, did the county office contact the producer to inquire if the commodity has been sold or still on the farm. Please check appropriate box.</p> <p><input type="checkbox"/> Commodity Sold (Go to step 9)</p> <p><input type="checkbox"/> Commodity Still on farm</p> <p style="padding-left: 40px;">Was a measurement service completed by COF? Yes or No.</p> <p style="padding-left: 40px;">Did measurement service indicate a quantity equal to or greater than the LDP quantity requested and disbursed? Yes or No.</p> <p style="padding-left: 40px;">If no, go to step 20.</p> <p><input type="checkbox"/> No reply from producer (If no reply from producer go to step 20)</p>
9	<p>If production evidence was not provided at the time of the LDP request and the commodity was sold, was the production evidence submitted by the final date to submit evidence? Yes or No.</p>
10	<p>If production evidence was not submitted by the final date to submit evidence, did the county office notify the producer in writing that production evidence must be submitted within 30 calendar days from date of notification? Yes or No.</p>
11	<p>Was production evidence submitted within 30 days of written notification? Yes or No.</p> <p>If no go to step 20.</p>
12	<p>If production evidence was submitted, did all production evidence show the following requirements? Yes or No.</p> <p>If no, indicate what was missing:</p> <p><input type="checkbox"/> Producer's Name</p> <p><input type="checkbox"/> Crop Year (may be Certified or Provided by Producer)</p> <p><input type="checkbox"/> Commodity</p> <p><input type="checkbox"/> Net weight for Grain Sorghum and Oilseeds, except Soybeans</p> <p><input type="checkbox"/> Net bushels for barley, corn, oats, soybeans, and wheat</p> <p><input type="checkbox"/> Class, if applicable</p> <p><input type="checkbox"/> Date of Sale, if commodity was sold</p>
13	<p>Did the acceptable production evidence indicate a quantity equal to or greater than the LDP quantity requested and disbursed? Yes or No.</p>
14	<p>If production evidence was not provided at the time of the LDP request, was the commodity in open storage? Yes or No.</p>
15	<p>If the commodity was in open storage at the time of the LDP request, did the producer provide a negotiable warehouse receipt, or provide another form of acceptable evidence verified by the warehouse that ownership of title of the delivered commodity remains with the producer according to 8-LP subparagraph 335E within 30 days? Yes or No.</p>
16	<p>Did the acceptable production evidence indicate a quantity equal to or greater than the LDP quantity requested and disbursed? Yes or No.</p>
17	<p>If an LDP Overpayment or LDP Problem is determined, was the Initial Notification of LDP Problem Letter sent stating the amount due? Yes or No.</p>

LDP Compliance Review (Continued)	
18	<p>Did the producer reply to the Initial Notification of LDP Problem Letter within 30 days by (check appropriate box):</p> <ul style="list-style-type: none"> <input type="checkbox"/> Submitting evidence for repayment for the ineligible quantity <input type="checkbox"/> Supplying disposition or production evidence for the quantity repaid on which an LDP was received <input type="checkbox"/> Making repayment for ineligible quantity
19	<p>If the violation involves a COC member, was the case referred to STC for determination? Yes or No.</p>
20	<p>Did the COC determine the type of violation the earliest of 30 calendar days after the Initial Notification of LDP Problem Notification Letter or after the producer responds? Yes or No.</p> <p>If yes, what type of violation did they determine?</p> <ul style="list-style-type: none"> <input type="checkbox"/> Incorrect certification of quantity <input type="checkbox"/> Fraudulent representation including misrepresentation of the eligibility of a commodity <input type="checkbox"/> Other
21	<p>Did COC determine Good Faith? Yes or No.</p>
22	<p>Did producer appeal Good Faith Determination? Yes or No.</p>
23	<p>Were Liquidated Damages waived or reduced? Yes or No.</p>
24	<p>Was the Notification of LDP Violation Letter sent? Yes or No.</p>
25	<p>Were the amounts in the Notification of Violation Letter timely repaid? Yes or No.</p> <p>If no, did the producer timely appeal? Yes or No.</p> <p>If the producer did not appeal, was the Demand Letter for Refund of Entire LDP sent? Yes or No.</p>
26	<p>If No Good Faith was determined, was the “No Good Faith Demand Letter” issued and a receivable established for the violation and liquidated damages? Yes or No.</p>

LFP Compliance Review	
1	<p>Does participant’s current inventory of applicable livestock match the number kind/type/weight range of livestock claimed on the LFP application? Yes or No.</p> <p>If no, what is the current application status:</p> <ul style="list-style-type: none"> • appeal letter sent • in appeal status • relief granted • refund collected \$_____.
2	<p>Did the participant, during the 60 calendar days before the beginning of the qualifying drought or fire condition, own, lease, purchase, enter into a contract to purchase, or was a contract grower of the livestock claimed on the LFP application? Yes or No.</p> <p>If no, what is the current application status:</p> <ul style="list-style-type: none"> • appeal letter sent • in appeal status • relief granted • refund collected \$_____.
3	<p>Did all livestock, entered on the LFP application, meet all eligibility criteria including being maintained for commercial use as part of the participant’s farming operation? Yes or No.</p> <p>If no, what is the current application status:</p> <ul style="list-style-type: none"> • appeal letter sent • in appeal status • relief granted • refund collected \$_____.
4	<p>If the livestock producer was not the owner of the pastureland or grazing land, did the livestock producer provide a copy of a written lease by the applicable deadline to show that their contribution is at risk in the grazing land and pastureland for which benefits are being requested under LFP? Yes, No, or N/A if livestock producer was owner.</p> <p>If yes or N/A, go to question 6.</p> <p>If no, go to question 5.</p>
5	<p>Was a written lease entered into between the livestock producer and the owner of the pastureland and/or grazing land? Yes or No.</p> <p>If no, go to question 6.</p>

LFP Compliance Review (Continued)	
6	<p>Did the owner of the grazing land or pastureland complete CCC-855 on behalf of the livestock producer? Yes or No.</p> <p>If yes, go to question 7.</p> <p>If no, what is the current application status:</p> <ul style="list-style-type: none"> • appeal letter sent • in appeal status • relief granted • refund collected \$_____.
7	<p>Did all forage information entered on the LFP application meet all eligibility criteria, including the livestock producer having risk in the grazing land and pastureland for which benefits are being requested? Yes or No.</p> <p>If no, what is the current application status:</p> <ul style="list-style-type: none"> • appeal letter sent • in appeal status • relief granted • refund collected \$_____.
8	<p>Did the participant provide sufficient documentation to support Federal agency prohibited the producer from grazing the normal permitted livestock because of fire? Yes, No, or N/A no federal land on application.</p> <p>If no, what is the current application status:</p> <ul style="list-style-type: none"> • appeal letter sent • in appeal status • relief granted • refund collected \$_____.

LIP Compliance Review	
1	Did the participant timely file notice of loss? Yes or No, Notice of Loss Disapproved.
2	Did the participant timely file AD-1026? Yes or No, Application incomplete, payment not issued.
3	Did the participant timely file CCC-901/902? Yes or No, Application incomplete, payment not issued.
4	Did the participant timely file CCC-941? Yes or No, Application incomplete, payment not issued.
5	Did the participant provide verifiable and/or reliable documentation of livestock deaths claimed on CCC-852? Yes, No, or N/A. If N/A , go to question 9. If no , select one of the following: <ul style="list-style-type: none"> • COC made adjustment • application disapproved • an appeal filed • relief granted.
6	Did sources of documents verify documents were authentic, participant was a customer or party to the transaction and accuracy of the number and kind/type/weight range of animals listed? Yes or No. If no , select one of the following: <ul style="list-style-type: none"> • COC made adjustment • application disapproved • an appeal filed • relief granted.
7	Does the proof of death document support the number and kind/type/weight range of animals claimed on CCC-852? Yes or No. If no , select one of the following: <ul style="list-style-type: none"> • application disapproved • an appeal filed • relief granted.
8	Was CCC-852 approved based on third party certification? Yes or No. If no , go to question 15.
9	Did participant provide CCC-854 certifying no other form of proof of death is available, number of livestock and physical location, by category, in inventory when the death occurred? Yes or No. If no , select one of the following: <ul style="list-style-type: none"> • application disapproved • an appeal filed • relief granted.

LIP Compliance Review (Continued)	
10	<p>Did the participant provide verifiable documentation to support the reasonableness of the number of livestock inventory when the death occurred? Yes or No.</p> <p>If no, select one of the following:</p> <ul style="list-style-type: none"> • CCC-854 disapproved and application for payment disapproved • an appeal filed • relief granted.
11	<p>Did the third party who is providing certification provide CCC-854 that includes specific details about how the third party has knowledge of the animal deaths, the affiliation of third party to the participant, telephone number and address of third party, number and kind/type/weight range of participant’s livestock that died because of the applicable adverse weather and other details necessary for COC and DD to determine whether certification is acceptable? Yes or No.</p> <p>If no, select one of the following:</p> <ul style="list-style-type: none"> • CCC-854 disapproved and application for payment disapproved • an appeal filed • relief granted.
12	<p>Did the number and kind/type/weight range of animals certified as dead by the third party match the number and kind/type/weight range of animals certified by the participant on CCC-852? Yes or No.</p> <p>If no, select one of the following:</p> <ul style="list-style-type: none"> • COC made adjustment • application disapproved • an appeal filed • relief granted.
13	<p>Did the interview of the third party reveal that the individual is a reliable source and had knowledge of the number and kind/type/weight range of dead livestock? Yes or No.</p> <p>If no, select one of the following:</p> <ul style="list-style-type: none"> • CCC-854 disapproved and application for payment disapproved • an appeal filed • relief granted.
14	<p>Is this a calf and lamb open range livestock operation that provided proof of death by using the livestock beginning inventory history for reporting losses? Yes or No.</p> <p>If no, go to question 16.</p>
15	<p>Did the livestock producer provide 4 or more years of actual inventory records for open range calves or lambs? Yes or No.</p> <p>If no, select one of the following:</p> <ul style="list-style-type: none"> • CCC-854 disapproved and application for payment disapproved • an appeal filed • relief granted.

LIP Compliance Review (Continued)	
16	<p>Was the death of claimed livestock the result of an eligible adverse weather event? Yes or No.</p> <p>If no, select one of the following:</p> <ul style="list-style-type: none"> • CCC-854 disapproved and application for payment disapproved • an appeal filed • relief granted.
17	<p><u>For contract growers only</u>, is the amount of monetary compensation the contractor provided the participant for the loss of income from death of the claimed livestock different from the amount certified by the participant on CCC-852? Yes, No, or N/A.</p> <p>If no, select one of the following:</p> <ul style="list-style-type: none"> • application disapproved • an appeal filed • relief granted.

MAL Compliance Review	
Select: Farm-Stored Warehouse-Stored	
State: _____ County: _____	
Loan Commodity: _____ Loan Number: _____ Loan Quantity: _____ Loan Amount \$ _____	
NOTE: If warehouse-stored loan, go to question 15.	
1	Were all crops on the farm(s) on which this Farm Stored MAL was based fully reported according to statute? Yes or No. If no , go to question 10.
2	Were all required lien waivers obtained before loan disbursement? Yes or No. If no , go to question 10.
3	Was a farm visit conducted to complete the compliance spot check? Yes or No. If yes , go to question 6.
4	If spot check was not conducted, was the loan repaid in full? Yes or No.
5	If spot was not conducted and the loan was not repaid in full, explain why:
6	If a farm visit was conducted, was the loan quantity measured equal to or greater than the total quantity pledged as collateral or outstanding on the loan? Yes or No. If yes , go to question 7. If no , did the measured quantity result in a shortage? Yes or No. If yes , was the shortage within tolerance? Yes or No. If no , what was the determination for the shortage? Select applicable situation. <input type="checkbox"/> Unauthorized Disposition <input type="checkbox"/> Unauthorized Removal <input type="checkbox"/> Incorrect Producer Certification <input type="checkbox"/> Misrepresentation of the Commodity Certified <input type="checkbox"/> Current Marketing Authorization on File for Delivery & Awaiting Payment
7	If a farm visit was conducted, was the loan collateral of acceptable quality? Yes or No. If no , go to question 10.
8	If a farm visit was conducted, was the loan collateral stored in an acceptable storage facility? Yes or No. If no , go to question 10.

MAL Compliance Review	
9	<p>Was a violation suspected or determined to have occurred? Yes or No.</p> <p>If yes and the violation was unauthorized disposition, was the case referred to OIG through the State Office before taking any administrative action? Yes or No.</p>
10	<p>Was the Initial Notification Letter sent to the producer? Yes or No.</p> <p>If yes, include the date of the written notification ___/___/___ (mm/dd/yy)</p> <p>If no, explain what action was taken by the COC or County Office.</p>
11	<p>Was CCC-691 issued with the Initial Violation Notification Letter? Yes or No.</p> <p>If no, explain below.</p>
12	<p>Did COC determine the type of violation the earlier of 30 calendar days after the notification letter or after the producer responded to the notification letter? Yes or No.</p> <p>If no, explain below.</p>
13	<p>Did COC determine Good Faith or No Good Faith? Yes or No.</p>
14	<p>If Good Faith was determined, was Good Faith Demand Letter sent making demand for loan principal, interest, & liquidated damages, and provide appeal rights? Yes or No.</p> <p>If no, go to question 19.</p>
15	<p>What was the demand amount? \$ _____</p>
16	<p>Did producer appeal Good Faith Determination? Yes or No.</p> <p>If no, go to question 18.</p>
17	<p>Were Liquidated Damages waived or reduced? Yes or No.</p>
18	<p>Were the amounts in the demand letter timely repaid? Yes or No.</p> <p>If no, was the loan called and receivable established within the later of 30 calendar days of either the demand letter or after the CCC-691 expires. Yes or No.</p>
19	<p>If No Good Faith Determination was made, was the No Good Faith Demand Letter sent to producer? Yes or No.</p> <p>If yes, date sent ___/___/____.</p>
20	<p>Was a receivable for the violation and liquidated damages (If applicable) set up in NRRS? Yes or No.</p>
21	<p>Did the producer appeal the No Good Faith Determination? Yes or No.</p>

MAL Compliance Review	
22	<p>Was any of this loan repaid with a market loan gain? Yes or No.</p> <p>If yes, indicate the type(s) of production evidence provided:</p> <ul style="list-style-type: none"> <input type="checkbox"/> LS – Load Summary Sheets <input type="checkbox"/> MS – Paid Measurement Service <input type="checkbox"/> WR – Warehouse Receipt <input type="checkbox"/> Cleaning Tickets for Seed <input type="checkbox"/> Scale Tickets from 3rd Party <input type="checkbox"/> Evidence of Sales <input type="checkbox"/> Delivery Evidence <input type="checkbox"/> Spot Check Measurements with Paid Measurement Service <input type="checkbox"/> Maximum Eligible Quantity determined
23	<p>Did Production Evidence (except for Maximum Eligible Quantity) show the following requirements? Yes or No.</p> <p>If no, indicate what was missing:</p> <ul style="list-style-type: none"> <input type="checkbox"/> Producer’s Name <input type="checkbox"/> Crop Year (May be Certified or Provided By Producer) <input type="checkbox"/> Commodity <input type="checkbox"/> Net weight for Grain Sorghum and Oilseeds, except Soybeans <input type="checkbox"/> Net bushels for barley, corn, oats, soybeans, and wheat <input type="checkbox"/> Class, if applicable <input type="checkbox"/> Date of Sale, if commodity was sold
24	<p>Did the acceptable production evidence indicate a quantity equal to or greater than the total loan quantity repaid with a market loan gain? Yes or No.</p> <p>If no, indicate whether the acceptable production evidence resulted in a:</p> <ul style="list-style-type: none"> • shortage • violation.
Warehouse-Stored Loan	
25	<p>Were all crops on the farm(s) on which this Warehouse MAL was based fully reported according to statute? Yes or No. If no, follow steps beginning in step 33.</p>
26	<p>Were all required lien waivers obtained before loan disbursement? Yes or No. If no, follow steps beginning in step 33.</p>
27	<p>Was the commodity stored in a CCC approved or authorized warehouse according to AMS? Yes or No. If no, follow steps beginning in step 33.</p>

MAL Compliance Review	
Warehouse-Stored Loan (Continued)	
28	<p>Does the warehouse receipt show all of the following? Yes or No.</p> <p>If no, follow steps beginning in step 33.</p> <ul style="list-style-type: none"> • Ensures there is no lien on the commodity for specified charges such as drying or hauling? Yes or No. • Represent eligible commodity actually stored in an authorized warehouse? Yes or No. • Is a negotiable paper receipt, or loanable EWR issued through a DACO approved EWR provider? Yes or No. • Issued to the eligible producer, CCC, CMA as applicable? Yes or No. • Endorsed on the back of the receipt, if the receipt is paper? Yes or No. • Show ownership, if commodity is owned by the warehouse operators solely, jointly, or in common with others? Yes or No. • Contain a statement that the commodity is insured? Yes or No. • Type of commodity? Yes or No. • Name and location of Storage Warehouse? Yes or No. • CCC- assigned warehouse code? Yes or No. • Warehouse Receipt Number? Yes or No. • Date receipt was received? Yes or No. • Date Commodity was deposited or received? Yes or No. • Date to which storage has been paid according to 8-LP (Rev.2) subparagraph 540 G or storage start date? Yes or No. • Whether commodity was received by rail, truck or barge? Yes or No. • Amount per bu. or cwt of prepaid in or out charges? Yes or No. • Signature of the warehouse operator or authorized agent? Yes or No. • Prepaid in handling charges? Yes or No. If no, was a statement provided according to subparagraph 540E? Yes or No.
29	<p>If the warehouse operator is operating under a merged warehouse code agreement, does the warehouse receipt identify the location and county to which the producer delivered the commodity? Yes or No, or N/A.</p>
30	<p>If warehouse receipt requires a KC-350 is the applicable KC-350 attached to the warehouse receipt? Yes or No.</p>

MAL Compliance Review	
Warehouse-Stored Loan (Continued)	
31	<p>Was a separate statement of charges or bill attached to the warehouse receipt that indicates charges other than handling? Yes or No, or N/A.</p> <p>If yes, was it handled as an offset according to 8-LP, subparagraph 547 B? Yes or No.</p> <p>If no, did the producer provide acceptable documentation specifying that all in-handing charges have been paid or provided for? Yes or No. If no, go to question 35.</p>
32	<p>If the original receipt was lost, stolen, or destroyed, was a CCC-39 Request for Issuance of Duplicate Warehouse Receipt completed? Yes or No, or N/A.</p>
33	<p>Was an over disbursement determined as a result of any findings above? Yes or No.</p>
34	<p>If yes, was a notification letter of loan violation issued? Yes or No.</p> <p style="padding-left: 40px;">If yes, include the date of the written notification __/__/____.</p> <hr/> <p style="padding-left: 40px;">If no, explain what action was taken by the COC or County Office below:</p>
35	<p>Within 30 days of the notification letter, did the producer:</p> <ul style="list-style-type: none"> • repay the loan? Yes or No. • forfeit the loan? Yes or No. • appeal the determination? Yes or No.
36	<p>If the producer did not respond to the notification letter, was a notification letter to accelerate loan maturity issued and forfeiture process executed? Yes or No.</p> <p>If yes, include the date of the written notification __/__/____</p> <hr/> <p>If no, explain what action was taken by the COC or County Office below:</p>
37	<p>If CCC is due any remaining amount after the forfeiture process, was a receivable established in NRRS? Yes or No.</p>

MPP Compliance Review	
1	Name of dairy operation spot checked. _____
2	Was the dairy operation still commercially marketing milk at the time of their initial registration? Yes or No. If no , disapprove contract. If yes , was all documentation validated? Yes or No. If no , disapprove contract.
3	Did the dairy operation indicate on the CCC-782, Item 8 that they were commercially marketing milk at the time of their annual coverage election? Yes or No. If yes , was all documentation validated? Yes or No. If no , disapprove contract.
4	Does the dairy operation meet the definition of an eligible dairy operation? Yes or No. If yes , was all documentation validated? Yes or No. If no , disapprove contract.
5	Did the dairy operation indicate on the CCC-782, Item 11 if they have a current policy under LGM-Dairy Program? Yes or No. If no , was this verified by review of the monthly LGM-report? Yes or No. If yes , disapprove the contract, unless transitioning from LGM to MPP for FY 2018 only.
6	If a payment was issued, did the dairy operation meet all eligibility requirements (AD-1026, foreign person rules)? Yes or No. If no , disapprove contract, collected \$_____.
7	Did the dairy operation pay the \$100 administrative fee for the current coverage year? Yes, No, or Exempt. If no , disapprove contract, collected \$_____ Exempt is for limited resource, beginning, veteran, or socially disadvantaged farmer.
8	If the dairy operation selected buy-up coverage for the current coverage year, was the total premium paid in full by September 1? Yes, No, or N/A. If no , was a receivable established? Yes or No, collected \$_____.
9	Has the dairy operation completed any relocation, mergers, succession-in-interest, or intergenerational transfers of production history? Yes or No. If yes , did the dairy operation complete form CCC-781, according to procedure? Yes or No. If no , disapprove contract, collected \$_____.
10	Did the dairy operation re-enroll during the 2018 re-enrollment period? Yes or No. If yes , did the dairy operation complete form CCC-782 and was it signed by all producers in the dairy operation? Yes or No. If no , disapprove contract, collected \$_____.

NAP Compliance Review	
1	<p>Was the CCC-471 Application for Coverage timely filed and accompanied by the applicable service fee or CCC-860? Yes or No.</p> <p>If no, was the transfer of NAP coverage initiated after the application closing date and before the earlier of the disaster event or end of coverage? Yes, No, or N/A.</p> <p>If no, for yield based crops, did the producer exceed acreage and/or production variance limitation according to 1-NAP (Rev. 2), paragraph 152 when reporting acreage and/or production? Yes, No, or N/A.</p> <p>If yes, did COC determine the producer eligible or ineligible? Eligible or Ineligible.</p> <p>If Ineligible, what was the overpayment? \$ _____.</p>
3	<p>For value loss crops, did the field market value A (FMVA) and field market value B (FMVB) accurately reflect the producer’s inventory records and valuation used to calculate loss? Yes, No, or N/A.</p> <p>If no, what was the overpayment or underpayment? \$ _____.</p>
4	<p>Did the producer provide evidence showing a valid commodity ownership share interest and control of the crop acreage at the time of disaster? Yes or No.</p> <p>If no, what was the overpayment or underpayment? \$ _____.</p>
5	<p>Did the producer request a Historical Marketing Percentage option on the CCC-471 (applicable only to crops with Buy-up coverage), and provide acceptable marketing records showing actual final use? Yes or No.</p> <p>If no, what was the overpayment or underpayment? \$ _____.</p>
6	<p>Did the producer request a Direct Marketing Percentage option on the CCC-471 (applicable only to crops with Buy-up coverage), and provide acceptable marketing records showing actual final use? Yes or No.</p> <p>If no, what was the overpayment or underpayment? \$ _____.</p>
7	<p>Did the producer provide verifiable or reliable production records for determining payment? Yes or No.</p> <p>If no, was a CCC-576-1 Appraisal/Production Report completed for the unharvested crop(s)? Yes or No.</p> <p>If no, what was the overpayment or underpayment? \$ _____.</p>

TAP Compliance Review	
1	<p>Did the applicant complete all practices for the stand as indicated on the TAP application? Yes or No.</p> <ul style="list-style-type: none"> • If yes, no further action is needed. • If no, did the applicant timely request a practice extension? Yes or No.

WHIP Compliance Review	
General	
1	Did Producer file FSA-890 in the administrative county office within the sign-up period? Yes or No.
2	Did producer provide certification to support AGI for \$900,000 payment limitation? Yes, No, or N/A.
3	Was a valid signature obtained on FSA-890? Yes, No, or N/A.
4	Were all crops for which an application was filed reported on a FSA-578? Yes or No.
5	Did the producer report all acreage for the pay grouping for each crop on the WHIP application? Yes or No.
6	Does determined production match verifiable/reliable records provided by the producer? Yes, No, or N/A.
Tree, Bush, Vine	
7	Did producer provide age of trees, bushes, or vines so that tree stage was properly determined? Yes, No, or N/A.
Value Loss	
8	Do inventory records support FMV-A? Yes, No, or N/A.
9	Do inventory records support FMV-B? Yes, No, or N/A.
Florida Citrus	
10	Did producer certify to previous 5 years harvested production and acreage on FSA-893? Yes, No, or NA. If no , was CEY used in cases where records were not available? Yes, No, or N/A.
11	Was yield calculated correctly on FSA-893? Yes, No, or N/A.
Linkage	
12	Did producer meet linkage requirements all crops? Yes, No, or N/A. Note: N/A should be selected only if the timeframe to meet linkage has not yet expired. Producers may have until the year 2020 or 2021 to meet linkage.

WHIP Compliance Review (Continued)	
Discrepancies	
13	<p>Were there any discrepancies for the participant that would result in a refund of WHIP benefits? Yes or No.</p> <p>If yes, select all discrepancy types that apply:</p> <ul style="list-style-type: none">• untimely application• invalid signature• no certification for \$900,000 AGI• ownership interest/share not as reported• not all crops/acres reported on FSA-578• reported production does not match records• inventory does not support FMVA• inventory does not support FMVB• linkage requirements not met. <p>Enter amount of requested refund: \$ _____.</p>