

**For:** State and County Offices

**KC-227, Raw Sugar Certification Report and  
KC-227-A, Refined Sugar Certification Report**

**Approved by:** Acting Deputy Administrator, Farm Programs



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**1 Overview**

**A Background**

Processors electing to apply for sugar marketing assistance loans must submit KC-227 or KC-227-A to the FSA County Office or Service Center at the time of the loan request. Warehouse operators must complete KC-227 and KC-227-A for recording raw and refined sugar that will be pledged as collateral for securing a marketing assistance loan.

**B Purpose**

This notice provides:

- an updated version of KC-227 and KC-227-A (Exhibit 1)
- instructions for completing and submitting these forms.

**C Form Availability**

FFAS updated employee forms and instructions for completion and submission are available at <http://165.221.16.90/dam/ffasforms/forms.html> and USDA Service Center E-Forms at <http://forms.sc.egov.usda.gov/eforms/mainervlet>

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<b>Disposal Date</b>	<b>Distribution</b>
January 1, 2005	State Offices; State Offices relay to County Offices

## Notice SU-83

### 2 Action

#### A County Office Action

County Offices shall:

- immediately require all processors to submit the current version of KC-227 or KC-227-A, as applicable when requesting a marketing assistance loan on raw or refined sugar.
- validate all entries or correct according to this notice
- accept **only** the current version of KC-227 or KC-227-A after April 1, 2004
- immediately inform all processors and warehouse operators of the contents of this notice.

#### B Handbook Amendment

10-SU will be amended to include revised KC-227 and KC-227-A.

**Instructions for Completing KC-227**

County Offices shall complete items 1 and 2. Warehouse operators shall complete items 3 through 11.

Item	Action
1	Enter the sugar loan application date.
2	Enter the loan number
3	Enter warehouse name, street address, city, State, and ZIP Code.
4	Enter the warehouse code assigned by the Kansas City Commodity Office.
5	Enter "raw cane sugar".
6	Check applicable item indicating whether sugar cane is received by truck, rail, barge, cart, or in store.
7	Enter crop year.
8	Enter the control number assigned by warehouse operators.  <b>Note:</b> Warehouse operators should use a numbering system to consecutively assign control numbers for tracking. For example, the first KC-227 may be assigned Control No. 1, the second Control No. 2, etc. Do <b>not</b> use alpha characters as part of the numbering system.
9	Enter the issue date for KC-227.
10 A - F	For the quantity of raw cane sugar represented by KC-227, enter the following:  A total net pounds B polarization C ash content D color E grain size F percent moisture.
11 A - B	A Enter the signature of an authorized individual, such as, corporate officer, partner, or proprietor.  B Enter the date KC-227 is signed.

**KC-227, Raw Sugar Certification Report**

<b>KC-227</b> <small>(02-06-04)</small>		U.S. DEPARTMENT OF AGRICULTURE Farm Service Agency				<b>FOR CCC USE ONLY</b>	
<b>RAW SUGAR CERTIFICATION REPORT</b>							
3. NAME AND LOCATION OF RECEIVING WAREHOUSE XYZ Sugar Corporation Orlando, FL						1. LOAN APPLICATION DATE	
						2. LOAN NUMBER	
4. WAREHOUSE CODE  7-1071	5. COMMODITY  RAW CANE SUGAR	6. RECEIVED BY  <input type="checkbox"/> TRUCK <input checked="" type="checkbox"/> RAIL <input type="checkbox"/> BARGE <input type="checkbox"/> CART <input type="checkbox"/> IN STORE		7. CROP YEAR  2003	8. CONTROL NUMBER  1		
				9. DATE ISSUED  2/24/2004			
10. QUANTITY AND QUALITY	A. TOTAL NET POUNDS  10,000,000	B. POLARIZATION  98	C. ASH  .22	D. COLOR  4000	E. GRAIN SIZE  48	F. % MOISTURE  .28	
<b>11. CERTIFICATION AND SIGNATURE</b>							
<p><i>I certify that the raw sugar described on this report is raw sugar:</i></p> <ul style="list-style-type: none"> <li>• <i>in which title is vested in CCC, or placed in storage by someone other than CCC and pledged to CCC as security for a CCC loan, and</i></li> <li>• <i>which will be safely stored so that raw sugar equivalent to the quantity and quality stated in Item 10 of this KC-227 may be delivered, upon demand, to the owner of such raw sugar, CCC, or to another person (transferee).</i></li> </ul> <p><i>This form must be signed by an authorized individual; i.e., corporate officer, partner or proprietor. A manager cannot sign unless authorized by a Resolution of the Board of Directors or Power of Attorney furnished by a partner or proprietor.</i></p>							
A. WAREHOUSE OPERATOR'S SIGNATURE						B. DATE	
<b>PRIVACY ACT AND PUBLIC BURDEN STATEMENTS</b>							
<p>The following statements are made in accordance with the Privacy Act of 1974 (5 U.S.C. 552a) and the Paperwork Reduction Act of 1995, as amended. Authority for the use of this form is under 7 CFR Part 1423 and the respective CCC Storage Agreement. The information will be used to certify the accuracy of the invoice. Furnishing the requested information is mandatory. Failure to furnish the requested information will result in nonpayment of the invoice. This information may be provided to other agencies, IRS, Department of Justice, or other State and Federal Law enforcement agencies, and in response to a court magistrate or administrative tribunal. The provisions of criminal and civil fraud statutes, including 18 USC 286, 287, 371, 641, 1001; 15 USC 3729, may be applicable to the information provided.</p> <p>The authority for collecting the following information is Public Law 107-171. This authority allows for the collection of information without prior OMB approval mandated by the Paperwork Reduction Act of 1995. The time required to complete this information collection is estimated to average 15 minutes per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information.</p>							
<b>NONDISCRIMINATION STATEMENT</b>							
<p>The U.S. Department of Agriculture (USDA) prohibits discrimination in all its programs and activities on the basis of race, color, national origin, gender, religion, age, disability, political beliefs, sexual orientation, and marital or family status. (Not all prohibited bases apply to all programs.) Persons with disabilities who require alternative means for communication of program information (Braille, large print, audiotape, etc.) should contact USDA's TARGET Center at (202) 720-2600 (voice and TDD).</p> <p>To file a complaint of discrimination, write USDA, Director, Office of Civil Rights, Room 326-W, Whitten Building, 1400 Independence Avenue, SW, Washington, D.C. 20250-9410 or call (202) 720-5964 (voice or TDD). USDA is an equal opportunity provider and employer.</p>							

**Instructions for Completing KC-227-A**

Items 1 and 2 are for CCC FSA County Offices or Service Centers only. Items 3 through 12 are for warehouse operators only.

Item	Action
1	Enter the sugar loan application date.
2	Enter FSA County or Service Center assigned loan number
3	Enter warehouse name, street address, city, State, and ZIP Code.
4	Enter the warehouse code assigned by Kansas City Commodity Office.
5	Check raw cane or beet sugar as applicable, indicating commodity.
6	Check applicable item indicating sugar received by truck, rail, barge, or in store.
7	Enter crop year.
8	Enter the control number assigned by warehouse operators.  <b>Note:</b> Warehouse operators should use a numbering system to consecutively assign control numbers for tracking. For example, the first KC-227-A may be assigned Control No. 1, the second KC-227-A may be assigned Control No. 2, etc. Do <b>not</b> use alpha characters as part of the numbering system.
9	Enter issue date for KC-227-A.
10 A – D	For the quantity of sugar represented by KC-227-A, enter the following items:  A total net pounds B gross weight in pounds C number of units, if bagged D number of units damaged, short, or over
11	<b>Quality:</b> Refined sugar must be dry and free flowing; free of excessive sediment; and free of any objectionable color, flavor, odor, or other characteristic that would impair its merchantability or prevent its use for normal commercial purposes.
12 A – B	A Enter the signature of an authorized individual, such as, corporate officer, partner, or proprietor.  B Enter date signed for KC-227-A.

**KC-227-A, Refined Sugar Certification Report**

<b>KC-227-A</b> (02-06-04)		U.S. DEPARTMENT OF AGRICULTURE Farm Service Agency <b>REFINED SUGAR CERTIFICATION REPORT</b>			<b>FOR CCC USE ONLY</b>		
<b>3. NAME AND LOCATION OF RECEIVING WAREHOUSE</b> ABC Sugar Company Los Angeles, CA				<b>1. LOAN APPLICATION DATE</b>			
				<b>2. LOAN NUMBER</b>			
<b>4. WAREHOUSE CODE</b>  7-1000	<b>5. COMMODITY</b>  <input type="checkbox"/> CANE  <input checked="" type="checkbox"/> BEET	<b>6. RECEIVED BY</b>  <input checked="" type="checkbox"/> TRUCK <input type="checkbox"/> RAIL <input type="checkbox"/> BARGE <input type="checkbox"/> IN STORE	<b>7. CROP YEAR</b>  2003	<b>8. CONTROL NUMBER</b>  1			
			<b>9. DATE ISSUED</b>  2/24/2004				
<b>10. QUANTITY</b>	<b>A. TOTAL NET POUNDS</b>  10,000,000		<b>B. GROSS WEIGHT</b>  10,200,000		<b>C. NUMBER OF UNITS</b>  10,000		
	<b>D. NUMBER OF UNITS</b>		DAMAGED		SHORT		
						OVER	
<b>11. QUALITY</b>	Refined sugar must be dry and free flowing; free of excessive sediment; and free of any objectionable color, flavor, odor, or other characteristic which would impair its merchantability or which would impair or prevent its use for normal commercial purposes.						
<b>12. CERTIFICATION AND SIGNATURE</b>							
I certify that the refined sugar described on this report is refined sugar:							
<ul style="list-style-type: none"> <li>in which title is vested in CCC, or placed in storage by someone other than CCC and pledged to CCC as security for a CCC loan, and</li> <li>which will be safely stored so that refined sugar equivalent to the quantity and quality stated in Item 10 and 11 of this KC-227-A may be delivered, upon demand, to the owner of such refined sugar, CCC, or to another person (transferee).</li> </ul>							
This form must be signed by an authorized individual; i.e., corporate officer, partner or proprietor. A manager cannot sign unless authorized by a resolution of the Board of Directors or Power of Attorney furnished by a partner or proprietor.							
<b>A. WAREHOUSE OPERATOR'S SIGNATURE</b>				<b>B. DATE</b>			
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