

For: Tobacco State and County Offices

**Instructions for Processing Tobacco Transition Payment Program (TTPP) CCC-971 Requests**

Approved by: Deputy Administrator, Farm Programs



**1 Overview**

**A Background**

Notice TB-1221 provided instructions for entering CCC-971's.

TTPP has been enhanced to:

- provide County Offices with the ability to enter valid CCC-971's online
- provide additional error messages for greater evaluation of errors
- remove the limitation preventing 7-Year CCC-971's.

CCC-971's with a Dissolved Entity reason code, where the contract owner is a joint venture or general partnership, will **not** be allowed at this time. This action will be accommodated in the next release currently scheduled for April 27, 2007.

**Note:** Notice TB-1224 provides instructions for completing and maintaining all required CCC-971 documentation.

The software enhancements in this notice are targeted for release on March 19, 2007.

**B Purpose**

This notice:

- provides instructions for online CCC-971's
- obsoletes Notice TB-1221.

<p><b>Disposal Date</b></p> <p>July 1, 2007</p>	<p><b>Distribution</b></p> <p>Tobacco State Offices; State Offices relay to County Offices</p>
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## Notice TB-1227

### 2 Action

#### A County Office Action

County Office shall:

- follow internal controls and procedures to reasonably ensure that CCC-971 requests are valid and sufficiently supported to prevent improper contract payments
- become familiar with TTPP enhancements for CCC-971's
- ensure that:
  - all name and address information is complete
  - all taxpayer ID numbers (TIN) are accurate
  - the total transferred base quota level (BQL) equals CCC-955/CCC-956 BQL
  - all CCC-971's not processed are placed into in-dispute (DI) status.

#### B State Office Action

State Offices shall:

- become familiar with TTPP enhancements for CCC-971's
- contact Wallace Nuttycombe, NTPC representative, at 800-673-2331 with questions
- ensure County Offices follow the provisions of this notice.

### 3 CCC-971 Transfer Software

#### A TTPP System Validation

TTPP has a number of automated validation checks built into the CCC-971 process before the transfer will be allowed. TTPP will validate:

- that transferring CCC-955/CCC-956 status is either of the following:
  - Approved (AP)
  - DI
- that Service Center Information Management System (SCIMS) for transferee's TIN is:
  - present
  - active
  - present and active for the given State and County

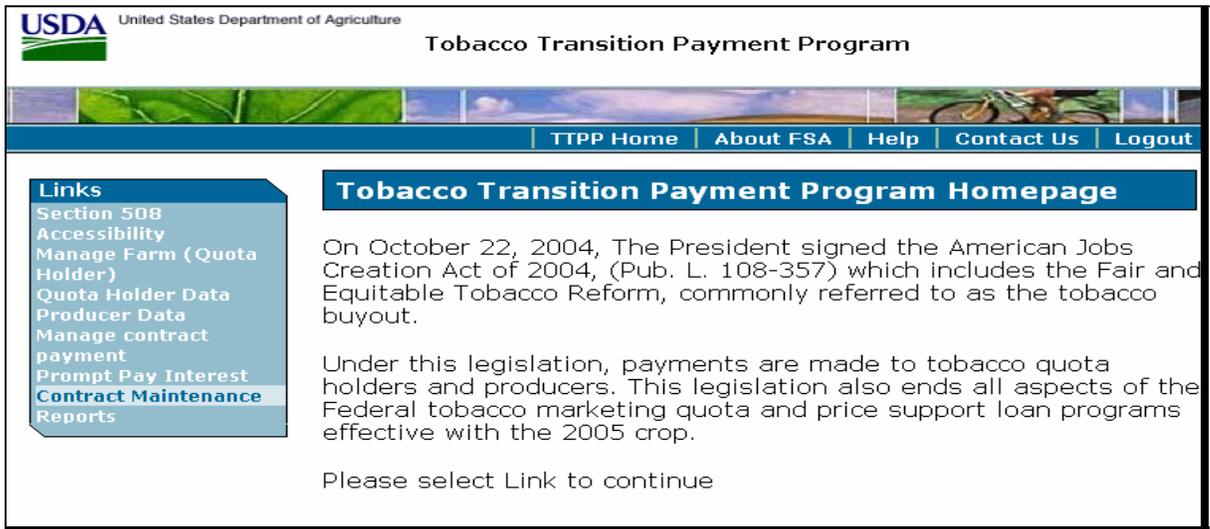
3 CCC-971 Transfer Software (Continued)

A TTPP System Validation (Continued)

- financial eligibility; validation checks will **not** allow:
  - assignments against CCC-955/CCC-956
  - receivables against the CCC-955/CCC-956 owner
  - claims against the CCC-955/CCC-956 owner
  - other agency debt against the CCC-955/CCC-956 owner
  - bankruptcy flag to be set on CCC-955/CCC-956 owner
  
- payments for:
  - the number remaining
  - any missing
  - discrepancies.

B CCC-971 Transfer Process

This is an example of the TTPP Homepage. Under Links, CLICK “Contract Maintenance”.



## Notice TB-1227

### 3 CCC-971 Transfer Software (Continued)

#### B CCC-971 Transfer Process (Continued)

This is an example of the Contract Maintenance Screen. CLICK “CCC-971 Transfer” to begin the CCC-971 process.

The screenshot shows the USDA United States Department of Agriculture Tobacco Transition Payment Program interface. At the top, there is a navigation bar with links: TTPP Home, About FSA, Help, Contact Us, and Logout. Below this is a "Links" sidebar with a list of options: Section 508, Accessibility, Manage Farm (Quota Holder), Quota Holder Data, Producer Data, Manage contract payment, Prompt Pay Interest, and Contract Maintenance Reports. The main content area is titled "Contract Maintenance" and contains the text "Select one of the actions for contract maintenance:" followed by four red hyperlinks: CCC-971 Transfer, BQL Correction, BQL Correction on Pending Contract, and Tax ID Correction.

This is an example of the CCC-971 Transfer Screen. Enter the contract number to be transferred, select the Transfer Reason, and CLICK “Submit”. TTPP will evaluate the contract and contract owner to determine if CCC-971 can be processed for the existing CCC-955/CCC-956.

The screenshot shows the USDA United States Department of Agriculture Tobacco Transition Payment Program interface. At the top, there is a navigation bar with links: TTPP Home, About FSA, Help, Contact Us, and Logout. Below this is a "Links" sidebar with a list of options: Section 508, Accessibility, Manage Farm (Quota Holder), Quota Holder Data, Producer Data, Manage contract payment, Prompt Pay Interest, and Contract Maintenance Reports. The main content area is titled "CCC-971 Transfer" and contains two input fields: "Contract No." with the value "130192000932" and "Transfer Reason" with a dropdown menu. The dropdown menu is open, showing options: Reason of Death (selected), Family Member Transfer, Reason of Death, Permanent Quota Assignment, and Dissolved Entity.

**Note:** Error messages that may be displayed are detailed in this subparagraph.

CCC-971's with a Dissolved Entity reason code, where the contract owner is a joint venture or general partnership will **not** be allowed, at this time. TTPP will display an error message for this situation. CCC-971's with a Dissolved Entity reason code, where the contract owner is a joint venture or general partnership will be accommodated in the next release currently scheduled for April 27, 2007.

3 CCC-971 Transfer Software (Continued)

B CCC-971 Transfer Process (Continued)

This table lists all error messages and a description of the error that may be displayed when users enter a contract number, select a transfer reason, and CLICK “Submit” on the CCC-971 Transfer Screen.

**Note:** The contract family is defined as the original contract and all subsequent contracts created as a result of contract maintenance actions taken on the original contract and any resulting contract.

Error Message	Description
Valid Contract # is required.	Contract number entered does <b>not</b> exist in TTPP.
Dissolved Entity Transfers are not allowed for Joint Ventures and General Partnerships.	Contract owner is a joint venture or general partnership, the contract <b>cannot</b> be transferred
If the Transfer Reason is Dissolved Entity, the contract owner must not be an individual.	Contract owner is <b>not</b> an individual.
If the Transfer Reason is Reason of Death, the contract owner must not be an entity.	Contract owner is <b>not</b> an entity.
No contract data found for Contract Number 999999999.	Contract number <b>cannot</b> be found in TTPP.
This contract has been sold. The contract must be in Approved or In Dispute Status to perform a 971 transfer.	CCC-955/CCC-956 contract status is sold.
Contract is not in Approved or Dispute Status. The contract must be in either approved or Dispute status to perform a 971 Transfer.	CCC-955/CCC-956 contract status is <b>not</b> sold, AP, or DI.
Invalid Annual Installments on Contract.	CCC-971 transfer will <b>not</b> be allowed on a contract with fewer installments than there are years remaining in the program.
Contract Owner not found in SCIMS.	SCIMS record does <b>not</b> exist for the CCC-955/CCC-956 owner.
Contract Owner is Inactive in SCIMS	Contract owner’s record in SCIMS is inactive.
No address found in SCIMS for state and county of the contract.	Contract owner does <b>not</b> have a record in SCIMS for CCC-955/CCC-956 State and county or the county link is inactive.
Current Tax ID 999999999 in SCIMS does not match the Tax ID 999999999 on the Contract.	Contract owner’s TIN in SCIMS does <b>not</b> match TIN on CCC-955/CCC-956 in TTPP.
Contract Owner Tax ID 999999999 has an Assignment on the Contract.	An assignment has been entered in Financial Services for CCC-955/CCC-956.

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3 CCC-971 Transfer Software (Continued)

B CCC-971 Transfer Process (Continued)

Error Message	Description
Contract Owner Tax ID 999999999 has a Receivable.	Receivable has been entered in Financial Services for the CCC-955/CCC-956 owner.
Contract Owner Tax ID 999999999 has a Claim.	Claim has been entered in Financial Services for the CCC-955/CCC-956 owner.
Contract Owner Tax ID 999999999 has a Other Agency Debt.	An other agency debt has been entered in Financial Services for the CCC-955/CCC-956 owner.
Contract Owner Tax ID 999999999 has the Bankruptcy flag set.	Bankruptcy has been entered in Financial Services for the CCC-955/CCC-956 owner.
Entity Member Tax ID 999999999 has an Assignment on the Contract.	Assignment has been entered in Financial Services for a member of the entity that owns CCC-955/CCC-956.
Entity Member Tax ID 999999999 has a Receivable.	Receivable has been entered in Financial Services for a member of the entity that owns CCC-955/CCC-956.
Entity Member Tax ID 999999999 has a Claim.	Claim has been entered in Financial Services for a member of the entity that owns CCC-955/CCC-956.
Entity Member Tax ID 999999999 has a Other Agency Debt.	An other agency debt has been entered in Financial Services for a member of the entity that owns the CCC-955/CCC-956.
Entity Member Tax ID 999999999 has the Bankruptcy flag set.	Bankruptcy has been entered in Financial Services for a member of the entity that owns CCC-955/CCC-956.
Contract is not fully paid in Year YYYY.	TTPP contract family has been paid in the year, but has not been paid in full.
Contract requires payment in Year YYYY.	TTPP contract family has not been paid any amount for the year indicated.
10 Year Contracts require at least one payment if the 971 Reason is not Death of Existing Contract Holder.	No payments have been made to the contract family, CCC-955/CCC-956 is for 10 years, and the Reason is <b>not</b> death.
Contract has a payment discrepancy in Year YYYY based on the Contract Owner's Subsidiary Eligibility.	In a given year, the payment amount percentage to the contract family does <b>not</b> equal the percent eligible based on subsidiary eligibility (such as all members are not eligible; if paid 40 percent of annual value then 40 percent of member ownership must be eligible) for that year. The error message will be displayed for each year there is a payment discrepancy.
Contract Owner has a Subsidiary Eligibility violation in YYYY and 971 reason is not Death of Existing Contract Holder.	Contract family is <b>not</b> fully paid in the year, the CCC-971 transfer reason is <b>not</b> death of holder, and the contract owner has a subsidiary eligibility violation in that year.
10 Year Contracts require at least one payment if the 971 Reason is not Death of Existing Contract Holder and the Contract Owner passes Subsidiary Eligibility.	No payments have been made to CCC-955/CCC-956, CCC-955/CCC-956 is for 10 years, the transfer reason is <b>not</b> death of holder, and the contract owner passes full subsidiary eligibility for 2005.

3 CCC-971 Transfer Software (Continued)

C CCC-971 Transfer Process - Add Beneficiary

This is an example of the Process CCC-971 Transfer Screen. On this screen, County Offices will add CCC-971 beneficiary information. County Offices will add new CCC-955/CCC-956 entity TIN's and BQL shares, 1 entity at a time, until all resulting CCC-955/CCC-956 beneficiaries have been added. Ensure that the Total Transferred BQL equals the original CCC-955/CCC-956 BQL. TTPP will determine if the beneficiary and BQL information entered is complete and accurate based on program requirements.

Links	Process CCC-971 Transfer																												
<a href="#">Section 508 Accessibility</a> <a href="#">Manage Farm (Quota Holder)</a> <a href="#">Quota Holder Data</a> <a href="#">Producer Data</a> <a href="#">Manage contract payment</a> <a href="#">Prompt Pay Interest</a> <a href="#">Contract Maintenance Reports</a>	<b>Contract Number</b> 371372000084 <b>Customer Name</b> ROBERT SCOTT <b>Contract Type</b> CCC-955 <b>Contract Status</b> AP <b>Tax ID/Type</b> 99999999/S <b>Annual Installments</b> 10 <b>Total Contract BQL</b> 587 <b>Remaining BQL</b> 0																												
<b>Add Beneficiary</b>																													
Tax id <input type="text"/> Tax id type <input type="text" value="Social Security"/> BQL <input type="text"/> <a href="#">Search Customer From SCIMS</a> <input type="button" value="Add"/>																													
<table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th>Name</th> <th>Tax ID/Type</th> <th>BQL</th> <th>Value</th> <th></th> </tr> </thead> <tbody> <tr> <td>WILBERT A SMITH</td> <td>99999999/S</td> <td>200</td> <td>\$1,400.00</td> <td><a href="#">Edit</a> <a href="#">Delete</a></td> </tr> <tr> <td>WESLEY SMITHWICK</td> <td>99999999/S</td> <td>300</td> <td>\$2,100.00</td> <td><a href="#">Edit</a> <a href="#">Delete</a></td> </tr> <tr> <td>SIBYL H SMITH</td> <td>99999999/S</td> <td>87</td> <td>\$609.00</td> <td><a href="#">Edit</a> <a href="#">Delete</a></td> </tr> <tr> <td colspan="2" style="text-align: right;"><b>Total</b></td> <td><b>587</b></td> <td><b>\$4,109.00</b></td> <td></td> </tr> </tbody> </table>					Name	Tax ID/Type	BQL	Value		WILBERT A SMITH	99999999/S	200	\$1,400.00	<a href="#">Edit</a> <a href="#">Delete</a>	WESLEY SMITHWICK	99999999/S	300	\$2,100.00	<a href="#">Edit</a> <a href="#">Delete</a>	SIBYL H SMITH	99999999/S	87	\$609.00	<a href="#">Edit</a> <a href="#">Delete</a>	<b>Total</b>		<b>587</b>	<b>\$4,109.00</b>	
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<input type="button" value="Transfer Contract"/> <input type="button" value="Cancel"/>																													

**Notes:** Error messages that may be displayed are detailed in this subparagraph.

As beneficiary information is added, it will appear in the CCC-955/CCC-956 summary table at the bottom of the screen. County Offices shall ensure Name, Tax Id/Type, BQL and Value are correct before clicking "Transfer Contract". TTPP will return an error message, if the Total BQL is not equal to the original CCC-955/CCC-956 BQL. County Offices will have the ability to edit or delete producer detail until the user clicks "Transfer Contract" and TTPP is updated.

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**3 CCC-971 Transfer Software (Continued)**

**C CCC-971 Transfer Process - Add Beneficiary (Continued)**

This table lists all error messages and a description of the error that may be displayed when users select CCC-955/CCC-956 and CLICK “**Transfer Contract**” on the Process CCC-971 Transfer Screen for beneficiary information.

<b>Error Message</b>	<b>Description</b>
No Customer was found in SCIMS for the given Tax Id and ID Type.	SCIMS record does <b>not</b> exist for the beneficiary.
Tax id and type of new contract must come from the same state and county of the original contract.	Beneficiary does <b>not</b> have a record in SCIMS for State and county of CCC-955/CCC-956 or the address link is inactive.
Beneficiary already exists in beneficiary list.	Beneficiary has already been added and <b>cannot</b> be added again.
Valid tax ID needed.	The tax ID entered does <b>not</b> exist in SCIMS.
Valid BQL value is needed.	BQL for the beneficiary has <b>not</b> been entered.
BQL values can't be negative.	BQL entered for the beneficiary is a negative amount.
Remaining BQL is less than 0, please correct beneficiaries BQL value.	Total BQL entered to be transferred is greater than the remaining BQL.
No beneficiaries are defined, contract can't be transferred.	No beneficiaries have been entered.
Can't create more than 1 new 971 contracts on the same existing contract owner.	The same beneficiary has been entered more than once.
Transfer BQL can not be less than 0.	Total BQL to be transferred <b>cannot</b> be negative.
Does not sum to original BQL.	The sum of all BQL entered for the beneficiaries do <b>not</b> equal CCC-955/CCC-956 BQL being transferred.

3 CCC-971 Transfer Software (Continued)

D Process CCC-971 Transfer Successfully Screen

This is an example of the Process CCC-971 Transfer Successfully Screen.

**Note:** The message “Contract Number has been successfully transferred and is in cancelled status. Resulting contracts are as follows:” will be displayed when total BQL equals the original CCC-955/CCC-956 BQL.

The screenshot shows the USDA Tobacco Transition Payment Program (TTPP) web interface. At the top, it displays the USDA logo and the program name. Below the header is a navigation bar with links for TTPP Home, About FSA, Help, Contact Us, and Logout. On the left side, there is a 'Links' menu with options like Section 508, Accessibility, and Manage Farm. The main content area features a blue banner that reads 'Process CCC-971 Transfer Successfully'. Below the banner, a message states: 'Contract Number has been successfully transferred and is in cancelled status. Resulting contracts are as follows:'. A table follows, listing the names, tax IDs, BQL, values, new contract numbers, and statuses of the transferred contracts.

Name	Tax Id/Type	BQL	Value	New Contract Number	New Contract Status
WILBERT A SMITH	999999999/S	200	\$1,400.00	371372000204	PE
WESLEY SMITHWICK	999999999/S	300	\$2,100.00	371372000205	PE
SIBYL H SMITH	999999999/S	87	\$609.00	371372000206	PE

County Offices shall print the individual CCC-955/CCC-956’s and follow internal controls and procedures for obtaining all the appropriate signatures.

**Notice TB-1227**

**3 CCC-971 Transfer Software (Continued)**

**E TTPP Contract Maintenance Report**

This is an example of the TTPP Contract Maintenance Report. This report will show all resulting CCC-955/CCC-956's associated with the original CCC-955/CCC-956 number.

TTPP Contract Maintenance Report										
Form Number: CCC-956										
Producer Contracts										
Contract Number	Parent Contract Number	Producer Name	Tax ID / Type	Approval Date	Contract Status	Tobacco Type	Farm Number	Program Year	Base Quota Level	Contract Type
130192000938		DAVE DILLINGHAM	****0078(S)	12-14-2006	Cancelled	Flue-Cured	0000284	2004	456	ORG
									Contract Total BQL	456
130192000944	130192000938	DORIS G JERNIGAN	****0335(S)		Pending	Flue-Cured	0000284	2004	450	971
									Contract Total BQL	450
130192000945	130192000938	CARL TAWZER JR	****1758(S)	12-19-2006	Approved	Flue-Cured	0000284	2004	6	971
									Contract Total BQL	6

**Note:** County Offices can find CCC-955/CCC-956 numbers resulting from CCC-971's through the reports link. When the reports page is displayed, select:

- “Search Reports by Name”
- in the Report Name drop-down box, CLICK “**Contract Maintenance**”.

Enter either the original CCC-955/CCC-956 number or any of the new CCC-955/CCC-956 numbers and CLICK “**View PDF Report**”. The report will list all CCC-955/CCC-956's related to the CCC-955/CCC-956 number entered.